



Brussels, 1.10.2015
COM(2015) 477 final

2015/0229 (NLE)

Proposal for a

COUNCIL DECISION

on the conclusion of the Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania for a period of four years

EXPLANATORY MEMORANDUM

1. CONTEXT OF THE PROPOSAL

The Council authorised the European Commission to negotiate, on behalf of the European Union, the renewal of the Protocol and renewal of the Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania. At the end of those negotiations, a new Protocol was initialled by the negotiators on 10 July 2015. It covers a period of four years from the date of provisional application laid down in Article 14 – i.e. from the date of its signature.

The main aim of the Protocol is to grant fishing opportunities to European Union vessels in Mauritanian waters taking into account available scientific assessments, in particular those of the Fishery Committee for the Eastern Central Atlantic (CECAF), and in accordance with scientific advice and the recommendations of the International Commission for the Conservation of Atlantic Tunas (ICCAT), within the limits of the available surplus. The Commission based its position in part on the results of a forward-looking assessment, carried out by external experts, of whether a new Protocol should be concluded.

The objective is to also enhance cooperation between the European Union and the Islamic Republic of Mauritania, thereby creating a partnership framework within which to develop a sustainable fisheries policy and sound exploitation of fishery resources in the fishing zone of the Islamic Republic of Mauritania, in the interests of both parties.

The Protocol provides for fishing opportunities in the following categories:

- Category 1 – Vessels fishing for crustaceans other than spiny lobster and crab: 5 000 tonnes and 25 vessels;
- Category 2 - Black hake (non-freezer) trawlers and bottom longliners: 6 000 tonnes and 6 vessels;
- Category 3 - Vessels fishing for demersal species other than black hake with gear other than trawls: 3 000 tonnes and 6 vessels;
- Category 4 - Tuna seiners: 12 500 tonnes (reference tonnage) and 25 vessels;
- Category 5 - Pole-and-line tuna vessels and longliners: 7 500 tonnes (reference tonnage) and 15 vessels;
- Category 6 - Pelagic freezer trawlers: 247 500 and 19 vessels;
- Category 7 - Non-freezer pelagic vessels: 15 000 tonnes (deducted from volume under category 6 if used) and 2 vessels.

The Commission proposes, on this basis, that the Council, with the consent of the Parliament, adopt by Decision the conclusion of this new Protocol.

2. RESULTS OF CONSULTATIONS WITH INTERESTED PARTIES AND IMPACT ASSESSMENTS

The interested parties were consulted during the evaluation of the Protocol for the period 2012-14. Experts from the Member States were also consulted in technical meetings. These consultations led to the conclusion that it would be beneficial to maintain a fishing protocol with the Islamic Republic of Mauritania.

3. LEGAL ELEMENTS OF THE PROPOSAL

This procedure is being initiated in parallel with the procedures relating to the Council Decision on the provisional application of the Protocol itself, as well as with the Council Regulation concerning the allocation of the fishing opportunities between EU Member States.

4. BUDGETARY IMPLICATIONS

The annual financial contribution has been set at EUR 59 125 000 per year, on the basis of:

a) a total allowable catch of 261 500 tonnes for fishing categories 1, 2, 3, 6 and 7 and a reference tonnage of 20 000 tonnes for fishing categories 4 and 5 of the Protocol, corresponding to an amount linked to access to EUR 55 000 000 per year, and

b) support for the development of the sectoral fisheries policy of the Islamic Republic of Mauritania amounting to EUR 4 125 000 per year. This support meets the aims of national fishing policy and in particular the needs of the Islamic Republic of Mauritania as regards supporting scientific and technical cooperation, training, fisheries monitoring, protecting the environment and development infrastructure.

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THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty on the Functioning of the European Union, and in particular Article 43(2) in conjunction with Article 218(6) second subparagraph, point (a)(v) and Article 218(7) thereof,

Having regard to the proposal from the European Commission,

Having regard to the consent of the European Parliament¹,

Whereas:

- (1) On 30 November 2006, the Council adopted Regulation (EC) No 1801/2006 on the conclusion of the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania ('the Partnership Agreement')².
- (2) On 10 July 2015, the Union and Mauritania initialled a new Protocol to the partnership agreement (hereinafter 'the Protocol'). The Protocol grants EU vessels fishing opportunities in the fishing zone over which the Islamic Republic of Mauritania exercises its jurisdiction.
- (3) On [...], the Council adopted Decision No .../2015/EU³, authorising the signature, on behalf of the Union, of the Protocol and of its provisional implementation subject to its conclusion.
- (4) Article 10 of the Partnership Agreement establishes a Joint Committee whose responsibilities include supervising the execution, implementation, interpretation and smooth running of the application of the partnership agreement and re-examining, where appropriate, the level of fishing possibilities on the basis of the financial contribution.
- (5) Article 6 of the Protocol states that the Joint Committee may also approve certain amendments to the Protocol. In order to facilitate the approval of such amendments, it

¹ OJ C , , p. .

² Council Regulation (EC) No 1801/2006 of 30 November 2006 concerning the conclusion of the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania (OJ L 343, 8.12.2006, p. 1).

³ Council Decision (EU) on the signing, on behalf of the European Union, and on the provisional application of the Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Union and the Islamic Republic of Mauritania for a period of four years (OJ L [...], [...], p. [...]).

is appropriate to empower the Commission, subject to specific conditions, to approve these amendments under a simplified procedure,

(6) The Protocol should be approved,

HAS ADOPTED THIS DECISION:

Article 1

The Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania for a period of four years ('the Protocol') is hereby approved on behalf of the Union.

The text of the Protocol is attached to this Decision as Annex I.

Article 2

The President of the Council shall, on behalf of the Union, give the notification provided for in Article 17 of the Protocol with a view to expressing the Union's consent to be bound by the Protocol.

Article 3

In accordance with the provisions and conditions laid down in Annex II to this Decision, the Commission is authorised to approve, on behalf of the Union, any amendments made to the Protocol adopted by the Joint Committee provided for in Article 10 of the Fisheries Partnership Agreement between the European Commission and the Islamic Republic of Mauritania.

Article 4

This Decision shall enter into force on the third day following that of its publication in the *Official Journal of the European Union*.

Done at Brussels,

For the Council
The President

LEGISLATIVE FINANCIAL STATEMENT

1. FRAMEWORK OF THE PROPOSAL/INITIATIVE

- 1.1. Title of the proposal/initiative
- 1.2. Policy area(s) concerned in the ABM/ABB structure
- 1.3. Nature of the proposal/initiative
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2. MANAGEMENT MEASURES

- 2.1. Monitoring and reporting rules
- 2.2. Management and control system
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3. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

- 3.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected
- 3.2. Estimated impact on expenditure
 - 3.2.1. *Summary of estimated impact on expenditure*
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 - 3.2.4. *Compatibility with the current multiannual financial framework*
 - 3.2.5. *Third-party contributions*
- 3.3. Estimated impact on revenue

LEGISLATIVE FINANCIAL STATEMENT

5. FRAMEWORK OF THE PROPOSAL/INITIATIVE

5.1. Title of the proposal/initiative

Proposal for a Council Decision on the conclusion of the Protocol setting out the fishing opportunities and financial contribution provided for in the Fisheries Partnership Agreement between the European Community and the Islamic Republic of Mauritania

5.2. Policy area(s) concerned in the ABM/ABB structure⁴

11. – Maritime affairs and fisheries policies

11.03 - Compulsory contributions to regional fisheries management organisations (RFMOs) and other international organisations and sustainable fisheries agreements (SFAs)

5.3. Nature of the proposal/initiative

☐ The proposal/initiative relates to **a new action**

☐ The proposal/initiative relates to **a new action following a pilot project/preparatory action⁵**

☒ The proposal/initiative relates to **the extension of an existing action**

☐ The proposal/initiative relates to **an action redirected towards a new action**

5.4. Objective(s)

5.4.1. *The Commission's multiannual strategic objective(s) targeted by the proposal/initiative*

The negotiation and conclusion of sustainable fisheries agreements with third countries meets the general objective of giving EU fishing vessels access to fishing zones located in third countries' Exclusive Economic Zones (EEZ) and developing partnership with those countries with a view to strengthening the sustainable exploitation of fishery resources outside EU waters.

The Sustainable Fisheries Partnership Agreements (SFPAs) also ensure consistency between the principles governing the Common Fisheries Policy and the commitments made under other European policies (sustainable use of third-country resources, combating illegal, unreported and unregulated (IUU) fishing, integration of partner countries into the global economy and better political and financial governance of fisheries).

5.4.2. *Specific objective(s) and ABM/ABB activity(-ies) concerned*

Specific objectives

To contribute to sustainable fishing in non-EU waters, maintain a European presence in distant-water fisheries and protect the interests of the European fisheries sector and consumers by negotiating and concluding SFPAs with coastal states, consistent with other European policies.

⁴ ABM: Activity-Based Management – ABB: Activity-Based Budgeting.

⁵ As referred to in Article 54(2)(a) or (b) of the Financial Regulation.

ABM/ABB activity(-ies) concerned

Maritime affairs and fisheries, to establish a governance framework for fishing activities carried out by Union fishing vessels in third country waters (SFAs) (budget line 11.0301).

5.4.3. *Expected result(s) and impact*

Specify the effects which the proposal/initiative should have on the beneficiaries/groups targeted.

The conclusion of the Protocol will help maintain fishing opportunities for EU vessels in the fishing zone of the Islamic Republic of Mauritania.

The Protocol will also contribute to better management and conservation of fishery resources, through financial support (sectoral support) for the implementation of the national sectoral strategy for ensuring the sustainable development of the fishing sector.

5.4.4. *Indicators of results and impact*

Specify the indicators for monitoring implementation of the proposal/initiative.

Rates of utilisation of fishing opportunities (annual uptake of fishing authorisations as a percentage of availability under the Protocol);

Gathering and analysing data on catches and the commercial value of the Agreement;

Contribution to employment and to added value in the EU and to stabilising the EU market (in aggregate with other SFPAs);

Number of technical meetings and meetings of the Joint Committee.

5.5. **Grounds for the proposal/initiative**

5.5.1. *Requirement(s) to be met in the short or long term*

The Protocol for the period 2012-14 expired on 15 December 2014. The fishing activities of the European fleet in the Islamic Republic of Mauritania's fishing zone have therefore been interrupted pending the signature and provisional application of the new Protocol.

The new Protocol will make it possible to authorise and provide a framework for the fishing activities of the European Union's fleet within the Islamic Republic of Mauritania's fishing zone. In addition, the new Protocol enhances cooperation between the EU and the Islamic Republic of Mauritania, with a view to promoting the development of a sustainable fishing policy. The sectoral support has been increased since the previous period, in order to help the Islamic Republic of Mauritania to implement its new national sectoral policy for ensuring the sustainable development of fishing activities.

5.5.2. *Added value of EU involvement*

As regards this new Protocol, failure to act by the EU would allow private agreements to spring up which would not guarantee sustainable fisheries. The European Union also hopes that, with this Protocol, the Islamic Republic of Mauritania will continue to cooperate effectively with the EU particularly as regards the fight against illegal fishing.

5.5.3. *Lessons learned from similar experiences in the past*

An analysis of recent catches in Mauritania and also the scientific advice and assessments available have led the parties to set an authorised catch volume for fishing categories 1, 2, 3, 6 and 7 (261 500 tonnes) and a reference tonnage for tuna (20 000 tonnes per year). The sectoral support takes account of the priorities

contained in the national fisheries strategy and requirements in terms of building the capacity of the Mauritanian fishing authorities.

5.5.4. *Compatibility and possible synergy with other appropriate instruments*

Funds paid out under SFPAs constitute fungible revenue in the budgets of the third-country partners. However, allocating some of those funds for implementing measures as part of the country's sectoral policy is a condition for the conclusion and monitoring of SFPAs. These financial resources are compatible with other sources of funding from other providers of international funding for carrying out projects and/or programmes at national level in the fisheries sector.

5.6. Duration and financial impact

☒ Proposal/initiative of **limited duration**

- ☒ Proposal/initiative in force from the date of signature and for a duration of four years.
- ☒ Financial impact from 2015 to 2019

☐ Proposal/initiative of **unlimited duration**

- Implementation with a start-up period from YYYY to YYYY,
- followed by full-scale operation.

5.7. Management method(s) envisaged:⁶

From the 2015 budget

☒ **Direct management** by the Commission

- ☒ by its departments, including by its staff in the Union delegations;
- ☐ by the executive agencies

☐ **Shared management** with Member States

☐ **Indirect management** by entrusting budget implementation tasks to:

- ☐ third countries or the bodies they have designated;
- ☐ international organisations and their agencies (please specify);
- ☐ the EIB and the European Investment Fund;
- ☐ bodies referred to in Articles 208 and 209 of the Financial Regulation;
- ☐ public law bodies;
- ☐ bodies governed by private law with a public service mission to the extent that they provide adequate financial guarantees;
- ☐ bodies governed by the private law of a Member State that are entrusted with the implementation of a public-private partnership and that provide adequate financial guarantees;
- ☐ persons entrusted with the implementation of specific actions in the CFSP pursuant to Title V of the TEU, and identified in the relevant basic act.
- *If more than one management mode is indicated, please provide details in the 'Comments' section.*

Comments

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⁶ Details of management modes and references to the Financial Regulation may be found on the BudgWeb site: http://www.cc.cec/budg/man/budgmanag/budgmanag_en.htm

6. MANAGEMENT MEASURES

6.1. Monitoring and reporting rules

Specify frequency and conditions

The Commission (DG MARE, in collaboration with its fisheries counsellor in Mauritania and the European Union's Delegation in Nouakchott) will ensure regular monitoring of the implementation of this Protocol, particularly as regards operators' use of fishing opportunities and in terms of catch data.

The Sustainable Fisheries Partnership Agreement also provides for at least one annual meeting of the Joint Committee, at which the Commission and the Islamic Republic of Mauritania review the implementation of the Agreement and the Protocol thereto and, if necessary, adjust the programming and, if applicable, the financial contribution.

6.2. Management and control system

6.2.1. Risk(s) identified

There is some risk in setting up a fisheries protocol, particularly with regard to the amounts intended to finance the sectoral fisheries policy (under-programming).

6.2.2. Information concerning the internal control system set up

Extensive dialogue is planned on the programming and implementation of the sectoral policy laid down in the Agreement and the Protocol. Joint analysis of results, as referred to in Article 3 of the Protocol, also forms part of these control methods.

In addition, the Agreement and the Protocol contain specific clauses for their suspension, on certain conditions and in given circumstances.

6.2.3. Estimate of the costs and benefits of the controls and assessment of the expected level of risk of error

| |
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| |
|--|

6.3. Measures to prevent fraud and irregularities

Specify existing or envisaged prevention and protection measures

The Commission undertakes to establish political dialogue and regular coordination with the Islamic Republic of Mauritania with a view to improving the management of the Agreement and the Protocol and strengthening the EU's contribution to the sustainable management of resources. In any case, any payment which the Commission makes under an SFPA is subject to the Commission's standard rules and budgetary and financial procedures. This makes it possible, in particular, to fully identify the bank accounts of the third countries into which the financial contribution is paid. Article 2(8) and Article 3, paragraph 3.10 of the Protocol stipulate that the financial contribution to access and the sectoral support must be paid to an account held by the Public Treasury with the Mauritanian Central Bank.

7. ESTIMATED FINANCIAL IMPACT OF THE PROPOSAL/INITIATIVE

7.1. Heading(s) of the multiannual financial framework and expenditure budget line(s) affected

- Existing budget lines

Following the order of multiannual financial framework headings and budget lines

| Heading of multiannual financial framework | Budget line | Type of expenditure | Contribution | | | |
|--|--|------------------------|----------------------------------|---------------------------------------|----------------------|--|
| | Number [Heading.....] | Diff./non-diff. (7) | from EFTA countries ⁸ | from candidate countries ⁹ | from third countries | within the meaning of Article 21(2)(b) of the Financial Regulation |
| 2 | 11.03 01 To establish a governance framework for fishing activities carried out by Union fishing vessels in third country waters (SFAs) | Diff. | NO | NO | NO | NO |
| | 11.01 04 01 Support expenditure for maritime affairs and fisheries — Non-operational administrative and technical assistance | Non-diff | NO | NO | NO | NO |

- New budget lines requested (not applicable)

Following the order of multiannual financial framework headings and budget lines

| Heading of multiannual financial framework | Budget line | Type of expenditure | Contribution | | | |
|--|---------------------------------|---------------------|---------------------|--------------------------|----------------------|--|
| | Number [Heading..... ...] | Diff./non-diff. | from EFTA countries | from candidate countries | from third countries | within the meaning of Article 21(2)(b) of the Financial Regulation |
| | [XX.YY.YY.YY] | | YES/ NO | YES/ NO | YES/ NO | YES/ NO |

⁷ Diff. = Differentiated appropriations / Non-Diff. = Non-differentiated appropriations.

⁸ EFTA: European Free Trade Association.

⁹ Candidate countries and, where applicable, potential candidate countries from the Western Balkans.

7.2. Estimated impact on expenditure

7.2.1. Summary of estimated impact on expenditure

EUR million (to three decimal places)

| | | |
|---|-----------------|---------------------------------------|
| Heading of multi-annual financial framework: | Number 2 | Sustainable growth: Natural Resources |
|---|-----------------|---------------------------------------|

| DG MARE | | | Year 2015 | Year 2016 | Year 2017 | Year 2018 | TOTAL |
|--|-------------|-------------|-----------|-----------|-----------|-----------|----------------|
| • Operating appropriations | | | | | | | |
| Number of budget line 11.0301 | Commitments | (1) | 59.125 | 59.125 | 59.125 | 59.125 | 236.5 |
| | Payments | (2) | 59.125 | 59.125 | 59.125 | 59.125 | 236.5 |
| Number of budget line | Commitments | (1a) | | | | | |
| | Payments | (2 a) | | | | | |
| • Appropriations of an administrative nature financed from the envelope of specific programmes ¹⁰ | | | | | | | |
| Number of budget line 11 010401 | | (3) | 0.151 | 0.151 | 0.151 | 0.231 | 0.684 |
| TOTAL appropriations for DG MARE | Commitments | =1+1a +3 | 59.276 | 59.276 | 59.276 | 59,356 | 237.184 |
| | Payments | =2+2a +3 | 59.276 | 59.276 | 59.276 | 59.356 | 237.184 |

| | | | | | | | |
|------------------------------------|-------------|-----|--------|--------|--------|--------|--------------|
| • TOTAL operational appropriations | Commitments | (4) | 59.125 | 59.125 | 59.125 | 59.125 | 236.5 |
| | Payments | (5) | 59.125 | 59.125 | 59.125 | 59.125 | 236.5 |

¹⁰ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research. This covers in particular the cost of the participation of EU experts in annual meetings of the Independent Joint Scientific Committee (Article 4(1) of the Protocol) and the ex-post assessment study to be carried out during the last year of the Protocol (hence the increase in this item in 2018).

| | | | | | | | |
|---|-------------|-------|--------|--------|--------|--------|----------------|
| • TOTAL appropriations of an administrative nature financed from the envelope for specific programmes | | (6) | 0.151 | 0.151 | 0.151 | 0.231 | 0.684 |
| TOTAL appropriations for HEADING 2 of the multiannual financial framework | Commitments | =4+ 6 | 59.276 | 59.276 | 59.276 | 59.356 | 237.184 |
| | Payments | =5+ 6 | 59.276 | 59.276 | 59.276 | 59.356 | 237.184 |

If more than one heading is affected by the proposal/initiative: NOT APPLICABLE

| | | | | | | | | | | |
|---|-------------|-------|--|--|--|--|--|--|--|--|
| • TOTAL operational appropriations | Commitments | (4) | | | | | | | | |
| | Payments | (5) | | | | | | | | |
| • TOTAL appropriations of an administrative nature financed from the envelope for specific programmes | | (6) | | | | | | | | |
| TOTAL appropriations for HEADINGS 1 to 4 of the multiannual financial framework (Reference amount) | Commitments | =4+ 6 | | | | | | | | |
| | Payments | =5+ 6 | | | | | | | | |

| | | |
|---|----------|----------------|
| Heading of multi-annual financial framework: | 5 | Administrative |
|---|----------|----------------|

EUR million (to three decimal places)

| | | Year 2015 | Year 2016 | Year 2017 | Year 2018 | TOTAL |
|------------------------------------|----------------|--------------|--------------|--------------|--------------|--------------|
| DG: MARE | | | | | | |
| • Human resources | | 0.210 | 0.210 | 0.210 | 0.210 | 0.840 |
| • Other administrative expenditure | | 0.03 | 0.03 | 0.03 | 0.03 | 0.12 |
| TOTAL DG MARE | Appropriations | 0.24 | 0.24 | 0.24 | 0.24 | 0.96 |

| | | | | | | |
|--|--------------------------------------|------|------|------|------|-------------|
| TOTAL appropriations for HEADING 5 of the multiannual financial framework | (Total commitments = Total payments) | 0.24 | 0.24 | 0.24 | 0.24 | 0.96 |
|--|--------------------------------------|------|------|------|------|-------------|

EUR million (to three decimal places)

| | | Year 2015 | Year 2016 | Year 2017 | Year 2018 | TOTAL |
|--|-------------|--------------|--------------|--------------|--------------|----------------|
| TOTAL appropriations for HEADINGS 1 to 5 of the multiannual financial framework | Commitments | 59.496 | 59.496 | 59.496 | 59.576 | 238.064 |
| | Payments | 59.496 | 59.496 | 59.496 | 59.576 | 238.064 |

7.2.2. Estimated impact on operational appropriations

- ☐ The proposal/initiative does not require the use of operational appropriations
- ☒ The proposal/initiative requires the use of operational appropriations, as explained below:

Commitment appropriations in EUR million (to 3 decimal places)

| Indicate objectives and outputs ↓ | | | Year 2015 | | Year 2016 | | Year 2017 | | Year 2018 | | Year ... | | Enter as many years as necessary to show the duration of the impact (see point 1.6) | | | | TOTAL | |
|---|--------------------|--------------|-----------|--------|-----------|--------|-----------|--------|-----------|--------|----------|------|---|------|----------|------|----------------|------------|
| | OUTPUTS | | | | | | | | | | | | | | | | | |
| | Type ¹¹ | Average cost | Quantity | Cost | Quantity | Cost | Quantity | Cost | Quantity | Cost | Quantity | Cost | Quantity | Cost | Quantity | Cost | Total quantity | Total cost |
| SPECIFIC OBJECTIVE No 1 ¹² ... | | | | | | | | | | | | | | | | | | |
| vessel licences | 32 000 | | 98 | 55 | 98 | 55 | 98 | 55 | 98 | 55 | | | | | | | 392 | 220 |
| - sectoral | annual | 4.125 | | 4.125 | | 4.125 | | 4.125 | | 4.125 | | | | | | | | 16.5 |
| | | | | | | | | | | | | | | | | | | |
| Subtotal for specific objective No 1 | | | | 59.125 | | 59.125 | | 59.125 | | 59.125 | | | | | | | | 236.5 |
| SPECIFIC OBJECTIVE No 2... | | | | | | | | | | | | | | | | | | |
| - Output | | | | | | | | | | | | | | | | | | |
| Subtotal for specific objective No 2 | | | | | | | | | | | | | | | | | | |
| TOTAL COST | | | | 59.125 | | 59.125 | | 59.125 | | 59.125 | | | | | | | | 236.5 |

¹¹ Outputs are products and services to be supplied (e.g.: number of student exchanges financed, number of km of roads built, etc.).

¹² As described in Section 1.4.2. 'Specific objective(s)...

7.2.3. Estimated impact on appropriations of an administrative nature

7.2.3.1. Executive summary

- ☐ The proposal/initiative does not require the use of appropriations of an administrative nature.
- ☒ The proposal/initiative requires the use of appropriations of an administrative nature, as explained below:

EUR million (to three decimal places)

| | Year 2015 | Year 2016 | Year 2017 | Year 2018 | TOTAL |
|--|--------------|--------------|--------------|--------------|-------|
|--|--------------|--------------|--------------|--------------|-------|

| HEADING 5 of the multiannual financial framework | | | | | |
|---|-------|-------|-------|-------|--------------|
| Human resources | 0.210 | 0.210 | 0.210 | 0.210 | 0.840 |
| Other administrative expenditure | 0.03 | 0.03 | 0.03 | 0.03 | 0.12 |
| Sub-total HEADING 5 of the multiannual financial framework | 0.240 | 0.240 | 0.240 | 0.240 | 0.96 |

| outside HEADING 5¹³ of the multiannual financial framework | | | | | |
|--|-------|-------|-------|--------|--------------|
| Human resources | 0.125 | 0.125 | 0.125 | 0.125 | 0.5 |
| Other expenditure of an administrative nature | 0.026 | 0.026 | 0.026 | 0.1006 | 0.184 |
| Subtotal outside HEADING 5 of the multiannual financial framework | 0.151 | 0.151 | 0.151 | 0.231 | 0.684 |

| | | | | | |
|--------------|--------------|--------------|--------------|--------------|--------------|
| TOTAL | 0.391 | 0.391 | 0.391 | 0.471 | 1.644 |
|--------------|--------------|--------------|--------------|--------------|--------------|

The appropriations of an administrative nature required will be met by appropriations from the DG that are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

¹³ Technical and/or administrative assistance and expenditure in support of the implementation of EU programmes and/or actions (former 'BA' lines), indirect research, direct research.

7.2.3.2. Estimated human resources requirements

- ☐ The proposal/initiative does not require the use of human resources.
- ☒ The proposal/initiative requires the use of human resources, as explained below:

Estimate to be expressed in full time equivalent units

| | Year 2015 | Year 2016 | Year 2017 | Year 2018 |
|---|-------------------|--------------|--------------|--------------|
| • Establishment plan posts (officials and temporary staff) | | | | |
| 11 01 01 01 (Headquarters and Commission's Representation Offices) | 1 | 1 | 1 | 1 |
| XX 01 01 02 (in Union Delegations) | | | | |
| XX 01 05 01 (Indirect research) | | | | |
| 10 01 05 01 (Direct research) | | | | |
| • External personnel (in Full Time Equivalent: FTE)¹⁴ | | | | |
| 11 01 02 01 (AC, END, INT from the 'global envelope') | 1 | 1 | 1 | 1 |
| XX 01 02 02 (CA, LA, INT, SNE and JED in the delegations) | | | | |
| 11 01 04 01¹⁵ | - At headquarters | | | |
| | - Delegations: | 1 | 1 | 1 |
| XX 0X 01 05 02 (CA, SNE, INT - indirect research) | | | | |
| 10 01 05 02 (CA, SNE, INT - indirect research) | | | | |
| Other budget lines (specify) | | | | |
| TOTAL | | | | |

XX is the policy area or budget title concerned.

The human resources required will be met by staff from the DG who are already assigned to management of the action and/or have been redeployed within the DG, together if necessary with any additional allocation which may be granted to the managing DG under the annual allocation procedure and in the light of budgetary constraints.

Description of tasks to be carried out:

| | |
|-------------------------------|--|
| Officials and temporary staff | <p>Administrative and budgetary implementation of the agreement (licences, monitoring catches, payment, sectoral support), preparation for and participation in joint committees and in negotiating subsequent Protocols, preparation and implementation of legislative acts, correspondence, technical and scientific support.</p> <p>Desk officer + financial assistant + secretarial staff + head of unit (or deputy) + scientific and technical support and collection of licence and catch data: 1 FTE at EUR 132 000/year (HoU, licence administrator, administrative secretariat and financial assistant)</p> |
| External staff | <p>Monitoring the implementation of the agreement and provision of sectoral support. Estimated 2 FTE - 1 FTE at EUR 78 000/year (desk SNE) and 1 FTE at EUR 70 000/year (contract agent in delegation)</p> |

¹⁴ CA= Contract Staff; LA = Local Staff; SNE = Seconded National Expert; INT = agency staff; JED = Junior Experts in Delegations .

¹⁵ Sub-ceiling for external staff covered by operational appropriations (former 'BA' lines).

7.2.4. *Compatibility with the current multiannual financial framework*

- x The proposal/initiative is compatible the current multiannual financial framework.
- ☐ The proposal/initiative will entail reprogramming of the relevant heading in the multiannual financial framework.

Explain what reprogramming is required, specifying the budget lines concerned and the corresponding amounts.

- ☐ The proposal/initiative requires application of the flexibility instrument or revision of the multiannual financial framework¹⁶.

Explain what is required, specifying the headings and budget lines concerned and the corresponding amounts.

7.2.5. *Third-party contributions*

- The proposal/initiative does not provide for co-financing by third parties.
- The proposal/initiative provides for the co-financing estimated below:

Appropriations in EUR million (to 3 decimal places)

| | Year N | Year N+1 | Year N+2 | Year N+3 | Enter as many years as necessary to show the duration of the impact (see point 1.6) | | | Total |
|------------------------------------|-----------|-------------|-------------|-------------|---|--|--|-------|
| Specify the co-financing body | | | | | | | | |
| TOTAL appropriations cofinanced | | | | | | | | |

¹⁶ See points 19 and 24 of the Interinstitutional Agreement for the period 2007-2013.

7.3. Estimated impact on revenue

- x The proposal/initiative has no financial impact on revenue.
- ☐ The proposal/initiative has the following financial impact:
 - ☐ on own resources
 - ☐ on miscellaneous revenue

EUR million (to three decimal places)

| Budget revenue line: | Appropriations available for the current financial year | Impact of the proposal/initiative ¹⁷ | | | | | | |
|----------------------|---|---|----------|----------|----------|---|--|--|
| | | Year N | Year N+1 | Year N+2 | Year N+3 | Enter as many years as necessary to show the duration of the impact (see point 1.6) | | |
| Article | | | | | | | | |

For miscellaneous 'assigned' revenue, specify the budget expenditure line(s) affected.

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Specify the method for calculating the impact on revenue.

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¹⁷

As regards traditional own resources (customs duties, sugar levies), the amounts indicated must be net amounts, i.e. gross amounts after deduction of 25 % for collection costs.