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ANNEX

ANNEX

to the

REPORT FROM THE COMMISSION

**on the application in 2017 of Regulation (EC) No 1049/2001 regarding public access to
European Parliament, Council and Commission documents**

Statistics relating to the application of Regulation (EC) No 1049/2001

1. THE NUMBER OF DOCUMENTS ENTERED IN THE REGISTER

	C	COM	JOIN	OJ	PV	SEC	SWD	Total
2016	14,458	1,931	115	132	93	1,333	461	18,523
2017	14,749	1,850	120	129	96	1,373	508	18,825

2. THE CONSULTATION OF THE ACCESS-TO-DOCUMENTS WEBSITE ON EUROPA

	Unique visitors		Pages viewed	
	2016	2017	2016	2017
Total	15,496	12,618	23,290	16,876

3. THE NUMBER OF INITIAL APPLICATIONS RECEIVED AND HANDLED

	2013	2014	2015	2016	2017
Applications registered	6,525	6,227	6,752	6,077	6,255
Replies given¹	7,659	7,156	7,684	7,137	6,704

¹ A single request can concern several documents and can consequently give rise to several different replies. On the other hand, several requests can be grouped together in some cases and give rise only to one single reply. This number also includes replies where the European Commission confirmed that it does not hold any documents requested.

4. THE REPLIES GIVEN AT THE INITIAL STAGE

	2013		2014		2015		2016		2017	
	No	%	No	%	No	%	No	%	No	%
Full access granted	4,400	73.43	4,096	72.77	4,003	68.79	3,622	60.94	3,200	61.86
Partial access granted	866	14.45	668	11.87	926	15.91	1,213	20.41	1,043	20.16
Access refused	640	10.68	866	15.36	890	15.29	1,109	18.66	930	17.98
Total	5,906	100	5,630	100	5,819	100	5,944	100	5,173	100

5. THE NUMBER OF CONFIRMATORY APPLICATIONS RECEIVED AND HANDLED

	2013	2014	2015	2016	2017
Applications registered	236	300	284	295	299
Replies given	252	327	291	257	299

6. THE REPLIES GIVEN AT THE CONFIRMATORY STAGE

	2013		2014		2015		2016		2017	
	No	%	No	%	No	%	No	%	No	%
Full revision – Full access granted	38	20.11	51	18.75	22	9.57	11	5.02	14	5.43
Partial revision – Partial access granted	45	23.81	67	24.63	73	31.74	103	47.03	107	41.47
Confirmation of initial reply – Access refused	106	56.08	154	56.62	135	58.70	105	47.95	137	53.10
Total	189	100	272	100	230	100	219	100	258	100

7. THE REFUSAL OF ACCESS BY EXCEPTION APPLIED (%)

Exceptions as set out in Article 4 of Regulation 1049/2001	INITIAL STAGE		CONFIRMATORY STAGE	
	2016	2017	2016	2017
4.1(a), 1st indent – Protection of the public interest as regards public security	7.29	5.41	2.39	0.33
4.1(a), 2nd indent – Protection of the public interest as regards defence and military matters	0.15	0.15	0	0
4.1(a), 3rd indent – Protection of the public interest as regards international relations	3.39	4.03	2.39	3.97
4.1(a), 4th indent – Protection of the public interest as regards the financial, monetary or economic policy of EU or a Member State	1.42	0.96	2.79	4.64
4.1(b) – Protection of privacy and the integrity of the individual	29.76	31.33	28.29	26.16
4.2, 1st indent – Protection of commercial interests	13.71	16.76	15.94	13.25
4.2, 2nd indent – Protection of court proceedings and legal advice	4.70	4.33	5.58	2.65
4.2, 3rd indent – Protection of the purpose of inspections, investigations and audits	16.23	17.64	20.32	35.10
4.3, first subparagraph – Decision-making process where no decision has been taken yet	18.78	16.33	20.32	11.92
4.3, second subparagraph – Decision-making process where the decision has already been taken (opinions for internal use as part of deliberations and preliminary consultations)	2.95	2.11	1.99	1.99
4.4. and 4.5. – Refusal by a Member State or a third party ²	1.60	0.96	/	/
Total (%)	100	100	100	100

² This category is not used anymore, as it does not constitute an exception in the sense of Article 4 of Regulation 1049/2001. It still appears as the raw data available did not in all cases enable a ventilation in accordance with the exceptions of Article 4 of Regulation 1049/2001.

8. THE SOCIAL AND OCCUPATIONAL PROFILE OF APPLICANTS (%)

	INITIAL STAGE		CONFIRMATORY STAGE	
	2016	2017	2016	2017
Academic institution/Think tank	15.96	21.61	4.41	8.36
Company/Business association	9.73	13.57	3.05	6.69
Journalist	5.89	5.72	8.14	7.69
Law firm/self-employed attorney	13.46	13.24	26.44	36.79
MEP/assistant MEP	4.77	1.01	3.05	3.34
Non-governmental organization (NGO)	11.88	7.45	24.75	12.71
Not specified (Citizens)	38.3	37.39	30.17	24.41
Total (%)	100	100	100	100

9. THE GEOGRAPHICAL ORIGIN OF APPLICANTS (%)

	INITIAL STAGE		CONFIRMATORY STAGE	
	2016	2017	2016	2017
Austria	1.35	1.07	1.02	2.34
Belgium	27.23	25.74	33.22	29.43
Bulgaria	0.59	0.43	1.36	0.33
Cyprus	0.07	0.08	0	0.67
Croatia	0.30	0.35	0.68	0
Czech Republic	1.51	0.75	1.69	1.34
Denmark	1.65	2.14	0	1.00
Estonia	0.23	0.43	1.02	0
Finland	1.05	0.43	0.34	0
France	7.95	6.60	5.08	6.02
Germany	12.56	11.97	13.22	14.38
Greece	1.51	1.14	1.69	2.01
Hungary	1.22	0.90	1.36	1.67
Ireland	1.53	1.95	1.36	2.01
Italy	6.70	6.33	9.83	6.35

Latvia	0.23	0.13
Lithuania	0.43	0.21
Luxembourg	0.82	0.85
Malta	0.26	0.22
Netherlands	5.20	6.83
Poland	1.99	1.69
Portugal	1.07	0.75
Romania	0.58	0.77
Slovakia	0.28	0.35
Slovenia	0.21	0.24
Spain	7.54	5.87
Sweden	1.27	0.91
United Kingdom	10.04	15.24
Non-EU European countries	1.65	1.82
North America	0.99	2.65
Australia and New Zealand	0.36	0.06
Africa	0.20	0.16
South America	0.12	0.03
Asia	0.84	0.50
Not specified ³	0.49	0.40
Total (%)	100	100

0	0
0	0
0.34	0
0.34	0
6.44	3.34
2.03	3.68
0	2.34
0	0.67
0	0.3
0.34	0
8.81	8.36
0	0
5.76	8.70
3.05	4.01
0.34	0.67
0	0
0	0
0	0
0.34	0
0.34	0.33
100	100

³ This category appears in the statistics because in cases, where the initial application was not submitted via the online tool, it was encoded manually and in certain cases, due to a clerical error, the country of the applicant was not indicated in the IT system used for the management of the access to documents cases (GestDem).

10. THE APPLICATIONS PER COMMISSION DEPARTMENT (%)

	INITIAL STAGE		CONFIRMATORY STAGE	
	2016	2017	2016	2017
AGRI – Agriculture and Rural Development	3.21	2.01	1.69	0.33
BUDG – Budget	0.72	0.50	0.34	0.33
CLIMA – Climate Action	1.28	1.52	1.36	1.67
CNECT – Communications Networks, Content and Technology	2.19	2.33	2.71	6.02
COMM – Communication	0.66	0.30	1.02	1.00
COMP – Competition	7.22	9.86	15.93	20.07
DEVCO – International Cooperation and Development	3.51	3.05	1.36	4.01
DGT – Translation	0.10	0.05	0.34	0
DIGIT – Informatics	0.54	1.31	0	0.33
EAC – Education, Youth, Sport and Culture	0.72	0.46	0.34	1.00
ECFIN – Economic and Financial Affairs	1.25	0.90	0.34	0
ECHO – European Civil Protection and Humanitarian Aid Operations	0.56	5.29	1.02	0.33
EMPL – Employment, Social Affairs and Inclusion	3.16	2.78	4.07	3.01
ENER – Energy	2.86	2.67	2.03	2.34
ENV – Environment	4.02	3.95	3.73	3.01
EPSC – European Political Strategy Centre	0.05	0.05	0	0
EPSO – European Personnel Selection Office	0.89	0.42	5.42	1.34
ESTAT – Eurostat	0.28	0.13	0	0.67
FISMA – Financial Stability, Financial Services and Capital Markets Union	5.63	7.48	1.36	2.34
FPI – Service for Foreign Policy Instruments ⁴	1.35	0.48	0	0
GROW – Internal Market, Industry, Entrepreneurship and SMEs	7.57	6.31	5.76	8.03
HOME – Migration and Home Affairs	4.29	2.46	3.39	3.34
HR – Human Resources and Security (including OIL (Offices for Infrastructure and Logistics in Luxembourg) + PMO (Office for Administration and Payment of Individual Entitlements))	3.01	4.17	1.69	2.68
IAS – Internal Audit Service	0.05	0.10	0.34	0
JRC – Joint Research Centre	0.25	0.19	0	1.00
JUST – Justice and Consumers	3.26	2.30	6.10	5.35
MARE – Maritime Affairs and Fisheries	0.66	1.42	0.34	1.00
MOVE – Mobility and Transport	4.67	4.27	3.05	5.02

⁴ Since the creation of the European External Action Service (EEAS), only documents of the Service for Foreign Policy Instruments are retained within the European Commission.

NEAR – European Neighbourhood Policy and Enlargement Negotiations	2.19	1.92	2.71	3.34
OIB – Office for Infrastructure and Logistics in Brussels	0.08	0.13	0	0
OLAF – European Anti-Fraud Office ⁵	0.33	0.37	0.34	0
OP – Publications Office	0.10	0.13	0	0
REGIO – Regional and Urban Policy	3.34	1.73	1.36	0.67
RTD – Research and Innovation	1.43	1.97	2.37	1.34
SANTE – Health and Food Safety	8.01	7.93	10.17	6.02
SCIC – Interpretation	0.07	0.02	0	0
SG – Secretariat-General	8.62	5.84	6.78	3.34
SJ – Legal Service	3.65	3.69	4.41	3.34
TAXUD – Taxation and Customs Union	4.18	4.86	6.10	5.69
TF 50 – Task Force (Brexit)	0	0.42	0	0.67
TRADE – Trade	4.03	4.24	2.03	1.34
Total (%)	100	100	100	100

⁵ The data for the European Anti-Fraud Office (OLAF) concern the applications for access to documents as regards its administrative activities, which are recorded in the same IT system as used by other European Commission departments (GestDem). The applications for access to documents concerning OLAF's investigative activities are not the subject of this report.