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DOCUMENTS

DRAFT General Budget of the European Union for the financial year 2011

VOLUME 3

SECTION III
COMMISSION

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Expenditure

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REVENUE — REVENUE

Figures

Title	Heading	Budget 2011	Budget 2010	Outturn 2009
4	Revenue accruing from persons working with the institutions and other Union bodies	924 988 114	919 254 686	780 635 325,65
5	Revenue accruing from the administrative operation of the institution	66 600 000	66 600 000	258 258 869,15
6	Contributions and refunds in connection with Community/EU agreements and programmes	30 000 000	30 000 000	4 544 559 618,52
7	Interest on late payments and fines	123 000 000	123 000 000	932 990 431,87
8	Borrowing and lending operations	p.m.	p.m.	3 678 263,68
9	Miscellaneous revenue	30 000 000	30 000 000	61 458 199,98
	Total	1 174 588 114	1 168 854 686	6 581 580 708,85

TITLE 4 — REVENUE ACCRUING FROM PERSONS WORKING WITH THE INSTITUTIONS AND OTHER UNION BODIES

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
4 0	MISCELLANEOUS TAXES AND DEDUCTIONS	531 292 691	529 525 013	447 600 506,29
4 1	CONTRIBUTIONS TO THE PENSION SCHEME	380 772 177	378 253 023	325 143 146,40
4 2	OTHER CONTRIBUTIONS TO THE PENSION SCHEME	12 923 246	11 476 650	7 891 672,96
	Title 4 — Total	924 988 114	919 254 686	780 635 325,65

CHAPTER 4 0 — MISCELLANEOUS TAXES AND DEDUCTIONS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
4 0	MISCELLANEOUS TAXES AND DEDUCTIONS			
4 0 0	<i>Proceeds of the tax on the salaries, wages and allowances of officials, other servants and persons in receipt of a pension</i>	487 630 404	490 312 698	414 525 432,50
4 0 3	<i>Proceeds of the temporary contribution from the salaries of officials and other servants in active employment</i>	p.m.	p.m.	190 624,57
4 0 4	<i>Proceeds from the special levy on the salaries of officials and other servants in active employment</i>	43 662 287	39 212 315	32 884 449,22
	Chapter 4 0 — Total	531 292 691	529 525 013	447 600 506,29

Article 4 0 0 — *Proceeds of the tax on the salaries, wages and allowances of officials, other servants and persons in receipt of a pension*

Figures

Budget 2011	Budget 2010	Outturn 2009
487 630 404	490 312 698	414 525 432,50

Remarks

This revenue represents all the tax levied on salaries, wages and emoluments of every type, with the exception of benefits and family allowances paid to Members of the Commission, officials, other servants and persons in receipt of the compensation payments on

termination of employment referred to in Chapter 01 of each title of the statement of expenditure and to persons in receipt of a pension.

The estimated revenue also includes the amounts for the European Investment Bank, the European Central Bank and the European Investment Fund.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Protocol on the privileges and immunities of the European Union, and in particular Article 12 thereof.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission and of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Council Regulation (EEC, Euratom, ECSC) No 260/68 of 29 February 1968 laying down the conditions and procedure for applying the tax for the benefit of the European Communities (OJ L 56, 4.3.1968, p. 8).

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the Conditions of employment of staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Article 4 0 3 — Proceeds of the temporary contribution from the salaries of officials and other servants in active employment

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	190 624,57

Remarks

The provisions concerning the temporary contribution applied until 30 June 2003. Therefore this article will cover any revenue resulting from the residual amount of the temporary contribution from the salaries of Members of the Commission, officials and other servants in active employment.

Legal basis

Staff Regulations of Officials of the European Communities.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, of the President, Judges, Advocates-General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

Article 4 0 4 — Proceeds from the special levy on the salaries of officials and other servants in active employment

Figures

Budget 2011	Budget 2010	Outturn 2009
43 662 287	39 212 315	32 884 449,22

Remarks

This article is intended to record the proceeds from the special levy on the salaries of officials and other servants in active employment according to Article 66a of the Staff Regulations.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Regulation No 422/67/EEC, No 5/67/Euratom of the Council of 25 July 1967 determining the emoluments of the President and Members of the Commission, and of the President, Judges, Advocates General and Registrar of the Court of Justice, of the President, Members and Registrar of the Court of First Instance and of the President, Members and Registrar of the European Union Civil Service Tribunal (OJ 187, 8.8.1967, p. 1).

CHAPTER 4 1 — CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
4 1	CONTRIBUTIONS TO THE PENSION SCHEME			
4 1 0	<i>Staff contributions to the pension scheme</i>	325 020 177	312 614 023	268 944 682,76
4 1 1	<i>Transfer or purchase of pension rights by staff</i>	55 652 000	65 539 000	56 141 341,43
4 1 2	<i>Contributions to the pension scheme by officials and temporary staff on leave on personal grounds</i>	100 000	100 000	57 122,21
	Chapter 4 1 — Total	380 772 177	378 253 023	325 143 146,40

Article 4 1 0 — Staff contributions to the pension scheme

Figures

Budget 2011	Budget 2010	Outturn 2009
325 020 177	312 614 023	268 944 682,76

Remarks

This revenue represents staff contributions to the financing of the pension scheme.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

Council Regulation (ECSC, EEC, Euratom) No 1860/76 of 29 June 1976 laying down the conditions of employment of staff of the European Foundation for the Improvement of Living and Working Conditions (OJ L 214, 6.8.1976, p. 24).

Article 4 1 1 — Transfer or purchase of pension rights by staff

Figures

Budget 2011	Budget 2010	Outturn 2009
55 652 000	65 539 000	56 141 341,43

Remarks

This revenue represents the payment to the European Union of the actuarial equivalent or the flat-rate redemption value of pension rights acquired by officials in their previous jobs.

Legal basis

Staff Regulations of Officials of the European Communities.

Article 4 1 2 — Contributions to the pension scheme by officials and temporary staff on leave on personal grounds

Figures

Budget 2011	Budget 2010	Outturn 2009
100 000	100 000	57 122,21

Remarks

Officials and other servants taking leave on personal grounds may continue to acquire pension rights provided that they also bear the cost of the employer's contribution.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

CHAPTER 4 2 — OTHER CONTRIBUTIONS TO THE PENSION SCHEME

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
4 2	OTHER CONTRIBUTIONS TO THE PENSION SCHEME			
4 2 0	<i>Employer's contribution by decentralised agencies and international organisations to the pension scheme</i>	12 923 246	11 476 650	7 891 672,96
	Chapter 4 2 — Total	12 923 246	11 476 650	7 891 672,96

Article 4 2 0 — Employer's contribution by decentralised agencies and international organisations to the pension scheme

Figures

Budget 2011	Budget 2010	Outturn 2009
12 923 246	11 476 650	7 891 672,96

Remarks

This revenue represents the employer's contribution by decentralised agencies and international organisations to the pension scheme.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of Employment of Other Servants of the European Communities.

TITLE 5 — REVENUE ACCRUING FROM THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
5 0	PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY	p.m.	p.m.	1 344 082,43
5 1	PROCEEDS FROM LETTING AND HIRING	p.m.	p.m.	6 585 037,54

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
5 2	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST	66 500 000	66 500 000	105 753 359,67
5 5	REVENUE FROM THE SUPPLY OF SERVICES AND WORKS	p.m.	p.m.	7 726 313,19
5 7	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION	p.m.	p.m.	134 022 140,37
5 8	MISCELLANEOUS COMPENSATION	p.m.	p.m.	1 081 166,34
5 9	Other revenue from administrative operations	100 000	100 000	1 746 769,61
	Title 5 — Total	66 600 000	66 600 000	258 258 869,15

CHAPTER 5 0 — PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 0	PROCEEDS FROM THE SALE OF MOVABLE PROPERTY (SUPPLY OF GOODS) AND IMMOVABLE PROPERTY			
5 0 0	<i>Proceeds from the sale of movable property (supply of goods)</i>			
5 0 0 0	Proceeds from the sale of vehicles — Assigned revenue	p.m.	p.m.	70 077,05
5 0 0 1	Proceeds from the sale of other movable property — Assigned revenue	p.m.	p.m.	23 392,42
5 0 0 2	Proceeds from the supply of goods to other institutions or bodies — Assigned revenue	p.m.	p.m.	362 665,38
	<i>Article 5 0 0 — Subtotal</i>	p.m.	p.m.	456 134,85
5 0 1	<i>Proceeds from the sale of immovable property</i>	p.m.	p.m.	0,—
5 0 2	<i>Proceeds from the sale of publications, printed works and films — Assigned revenue</i>	p.m.	p.m.	887 947,58
	Chapter 5 0 — Total	p.m.	p.m.	1 344 082,43

Article 5 0 0 — Proceeds from the sale of movable property (supply of goods)

Item 5 0 0 0 — Proceeds from the sale of vehicles — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	70 077,05

Remarks

This item is intended to record revenue from the sale or part-exchange of vehicles belonging to the institutions. It records also the proceeds from the sale of vehicles that are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 18(1)(e) and (ea) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 0 0 1 — Proceeds from the sale of other movable property — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	23 392,42

Remarks

This item is intended to record revenue from the sale or part-exchange of movable property, other than vehicles, belonging to the institutions. It records also the proceeds from the sale of equipment, installations, materials, and scientific and technical apparatus which are being replaced or scrapped when the book value is fully depreciated.

In accordance with Article 18(1)(e) and (ea) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 0 0 2 — Proceeds from the supply of goods to other institutions or bodies — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	362 665,38

Remarks

In accordance with Article 18(1)(g) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 0 1 — Proceeds from the sale of immovable property

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record revenue from the sale of immovable property belonging to the institution.

Article 5 0 2 — Proceeds from the sale of publications, printed works and films — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	887 947,58

Remarks

In accordance with Article 18(1)(j) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

This article also contains revenue from the sale of such products on an electronic medium.

CHAPTER 5 1 — PROCEEDS FROM LETTING AND HIRING

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 1	PROCEEDS FROM LETTING AND HIRING			
5 1 0	<i>Proceeds from the hiring-out of furniture and equipment — Assigned revenue</i>	p.m.	p.m.	0,—
5 1 1	<i>Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings</i>			
5 1 1 0	Proceeds from letting and subletting immovable property — Assigned revenue	p.m.	p.m.	5 517 500,69
5 1 1 1	Reimbursement of charges connected with lettings — Assigned revenue	p.m.	p.m.	1 067 536,85
	<i>Article 5 1 1 — Subtotal</i>	p.m.	p.m.	6 585 037,54
	Chapter 5 1 — Total	p.m.	p.m.	6 585 037,54

Article 5 1 0 — Proceeds from the hiring-out of furniture and equipment — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 1 1 — Proceeds from letting and subletting immovable property and reimbursement of charges connected with lettings

Item 5 1 1 0 — Proceeds from letting and subletting immovable property — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	5 517 500,69

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 5 1 1 1 — Reimbursement of charges connected with lettings — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	1 067 536,85

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 2 — REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 2	REVENUE FROM INVESTMENTS OR LOANS GRANTED, BANK AND OTHER INTEREST			
5 2 0	<i>Revenue from investments or loans granted, bank and other interest on the institution's accounts</i>	6 500 000	6 500 000	16 458 980,78
5 2 1	<i>Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission</i>	10 000 000	10 000 000	26 885 559,70
5 2 2	<i>Interest yielded by pre-financing</i>	50 000 000	50 000 000	62 408 819,19
5 2 3	<i>Revenue generated on Trust Accounts — Assigned revenue</i>	p.m.	p.m.	
	Chapter 5 2 — Total	66 500 000	66 500 000	105 753 359,67

Article 5 2 0 — Revenue from investments or loans granted, bank and other interest on the institution's accounts

Figures

Budget 2011	Budget 2010	Outturn 2009
6 500 000	6 500 000	16 458 980,78

Remarks

This revenue refers only to bank interest paid into the Commission's current accounts.

Article 5 2 1 — Revenue from investments or loans granted, bank and other interest on the accounts of organisations receiving subsidies transferred to the Commission

Figures

Budget 2011	Budget 2010	Outturn 2009
10 000 000	10 000 000	26 885 559,70

Remarks

This article is intended to record the revenue accruing from the repayment of interest by the subsidised organisations which have placed advances received from the Commission on interest-bearing accounts. If they remain unused, these advances and the interest on them have to be repaid to the Commission.

Article 5 2 2 — Interest yielded by pre-financing

Figures

Budget 2011	Budget 2010	Outturn 2009
50 000 000	50 000 000	62 408 819,19

Remarks

This article is intended to record revenue from the interest yielded by pre-financing.

In accordance with Article 5a of the Financial Regulation, the amounts booked to this article may be used to provide additional appropriations under the corresponding headings of the statement of expenditure in this section. Thus, interest generated by pre-

financing payments shall be assigned to the programme or the action concerned and deducted from the payment of the balance of the amounts due to the beneficiary.

The Regulation laying down the rules for implementing the Financial Regulation also specifies the cases in which the authorising officer responsible shall, by way of exception, recover annually such interest.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 5a thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Articles 4 and 4a thereof.

Article 5 2 3 — Revenue generated on Trust Accounts — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	

Remarks

This article is intended to record interest and other revenue generated on Trust Accounts.

The Trust Accounts are kept on behalf of the Union by international financial institutions (European Investment Fund, European Investment Bank, Council of Europe Development Bank/Kreditanstalt für Wiederaufbau, European Bank for Reconstruction and Development) managing Union programmes and the amounts paid in by the Union remain on the account until they are made available to the beneficiaries under the single programme, such as small and medium-sized enterprises or institutions managing projects in accession countries.

In accordance with Article 18(2) of the Financial Regulation, interest generated by Trust Accounts used for Community/EU programmes is used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 18(2) thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

CHAPTER 5 5 — REVENUE FROM THE SUPPLY OF SERVICES AND WORKS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 5	REVENUE FROM THE SUPPLY OF SERVICES AND WORKS			
5 5 0	<i>Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue</i>	p.m.	p.m.	8 036 131,49
5 5 1	<i>Revenue from third parties in respect of services or work supplied at their request — Assigned revenue</i>	p.m.	p.m.	-309 818,30
	Chapter 5 5 — Total	p.m.	p.m.	7 726 313,19

Article 5 5 0 — Proceeds from the supply of services and works for other institutions or bodies, including refunds by other institutions or bodies of mission allowances paid on their behalf — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	8 036 131,49

Remarks

In accordance with Article 18(1)(g) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 5 1 — Revenue from third parties in respect of services or work supplied at their request — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	-309 818,30

Remarks

In accordance with Article 18(1)(e) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 7 — OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 7	OTHER CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH THE ADMINISTRATIVE OPERATION OF THE INSTITUTION			
5 7 0	<i>Revenue arising from the repayment of amounts wrongly paid — Assigned revenue</i>	p.m.	p.m.	7 985 569,86
5 7 1	<i>Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue</i>	p.m.	p.m.	0,—
5 7 2	<i>Repayment of welfare expenditure incurred on behalf of another institution</i>	p.m.	p.m.	0,—
5 7 3	<i>Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue</i>	p.m.	p.m.	126 036 570,51
	Chapter 5 7 — Total	p.m.	p.m.	134 022 140,37

Article 5 7 0 — Revenue arising from the repayment of amounts wrongly paid — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	7 985 569,86

Remarks

In accordance with Article 18(1)(f) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 7 1 — Revenue earmarked for a specific purpose, such as income from foundations, subsidies, gifts and bequests, including the earmarked revenue specific to each institution — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

In accordance with Article 18(1)(c) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 7 2 — Repayment of welfare expenditure incurred on behalf of another institution

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record revenue from the repayment of welfare expenditure incurred on behalf of another institution.

Article 5 7 3 — Other contributions and refunds in connection with the administrative operation of the institution — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	126 036 570,51

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 8 — MISCELLANEOUS COMPENSATION

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 8	MISCELLANEOUS COMPENSATION			
5 8 0	<i>Revenue from payments connected with lettings — Assigned revenue</i>	p.m.	p.m.	158 886,25
5 8 1	<i>Revenue from insurance payments received — Assigned revenue</i>	p.m.	p.m.	922 280,09
	Chapter 5 8 — Total	p.m.	p.m.	1 081 166,34

Article 5 8 0 — Revenue from payments connected with lettings — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	158 886,25

Remarks

In accordance with Article 18(1)(i) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 5 8 1 — Revenue from insurance payments received — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	922 280,09

Remarks

In accordance with Article 18(1)(h) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

CHAPTER 5 9 — OTHER REVENUE FROM ADMINISTRATIVE OPERATIONS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
5 9	Other revenue from administrative operations			
5 9 0	<i>Other revenue from administrative operations</i>	100 000	100 000	1 746 769,61
	Chapter 5 9 — Total	100 000	100 000	1 746 769,61

Article 5 9 0 — Other revenue from administrative operations

Figures

Budget 2011	Budget 2010	Outturn 2009
100 000	100 000	1 746 769,61

Remarks

This article is intended to record other revenue from administrative operations.

TITLE 6 — CONTRIBUTIONS AND REFUNDS IN CONNECTION WITH COMMUNITY/EU AGREEMENTS AND PROGRAMMES

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
6 0	CONTRIBUTIONS TO COMMUNITY/EU PROGRAMMES	p.m.	p.m.	446 862 283,02
6 1	REPAYMENT OF MISCELLANEOUS EXPENDITURE	p.m.	p.m.	170 838 291,23
6 2	REVENUE FROM SERVICES RENDERED AGAINST PAYMENT	p.m.	p.m.	84 758 678,84

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
6 3	CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS	p.m.	p.m.	295 838 235,93
6 5	FINANCIAL CORRECTIONS	p.m.	p.m.	305 688 340,62
6 6	OTHER CONTRIBUTIONS AND REFUNDS	30 000 000	30 000 000	412 783 493,91
6 7	REVENUE CONCERNING EAGF AND EAFRD	p.m.	p.m.	1 086 372 889,60
6 8	TEMPORARY RESTRUCTURING AMOUNTS	p.m.	p.m.	1 741 417 405,37
	Title 6 — Total	30 000 000	30 000 000	4 544 559 618,52

CHAPTER 6 0 — CONTRIBUTIONS TO COMMUNITY/EU PROGRAMMES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 0	CONTRIBUTIONS TO COMMUNITY/EU PROGRAMMES			
6 0 1	Miscellaneous research programmes			
6 0 1 1	Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue	p.m.	p.m.	0,—
6 0 1 2	European fusion development agreements (EFDA) — Assigned revenue	p.m.	p.m.	16 455 367,63
6 0 1 3	Cooperation agreements with non-member countries under Community/EU research programmes — Assigned revenue	p.m.	p.m.	225 927 075,31
6 0 1 5	Cooperation agreements with institutes from non-member countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue	p.m.	p.m.	0,—
6 0 1 6	Agreements for European cooperation in the field of scientific and technical research — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 0 1 — Subtotal</i>	p.m.	p.m.	242 382 442,94
6 0 2	Other programmes			
6 0 2 1	Miscellaneous revenue relating to humanitarian aid — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 0 2 — Subtotal</i>	p.m.	p.m.	0,—
6 0 3	Association agreements between the EU/Community and third countries			
6 0 3 1	Revenue accruing from the participation of the candidate countries and the western Balkan potential candidate countries in EU/Community programmes — Assigned revenue	p.m.	p.m.	192 439 263,08
6 0 3 2	Revenue accruing from the participation of non-member countries, other than candidate countries and western Balkan potential candidate countries in customs cooperation agreements — Assigned revenue	p.m.	p.m.	251 618,00
6 0 3 3	Participation of outside bodies in EU/Community activities — Assigned revenue	p.m.	p.m.	11 788 959,00
	<i>Article 6 0 3 — Subtotal</i>	p.m.	p.m.	204 479 840,08
	Chapter 6 0 — Total	p.m.	p.m.	446 862 283,02

Article 6 0 1 — Miscellaneous research programmes

Item 6 0 1 1 — Switzerland-Euratom cooperation agreements on controlled thermonuclear fusion and plasma physics — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue resulting from cooperation agreements between Switzerland and the European Atomic Energy Community, notably that of 14 September 1978.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 22 04 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

Item 6 0 1 2 — European fusion development agreements (EFDA) — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	16 455 367,63

Remarks

Revenue resulting from the multilateral EFDA agreements between the European Atomic Energy Community and its 18 fusion associates, and notably that of 30 March 1999.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 08 22 04 (indirect action) of the statement of expenditure in this section, depending on the expenditure to be covered.

Such revenue covers the associates' contribution to financing joint fund expenditure resulting from the use of JET facilities for the purposes of the EFDA.

Item 6 0 1 3 — Cooperation agreements with non-member countries under Community/EU research programmes — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	225 927 075,31

Remarks

Revenue resulting from cooperation agreements between the Community/EU and non-member countries, notably those participating in European cooperation in the field of scientific and technical research (COST), in order to associate them with Community/EU research programmes.

Any contributions received are to cover the costs of meetings, experts' contracts and research expenditure under the programmes in question.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04, 09 04 02, 15 07 78, 32 06 03 (indirect action), 10 02 02 and 10 03 02 of the statement of expenditure in this section, depending on the expenditure to be covered.

Legal basis

Decision 2007/502/EC, Euratom of the Council and of the Commission of 25 June 2007 on the signing, on behalf of the European Community and the European Atomic Energy Community, and provisional application of the Agreement on Scientific and Technological Cooperation between the European Community and the European Atomic Energy Community, of the one part, and the Swiss Confederation, of the other part (OJ L 189, 20.7.2007, p. 24).

Decision 2007/585/EC of the Council of 10 July 2007 on the signing and provisional application of the Agreement on scientific and technical cooperation between the European Community and the State of Israel (OJ L 220, 25.8.2007, p. 3).

Reference acts

Proposal for a Council Decision, submitted by the Commission on 27 October 2009, on the signature, on behalf of the European Community, and provisional application of the Agreement between the European Community and the Government of the Faroes on

Scientific and Technological Cooperation, associating the Faroe Islands to the European Community's Seventh Framework Programme for Research, Technological Development and Demonstration Activities (2007-2013) (COM(2009) 565 final).

It is foreseen to sign the Agreement in 2010 (possible by mid-June) with retroactive effect from 1 January 2010.

Item 6 0 1 5 — Cooperation agreements with institutes from non-member countries in connection with scientific and technological projects of Union interest (Eureka and others) — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue resulting from cooperation agreements between the Community/Union and institutes from non-member countries in connection with scientific and technological projects of Union interest (Eureka and others).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04 and 09 04 02 (indirect action) of the statement of expenditure in this section.

Item 6 0 1 6 — Agreements for European cooperation in the field of scientific and technical research — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue from States taking part in European cooperation in the field of scientific and technical research.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 02 04 03, 06 06 04, 08 22 04 and 09 04 02 (indirect action) of the statement of expenditure in this section.

Reference acts

Resolution of the Ministers of States participating in European Cooperation in the field of scientific and technical research (COST) (signed in Vienna on 21 November 1991) (OJ C 333, 24.12.1991, p. 1).

Article 6 0 2 — Other programmes

Item 6 0 2 1 — Miscellaneous revenue relating to humanitarian aid — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Any contributions by outside bodies relating to humanitarian aid.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Title 23 of the statement of expenditure in this section.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 6 0 3 — Association agreements between the EU/Community and third countries

Item 6 0 3 1 — Revenue accruing from the participation of the candidate countries and the western Balkan potential candidate countries in EU/Community programmes — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	192 439 263,08

Remarks

Revenue accruing from the Association Agreements concluded between the EU and the countries referred to hereinafter as a result of their participation in various Community/EU programmes. Eventual revenue coming from countries that are already Member States relate to past operations.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Framework Agreement between the European Community and the Republic of Turkey on the general principles for the participation of the Republic of Turkey in Community programmes (OJ L 61, 2.3.2002, p. 29).

Framework Agreement between the European Community and the Republic of Albania on the general principles for the participation of the Republic of Albania in Community programmes (OJ L 192, 22.7.2005, p. 2).

Framework Agreement between the European Community and Bosnia and Herzegovina on the general principles for the participation of Bosnia and Herzegovina in Community programmes (OJ L 192, 22.7.2005, p. 9).

Framework Agreement between the European Community and the Republic of Croatia on the general principles for the participation of the Republic of Croatia in Community programmes (OJ L 192, 22.7.2005, p. 16).

Framework Agreement between the European Community and Serbia and Montenegro on the general principles for the participation of Serbia and Montenegro in Community programmes (OJ L 192, 22.7.2005, p. 29).

Protocol to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the former Yugoslav Republic of Macedonia, of the other part, on a Framework Agreement between the European Community and the former Yugoslav Republic of Macedonia on the general principles for the participation of the former Yugoslav Republic of Macedonia in Community programmes (OJ L 192, 22.7.2005, p. 23).

Agreement in the form of an exchange of letters concerning the provisional application of Protocol 8 to the Stabilisation and Association Agreement between the European Communities and their Member States, of the one part, and the Republic of Montenegro, of the other part, on the general principles for the participation of Montenegro in Community programmes (OJ L 43, 19.2.2008, p. 11).

Additional protocols to the Europe Agreements (Articles 228 and 238) opening up Community programmes to the candidate countries.

Item 6 0 3 2 — Revenue accruing from the participation of non-member countries, other than candidate countries and western Balkan potential candidate countries in customs cooperation agreements — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	251 618,00

Remarks

This item is intended to record non-member country contributions to customs cooperation agreements, in particular under the Transit project and the project for the dissemination of tariff and other particulars (by computer).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 14 03 01, 14 04 01, 14 04 02 and 14 05 03 of the statement of expenditure in this section.

Legal basis

Convention of 20 May 1987 on a Common Transit Procedure (OJ L 226, 13.8.1987, p. 2).

Council Decision 2000/305/EC of 30 March 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Switzerland concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 102, 27.4.2000, p. 50).

Council Decision 2000/506/EC of 31 July 2000 on the conclusion of an Agreement in the form of an Exchange of Letters between the European Community and Norway concerning the extension of the common communication network/common system interface (CCN/CSI) within the framework of the Convention on a common transit procedure (OJ L 204, 11.8.2000, p. 35).

Council Decision of 19 March 2001 authorising the Commission to negotiate, on behalf of the European Community, an amendment to the Convention creating the Customs Cooperation Council signed in Brussels on 15 December 1950 allowing the European Community to become a member of that organisation.

Decision No 253/2003/EC of the European Parliament and of the Council of 11 February 2003 adopting an action programme for customs in the Community (Customs 2007) (OJ L 36, 12.2.2003, p. 1).

Decision No 624/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing an action programme for customs in the Community 'Customs 2013' (OJ L 154, 14.6.2007, p. 25).

Item 6 0 3 3 — Participation of outside bodies in EU/Community activities — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	11 788 959,00

Remarks

Any contributions by outside bodies to EU/Community activities.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 1 — REPAYMENT OF MISCELLANEOUS EXPENDITURE

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 1	REPAYMENT OF MISCELLANEOUS EXPENDITURE			
6 1 1	<i>Repayment of expenditure incurred on behalf of one or more Member States</i>			
6 1 1 3	Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue	p.m.	p.m.	56 445 859,43
6 1 1 4	Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel	p.m.	p.m.	0,—
	<i>Article 6 1 1 — Subtotal</i>	p.m.	p.m.	56 445 859,43
6 1 2	<i>Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue</i>	p.m.	p.m.	894 767,08

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 1 4	Repayment of Community/EU support to commercially successful projects and activities			
6 1 4 0	Repayment of Community/EU support to commercially successful projects and activities in the field of new energy technology — Assigned revenue	—	p.m.	0,—
6 1 4 3	Repayment of Community/EU support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 1 4 — Subtotal</i>	p.m.	p.m.	0,—
6 1 5	Repayment of unused Community/EU aid			
6 1 5 0	Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, Solidarity Fund, ISPA and IPA	p.m.	p.m.	59 834 833,16
6 1 5 1	Repayment of unused subsidies for balancing budgets — Assigned revenue	p.m.	p.m.	0,—
6 1 5 2	Repayment of unused interest subsidies — Assigned revenue	p.m.	p.m.	0,—
6 1 5 3	Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue	p.m.	p.m.	37 797,32
6 1 5 7	Repayments of payments on account under the Structural Funds, the Cohesion Fund and the European Fisheries Fund	p.m.	p.m.	27 667 926,25
6 1 5 8	Repayment of miscellaneous unused Community/EU aid — Assigned revenue	p.m.	p.m.	23 188 730,82
	<i>Article 6 1 5 — Subtotal</i>	p.m.	p.m.	110 729 287,55
6 1 6	Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue	p.m.	p.m.	0,—
6 1 7	Repayment of amounts paid in connection with Community/EU aid to non-member countries			
6 1 7 0	Repayments within the framework of cooperation with South Africa — Assigned revenue	p.m.	p.m.	2 758 732,44
	<i>Article 6 1 7 — Subtotal</i>	p.m.	p.m.	2 758 732,44
6 1 8	Repayment of amounts paid in connection with food aid			
6 1 8 0	Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue	p.m.	p.m.	0,—
6 1 8 1	Repayment of additional costs caused by the recipients of food aid — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 1 8 — Subtotal</i>	p.m.	p.m.	0,—
6 1 9	Repayment of other expenditure incurred on behalf of outside bodies			
6 1 9 1	Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 94/179/Euratom — Assigned revenue	p.m.	p.m.	9 644,73
	<i>Article 6 1 9 — Subtotal</i>	p.m.	p.m.	9 644,73
	Chapter 6 1 — Total	p.m.	p.m.	170 838 291,23

Article 6 1 1 — Repayment of expenditure incurred on behalf of one or more Member States

Item 6 1 1 3 — Revenue from the investments provided for in Article 4 of Decision 2003/76/EC — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	56 445 859,43

Remarks

Council Decision 2003/76/EC stipulates that the Commission shall be entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress when the ECSC Treaty expires.

Pursuant to Article 4 of Decision 2003/76/EC, net revenue from the investment of available assets will constitute revenue in the general budget of the European Union earmarked for a specific purpose, namely financing research projects in sectors related to the coal and steel industry by a coal and steel research fund.

The net revenue available for financing research projects in year $n + 2$ appears in the balance sheet of the ECSC in liquidation for year n , and after the liquidation process has been completed will appear as assets in the balance sheet of the Coal and Steel Research Fund. This financing mechanism took effect in 2003. Revenue coming from 2009 will be used for research in 2011. In order to reduce as far as possible the fluctuations that movements in the financial markets could cause in the financing of research, a smoothing arrangement is applied. The amount of net revenue expected to be available for research in 2011 comes to EUR 60 929 750.

In accordance with Article 4 of Decision 2003/76/EC, 72,8 % of the fund's appropriations will be for the steel-related sector and 27,2 % for the coal-related sector.

In accordance with Articles 18 and 160(1a) of the Financial Regulation, revenue will be used to provide additional appropriations under Chapter 08 23 of the statement of expenditure in this section.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Item 6 1 1 4 — Revenue accruing from amounts recovered under the research programme of the Research Fund for Coal and Steel

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Council Decision 2003/76/EC stipulates that the Commission shall be entrusted with winding up the financial operations of the European Coal and Steel Community which are still in progress when the ECSC Treaty expires.

Pursuant to Article 4(5) of Decision 2003/76/EC, the amounts recovered return initially to the assets of the ECSC in liquidation and subsequently, on completion of the liquidation, to the assets of the Research Fund for Coal and Steel.

Legal basis

Council Decision 2003/76/EC of 1 February 2003 establishing the measures necessary for the implementation of the Protocol, annexed to the Treaty establishing the European Community, on the financial consequences of the expiry of the ECSC Treaty and on the Research Fund for Coal and Steel (OJ L 29, 5.2.2003, p. 22).

Article 6 1 2 — Repayment of expenditure incurred specifically as a result of work undertaken on request and against payment — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	894 767,08

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Article 6 1 4 — Repayment of Community/EU support to commercially successful projects and activities

Item 6 1 4 0 — Repayment of Community/EU support to commercially successful projects and activities in the field of new energy technology — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
—	p.m.	0,—

Remarks

Revenue from repayment of all or part of the financial support granted by the Community after the projects are commercially successful. As this type of activity no longer attracts financial support, only the payment appropriations necessary to clear outstanding commitments remain in Title 06 of the statement of expenditure.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 1 4 3 — Repayment of Community/EU support to European risk capital activities in support of small and medium-sized enterprises — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Repayment of all or part of the support for commercially successful projects, with possible participation in profits resulting from grants awarded as part of European risk-capital activities in support of small and medium-sized enterprises under the Venture Consort and Eurotech Capital instruments.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Article 6 1 5 — Repayment of unused Community/EU aid

Item 6 1 5 0 — Repayment of unused aid from European Social Fund, European Regional Development Fund, European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, Cohesion Fund, Solidarity Fund, ISPA and IPA

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	59 834 833,16

Remarks

Repayment of unused aid from the European Social Fund, the European Regional Development Fund, the European Agricultural Guidance and Guarantee Fund, the Financial Instrument for Fisheries Guidance, the Cohesion Fund, the Solidarity Fund, the Instrument for Structural Policy for Pre-Accession (ISPA) and the Instrument for Pre-Accession Assistance (IPA).

In accordance with Article 18 of the Financial Regulation, this revenue may be used to provide additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 1 — Repayment of unused subsidies for balancing budgets — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 2 — Repayment of unused interest subsidies — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 3 — Repayment of unused sums paid under contracts concluded by the institution — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	37 797,32

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Item 6 1 5 7 — Repayments of payments on account under the Structural Funds, the Cohesion Fund and the European Fisheries Fund

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	27 667 926,25

Remarks

This item is intended to record the repayment of payments on account under the Structural Funds (European Regional Development Fund and European Social Fund), the Cohesion Fund and the European Fisheries Fund.

The amounts booked to this item will, in accordance with Articles 18 and 157 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 11 and 13 of the statement of expenditure in this section, if they are required in order not to reduce the contribution of the Structural Funds and the Cohesion Fund to the operation concerned.

Legal basis

Council Regulation (EC) No 1265/1999 of 21 June 1999 amending Annex II to Regulation (EC) No 1164/94 establishing a Cohesion Fund (OJ L 161, 26.6.1999, p. 62), and in particular Article 1(4) thereof.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 82(2) and Chapter II thereof.

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Item 6 1 5 8 — Repayment of miscellaneous unused Community/EU aid — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	23 188 730,82

Remarks

In accordance with Article 18 of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Article 6 1 6 — Repayment of expenditure incurred on behalf of the International Atomic Energy Agency — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Repayment by the International Atomic Energy Agency (IAEA) of sums which were advanced by the Commission to pay for controls carried out by the IAEA under the verification agreements (see Articles 32 05 01 and 32 05 02 of the statement of expenditure in this section).

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Verification agreement between the Community, the non-nuclear-weapon Member States and the International Atomic Energy Agency (IAEA) (OJ L 51, 22.2.1978, p. 1), and in particular Article 15 thereof.

Tripartite agreement between the Community, the United Kingdom and the International Atomic Energy Agency.

Tripartite agreement between the Community, France and the International Atomic Energy Agency.

Article 6 1 7 — Repayment of amounts paid in connection with Community/EU aid to non-member countries

Item 6 1 7 0 — Repayments within the framework of cooperation with South Africa — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	2 758 732,44

Remarks

Repayments by tenderers or recipients of overpayments made in connection with development cooperation with South Africa.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Article 21 06 02 of the statement of expenditure in this section.

Legal basis

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (OJ L 378, 27.12.2006, p. 41).

Article 6 1 8 — Repayment of amounts paid in connection with food aid

Item 6 1 8 0 — Repayments by tenderers or recipients of overpayments made in connection with food aid — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Provisions contained in the invitations to tender or in the financial conditions attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Item 6 1 8 1 — Repayment of additional costs caused by the recipients of food aid — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Provisions contained in the terms of delivery attached to the Commission's letters setting out the conditions under which food aid is granted to recipients.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Council Regulation (EC) No 1257/96 of 20 June 1996 concerning humanitarian aid (OJ L 163, 2.7.1996, p. 1).

Article 6 1 9 — Repayment of other expenditure incurred on behalf of outside bodies

Item 6 1 9 1 — Repayment of other expenditure incurred on behalf of outside bodies pursuant to Council Decision 94/179/Euratom — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	9 644,73

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations under Items 22 02 05 01 and 19 06 04 01 of the statement of expenditure in this section.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).

Council Regulation (Euratom) No 300/2007 of 19 February 2007 establishing an Instrument for Nuclear Safety Cooperation (OJ L 81, 22.3.2007, p. 1).

CHAPTER 6 2 — REVENUE FROM SERVICES RENDERED AGAINST PAYMENT

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 2	REVENUE FROM SERVICES RENDERED AGAINST PAYMENT			
6 2 0	<i>Supply against payment of source materials or special fissile materials (Article 6(b) of the EAEC Treaty) — Assigned revenue</i>	p.m.	p.m.	0,—
6 2 2	<i>Revenue from services provided by the Joint Research Centre to outside bodies against payment</i>			
6 2 2 1	Revenue from the operation of the HFR to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	18 313 217,00
6 2 2 3	Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	10 019 812,25
6 2 2 4	Revenue from licences granted by the Commission on inventions resulting from Community/EU research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue	p.m.	p.m.	229 242,79
6 2 2 5	Other revenue for the Joint Research Centre — Assigned revenue	p.m.	p.m.	0,—
6 2 2 6	Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue	p.m.	p.m.	56 196 406,80
	<i>Article 6 2 2 — Subtotal</i>	p.m.	p.m.	84 758 678,84
6 2 4	<i>Revenue from licences granted by the Commission on inventions resulting from Community/EU research, irrespective of whether they can be patented (indirect action) — Assigned revenue</i>	p.m.	p.m.	0,—
	Chapter 6 2 — Total	p.m.	p.m.	84 758 678,84

Article 6 2 0 — Supply against payment of source materials or special fissile materials (Article 6(b) of the EAEC Treaty) — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue from the supply to Member States against payment of source materials or special fissile materials for their research programmes.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Treaty establishing the European Atomic Energy Community, and in particular Article 6(b) thereof.

Article 6 2 2 — Revenue from services provided by the Joint Research Centre to outside bodies against payment

Item 6 2 2 1 — Revenue from the operation of the HFR to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	18 313 217,00

Remarks

Revenue resulting from the operation of the HFR (high-flux reactor) at the Petten establishment of the Joint Research Centre.

Payments by outside bodies, in particular France and the Netherlands, to cover all types of expenditure involved in the operation of the HFR by the Joint Research Centre.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05 and 10 04 04 of the statement of expenditure in this section.

Completion of earlier programmes

The revenue is provided by Germany, France and the Netherlands.

Item 6 2 2 3 — Other revenue from services provided by the Joint Research Centre to outside bodies against payment to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	10 019 812,25

Remarks

Revenue from persons, firms and national bodies for which the Joint Research Centre will carry out work and/or provide services against payment.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 04 01 and 10 04 02 of the statement of expenditure in this section, amounting to the expenditure under each contract with an outside body.

Item 6 2 2 4 — Revenue from licences granted by the Commission on inventions resulting from Community/EU research provided by the Joint Research Centre, irrespective of whether they can be patented — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	229 242,79

Remarks

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 10 01 05, 10 04 02, 10 04 03 and Chapters 10 02 and 10 03 in the statement of expenditure in this section.

Legal basis

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

Item 6 2 2 5 — Other revenue for the Joint Research Centre — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue from contributions, gifts or bequests from third parties for the various activities carried out by the Joint Research Centre.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Article 10 01 05 and Chapters 10 02, 10 03 and 10 04 of the statement of expenditure in this section.

Item 6 2 2 6 — Revenue from services provided by the Joint Research Centre to other services of the Commission on a competitive basis, to be used to provide additional appropriations — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	56 196 406,80

Remarks

Revenue from other services of the Commission for which the Joint Research Centre will carry out work and/or provide services against payment and revenue from participation in the activities of the framework programmes for research and technological development.

In accordance with Article 18 and Article 161(2) of the Financial Regulation, any revenue will be used to provide additional appropriations under Articles 10 01 05, 10 02 01, 10 03 01, 10 04 01 and 10 04 03 of the statement of expenditure in this section, amounting to the specific expenditure under each contract with other services of the Commission.

Article 6 2 4 — Revenue from licences granted by the Commission on inventions resulting from Community/EU research, irrespective of whether they can be patented (indirect action) — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

The Treaty establishing the European Atomic Energy Community, and in particular Article 12 thereof, gives Member States, persons and undertakings the right — against payment of a suitable remuneration — to obtain non-exclusive licences under patents, provisionally protected patent rights, utility models or patent applications owned by the European Atomic Energy Community.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Legal basis

Treaty establishing the European Atomic Energy Community.

Council Regulation (EEC) No 2380/74 of 17 September 1974 adopting provisions for the dissemination of information relating to research programmes for the European Economic Community (OJ L 255, 20.9.1974, p. 1).

CHAPTER 6 3 — CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 3	CONTRIBUTIONS UNDER SPECIFIC AGREEMENTS			
6 3 0	<i>Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue</i>	p.m.	p.m.	218 892 911,00
6 3 1	<i>Contributions in connection with the Schengen acquis</i>			
6 3 1 2	Contributions for the development of large-scale information systems under the agreement concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue	p.m.	p.m.	1 314 270,59
6 3 1 3	Other contributions in connection with the Schengen acquis (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 3 1 — Subtotal</i>	p.m.	p.m.	1 314 270,59
6 3 2	<i>Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue</i>	p.m.	p.m.	70 035 604,22
6 3 3	<i>Contributions to certain external aid programmes</i>			
6 3 3 0	Contributions from Member States to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	5 595 450,12
6 3 3 1	Contributions from third countries to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—
6 3 3 2	Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 3 3 — Subtotal</i>	p.m.	p.m.	5 595 450,12
	Chapter 6 3 — Total	p.m.	p.m.	295 838 235,93

Article 6 3 0 — Contributions by the European Free Trade Association Member States under the Cooperation Agreement on the European Economic Area — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	218 892 911,00

Remarks

This article is intended to record contributions from the European Free Trade Association Member States resulting from their financial participation in certain Union activities in accordance with Article 82 of and with Protocol 32 to the Agreement on the European Economic Area.

The total contribution planned is shown in the summary presented for information in an annex to the statement of expenditure in this section.

The contributions by the European Free Trade Association Member States will be made available to the Commission in accordance with Articles 1 to 3 of Protocol 32 to the Agreement.

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Reference acts

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3).

Article 6 3 1 — Contributions in connection with the Schengen acquis

Item 6 3 1 2 — Contributions for the development of large-scale information systems under the agreement concluded with Iceland, Norway, Switzerland and Liechtenstein — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	1 314 270,59

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 04, 18 02 05 and 18 02 11 of the statement of expenditure in this section.

Legal basis

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Regulation (EC) No 2725/2000 of 11 December 2000 concerning the establishment of Eurodac for the comparison of fingerprints for the effective application of the Dublin Convention (OJ L 316, 15.12.2000, p. 1).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of the Agreement.

Council Decision 2001/886/JHA of 6 December 2001 on the development of the second generation Schengen information system (SIS II) (OJ L 328, 13.12.2001, p. 1).

Council Regulation (EC) No 2424/2001 of 6 December 2001 on the development of the second generation Schengen information system (SIS II) (OJ L 328, 13.12.2001, p. 4).

Council Regulation (EC) No 343/2003 of 18 February 2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 50, 25.2.2003, p. 1).

Commission Regulation (EC) No 1560/2003 of 2 September 2003 laying down detailed rules for the application of Council Regulation (EC) No 343/2003 establishing the criteria and mechanisms for determining the Member State responsible for examining an asylum application lodged in one of the Member States by a third-country national (OJ L 222, 5.9.2003, p. 3).

Council Decision 2004/512/CE of 8 June 2004 establishing the VISA Information System (VIS) (OJ L 213, 15.6.2004, p. 5).

Regulation (EC) No 1986/2006 of the European Parliament and of the Council of 20 December 2006 regarding access to the Second Generation Schengen Information System (SIS II) by the services in the Member States responsible for issuing vehicle registration certificates (OJ L 381, 28.12.2006, p. 1).

Regulation (EC) No 1987/2006 of the European Parliament and of the Council of 20 December 2006 on the establishment, operation and use of the second generation Schengen information system (SIS II) (OJ L 381, 28.12.2006, p. 4).

Council Decision 2007/533/JHA of 12 June 2007 on the establishment, operation and use of the second generation Schengen information system (SIS II) (OJ L 205, 7.8.2007, p. 63).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/261/EC of 28 February 2008 on the signature, on behalf of the European Community, and on the provisional application of certain provisions of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 83, 26.3.2008, p. 3).

Council Decision 2008/262/EC of 28 February 2008 on the signature, on behalf of the European Union, and on the provisional application of certain provisions of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 83, 26.3.2008, p. 5).

Council Decision 2008/633/JHA of 23 June 2008 concerning access for consultation of the Visa Information System (VIS) by designated authorities of Member States and by Europol for the purposes of the prevention, detection and investigation of terrorist offences and of other serious criminal offences (OJ L 218, 13.8.2008, p. 129).

Regulation (EC) No 767/2008 of the European Parliament and of the Council of 9 July 2008, concerning the Visa information system (VIS) and the exchange of data between Member States on short-stay visas (VIS Regulation) (OJ L 218, 13.8.2008, p. 60).

Council Regulation (EC) No 1104/2008 of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 1).

Council Decision 2008/839/JHA of 24 October 2008 on migration from the Schengen Information System (SIS 1+) to the second generation Schengen Information System (SIS II) (OJ L 299, 8.11.2008, p. 43).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 19 March 2010, establishing an Agency for the operational management of large-scale IT systems in the area of freedom, security and justice (COM(2010) 93 final).

Item 6 3 1 3 — Other contributions in connection with the Schengen *acquis* (Iceland, Norway, Switzerland and Liechtenstein) — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue will be used to provide additional appropriations for Articles 18 02 03, 18 02 06 and 18 03 14 of the statement of expenditure in this section.

Legal basis

Council Decision 1999/437/EC of 17 May 1999 on certain arrangements for the application of the Agreement concluded by the Council of the European Union and the Republic of Iceland and the Kingdom of Norway concerning the association of those two States with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 31).

Council Decision 1999/439/EC of 17 May 1999 on the conclusion of the Agreement with the Republic of Iceland and the Kingdom of Norway concerning the latter's association with the implementation, application and development of the Schengen *acquis* (OJ L 176, 10.7.1999, p. 35).

Council Decision 2001/258/EC of 15 March 2001 concerning the conclusion of an Agreement between the European Community and the Republic of Iceland and the Kingdom of Norway concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or Iceland or Norway (OJ L 93, 3.4.2001, p. 38), and in particular Article 9 of the Agreement.

Decision No 574/2007/EC of the European Parliament and of the Council of 23 May 2007 establishing the External Borders Fund for the period 2007 to 2013 as part of the General programme Solidarity and Management of Migration Flows (OJ L 144, 6.6.2007, p. 22).

Council Decision 2008/146/EC of 28 January 2008 on the conclusion, on behalf of the European Community, of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 1).

Council Decision 2008/147/EC of 28 January 2008 on the conclusion on behalf of the European Community of the Agreement between the European Community and the Swiss Confederation concerning the criteria and mechanisms for establishing the State responsible for examining a request for asylum lodged in a Member State or in Switzerland (OJ L 53, 27.2.2008, p. 3).

Council Decision 2008/149/EC of 28 January 2008 on the conclusion on behalf of the European Union of the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 53, 27.2.2008, p. 50).

Council Decision 2008/261/EC of 28 February 2008 on the signature, on behalf of the European Community, and on the provisional application of certain provisions of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 83, 26.3.2008, p. 3).

Council Decision 2008/262/EC of 28 February 2008 on the signature, on behalf of the European Union, and on the provisional application of certain provisions of the Protocol between the European Union, the European Community, the Swiss Confederation and the Principality of Liechtenstein on the accession of the Principality of Liechtenstein to the Agreement between the European Union, the European Community and the Swiss Confederation on the Swiss Confederation's association with the implementation, application and development of the Schengen *acquis* (OJ L 83, 26.3.2008, p. 5).

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 18 February 2009, establishing a European Asylum Support Office (COM(2009) 66 final).

Proposal for a Council Regulation, submitted by the Commission on 4 March 2009, on the establishment of an evaluation mechanism to verify the application of the Schengen *acquis* (COM(2009) 102 final).

Proposal for a Council Decision, submitted by the Commission on 9 October 2009, on the conclusion, on behalf of the European Community, of an Agreement between the European Community and the Republic of Iceland, the Kingdom of Norway, the Swiss

Confederation and the Principality of Liechtenstein on supplementary rules in relation to the External Borders Fund for the period 2007 to 2013 (COM(2009) 525 final) (signature of the agreement on 19 March 2010, agreement provides for provisional application).

Proposal for Council Decisions, submitted by the Commission on 30 October 2009, on the signature and conclusion of the Arrangement between the European Union and the Republic of Iceland, the Principality of Liechtenstein, the Kingdom of Norway and the Swiss Confederation on the participation by those States in the work of the committees which assist the European Commission in the exercise of its executive powers as regards the implementation, application and development of the Schengen *acquis* (COM(2009) 605 and 606 final).

Article 6 3 2 — Contributions to common administrative support expenditure of the European Development Fund — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	70 035 604,22

Remarks

In accordance with Article 18 of the Financial Regulation, any revenue from the European Development Fund (EDF) contributing to the cost of support measures will be used to provide additional appropriations under Item 21 01 04 10 of the statement of expenditure in this section.

Reference acts

Internal Agreement for the 9th EDF.

Article 6 3 3 — Contributions to certain external aid programmes

Item 6 3 3 0 — Contributions from Member States to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	5 595 450,12

Remarks

This item is intended to record the financial contributions from Member States, including their public and parastatal agencies, to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 3 3 1 — Contributions from third countries to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item is intended to record the financial contributions from third countries, including their public and parastatal agencies, to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 3 3 2 — Contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item is intended to record the financial contributions from international organisations to certain external aid programmes financed by the Union and managed by the Commission on their behalf, pursuant to the relevant basic act.

In accordance with Article 18(1)(aa) of the Financial Regulation, any revenue will be used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

CHAPTER 6 5 — FINANCIAL CORRECTIONS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 5	FINANCIAL CORRECTIONS			
6 5 0	<i>Financial corrections</i>			
6 5 0 0	Financial corrections in connection with the Structural Funds, the Cohesion Fund and the European Fisheries Fund	p.m.	p.m.	305 688 340,62
	<i>Article 6 5 0 — Subtotal</i>	p.m.	p.m.	305 688 340,62
	Chapter 6 5 — Total	p.m.	p.m.	305 688 340,62

Article 6 5 0 — Financial corrections

Item 6 5 0 0 — Financial corrections in connection with the Structural Funds, the Cohesion Fund and the European Fisheries Fund

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	305 688 340,62

Remarks

This item is intended to accommodate financial corrections in connection with the Structural Funds (European Agricultural Guidance and Guarantee Fund, Financial Instrument for Fisheries Guidance, European Regional Development Fund, European Social Fund), the Cohesion Fund and the European Fisheries Fund.

The amounts booked to this item may, in accordance with Article 18 of the Financial Regulation, be used to provide additional appropriations on the corresponding headings of Titles 04, 05, 11 and 13 of the statement of expenditure in this section if they are required to cover the risk of corrections adopted earlier being cancelled or reduced.

According to Article 105(1) of Regulation (EC) No 1083/2006, this regulation shall not affect the continuation or modification, including the total or partial cancellation, of assistance co-financed by the Structural Funds or of a project co-financed by the Cohesion Fund approved by the Commission on the basis of Regulations (EEC) No 2052/88, (EEC) No 4253/88, (EC) No 1164/94 and (EC) No 1260/1999 or any other legislation which applied to that assistance on 31 December 2006, which shall consequently apply thereafter to that assistance or the projects concerned until their closure.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1), and in particular Article 24 thereof.

Council Regulation (EC) No 1257/1999 of 17 May 1999 on support for rural development from the European Agricultural Guidance and Guarantee Fund (EAGGF) and amending and repealing certain Regulations (OJ L 160, 26.6.1999, p. 80).

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1), and in particular Article 39(2) thereof.

Council Regulation (EC) No 1263/1999 of 21 June 1999 on the Financial Instrument for Fisheries Guidance (OJ L 161, 26.6.1999, p. 54).

Commission Regulation (EC) No 448/2001 of 2 March 2001 laying down detailed rules for the implementation of Council Regulation (EC) No 1260/1999 as regards the procedure for making financial corrections to assistance granted under the Structural Funds (OJ L 64, 6.3.2001, p. 13).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Council Regulation (EC) No 1198/2006 of 27 July 2006 on the European Fisheries Fund (OJ L 223, 15.8.2006, p. 1).

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

CHAPTER 6 6 — OTHER CONTRIBUTIONS AND REFUNDS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 6	OTHER CONTRIBUTIONS AND REFUNDS			
6 6 0	<i>Other contributions and refunds</i>			
6 6 0 0	Other assigned contributions and refunds — Assigned revenue	p.m.	p.m.	290 305 234,77
6 6 0 1	Other non-assigned contributions and refunds	30 000 000	30 000 000	122 478 259,14
	<i>Article 6 6 0 — Subtotal</i>	30 000 000	30 000 000	412 783 493,91
	Chapter 6 6 — Total	30 000 000	30 000 000	412 783 493,91

Article 6 6 0 — Other contributions and refunds

Item 6 6 0 0 — Other assigned contributions and refunds — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	290 305 234,77

Remarks

This item is intended to accommodate, in accordance with Article 18 of the Financial Regulation, any revenue not provided for in other parts of Title 6 which is used to provide additional appropriations to finance the expenditure to which this revenue is assigned.

Item 6 6 0 1 — Other non-assigned contributions and refunds

Figures

Budget 2011	Budget 2010	Outturn 2009
30 000 000	30 000 000	122 478 259,14

Remarks

This item is intended to accommodate any revenue not provided for in other parts of Title 6 which is not used, in accordance with Article 18 of the Financial Regulation.

CHAPTER 6 7 — REVENUE CONCERNING EAGF AND EAFRD

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 7	REVENUE CONCERNING EAGF AND EAFRD			
6 7 0	Revenue concerning EAGF			
6 7 0 1	Clearance of EAGF accounts — Assigned revenue	p.m.	p.m.	600 517 182,09
6 7 0 2	EAGF irregularities — Assigned revenue	p.m.	p.m.	147 878 436,59
6 7 0 3	Superlevy from milk producers — Assigned revenue	p.m.	p.m.	337 626 470,92
	<i>Article 6 7 0 — Subtotal</i>	p.m.	p.m.	1 086 022 089,60
6 7 1	Revenue concerning EAFRD			
6 7 1 1	Clearance of accounts EAFRD — Assigned revenue	p.m.	p.m.	350 800,00
6 7 1 2	EAFRD irregularities — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 7 1 — Subtotal</i>	p.m.	p.m.	350 800,00
	Chapter 6 7 — Total	p.m.	p.m.	1 086 372 889,60

Article 6 7 0 — Revenue concerning EAGF

Item 6 7 0 1 — Clearance of EAGF accounts — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	600 517 182,09

Remarks

This item is intended to accommodate revenue resulting from conformity decisions of the clearance of accounts in favour of the EU budget concerning expenditure financed by the EAGGF Guarantee under Heading 1 of the 2000 to 2006 Financial Perspectives and the EAGF. It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the European Union budget concerning recorded assigned revenue related to it other than arising from the application of Article 32(5) of Regulation (EC) No 1290/2005.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 1 000 000 000, including EUR 400 000 000 carried over from 2010 to 2011 in accordance with Article 10 of the Financial Regulation.

When establishing the budget for 2011, an amount of EUR 500 000 000 was taken into account for financing the needs of measures under Article 05 03 01 and the remaining amount of EUR 500 000 000 was taken into account for financing the needs of measures under Article 05 02 08.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 6 7 0 2 — EAGF irregularities — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	147 878 436,59

Remarks

This item is intended to accommodate amounts recovered following irregularities or negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited, resulting from expenditure financed by the EAGGF Guarantee under Heading 1 of the Financial Perspectives 2000 to 2006 and the EAGF. This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as foreseen in Article 32(2) of Regulation (EC) No 1290/2005. It also includes the amounts recovered resulting from clearance decisions in application of Article 32(5) of the same regulation.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 228 000 000, including EUR 140 000 000 carried over from 2010 to 2011 in accordance with Article 10 of the Financial Regulation.

When establishing the budget for 2011, this amount was taken into account for financing the needs of measures under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 6 7 0 3 — Superlevy from milk producers — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	337 626 470,92

Remarks

This item is intended to accommodate amounts collected or recovered in accordance with Council Regulation (EC) No 1788/2003 and Article 78 of Council Regulation (EC) No 1234/2007.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under EAGF of the statement of expenditure in this section.

The revenue under this item has been estimated at EUR 19 000 000.

When establishing the budget for 2011, this amount was taken into account for financing the needs of measures under Article 05 03 01.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 1234/2007 of 22 October 2007 establishing a common organisation of agricultural markets and on specific provisions for certain agricultural products (Single CMO Regulation) (OJ L 299, 16.11.2007, p. 1).

Article 6 7 1 — Revenue concerning EAFRD

Item 6 7 1 1 — Clearance of accounts EAFRD — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	350 800,00

Remarks

This item is intended to accommodate amounts resulting from conformity clearance of accounts decisions in favour of the EU budget within the context of rural development financed by the EAFRD. It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the European Union budget which can be considered as assigned revenue. Amounts in relation to the repayment of payments on account under the EAFRD are also recorded on this item.

In accordance with Articles 18 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2011, no specific amount was earmarked for Article 05 04 05.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Item 6 7 1 2 — EAFRD irregularities — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item is intended to accommodate amounts recovered resulting from irregularities and negligence, including the related interest, in particular amounts recovered in cases of irregularities or fraud, penalties and interest received as well as securities forfeited in the context of rural development financed by the EAFRD.

In accordance with Articles 18 of the Financial Regulation, any revenue under this item will be used to provide additional appropriations to any budgetary item under the EAFRD.

When establishing the budget for 2011, no specific amount was earmarked for Article 05 04 05.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p.1).

CHAPTER 6 8 — TEMPORARY RESTRUCTURING AMOUNTS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
6 8	TEMPORARY RESTRUCTURING AMOUNTS			
6 8 0	<i>Temporary restructuring amounts — Assigned revenue</i>			
6 8 0 1	Temporary restructuring amounts — Assigned revenue	p.m.	p.m.	1 741 417 405,37
6 8 0 2	Irregularities concerning the temporary restructuring fund — Assigned revenue	p.m.	p.m.	0,—
6 8 0 3	Clearance with regard to the temporary restructuring fund — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 6 8 0 — Subtotal</i>	p.m.	p.m.	1 741 417 405,37
	Chapter 6 8 — Total	p.m.	p.m.	1 741 417 405,37

Article 6 8 0 — Temporary restructuring amounts — Assigned revenue

Item 6 8 0 1 — Temporary restructuring amounts — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	1 741 417 405,37

Remarks

This item is intended to accommodate the temporary restructuring amounts in the EU sugar sector as set out in Article 11 of Regulation (EC) No 320/2006.

In accordance with Article 18 of the Financial Regulation the amounts booked in this item are used to provide appropriations to Article 05 02 16 (Sugar Restructuring Fund) of the statement of expenditure in this section to finance restructuring aid and other aids fixed in Council Regulation (EC) No 320/2006.

When establishing the budget for 2011, an amount of EUR 1 015 000 000 was taken into account for this item (which concerns solely a carry-over from the previous budget years), of which an amount of EUR 195 000 000 is earmarked for Article 05 02 16, and the remaining amount will be automatically carried over to the following financial year according to Article 10 of the Financial Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 6 8 0 2 — Irregularities concerning the temporary restructuring fund — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item is intended to accommodate amounts recovered following irregularities or oversight, including interest, penalties and securities acquired, resulting from expenditure financed by the temporary restructuring fund of the sugar industry in the Community established by Regulation (EC) No 320/2006. This item is also intended to accommodate the net amounts recovered for which Member States may retain 20 % as foreseen in Article 32(2) of Regulation (EC) No 1290/2005. It also includes the amounts recovered resulting from clearance decisions in application of Article 32(5) of the same regulation.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide appropriations for Article 05 02 16 (Sugar Restructuring Fund) of the statement of expenditure in this section to finance restructuring aid and other aids fixed in Regulation (EC) No 320/2006.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1290/2005 of 21 June 2005 on the financing of the common agricultural policy (OJ L 209, 11.8.2005, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

Item 6 8 0 3 — Clearance with regard to the temporary restructuring fund — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item is intended to accommodate revenue resulting from conformity decisions of the clearance of accounts in favour of the EU budget concerning expenditure financed by the temporary restructuring fund for the sugar industry in the Community established by Regulation (EC) No 320/2006. It is also intended to accommodate amounts resulting from accounting clearance of accounts decisions in favour of the European Union budget concerning recorded assigned revenue related to the Sugar Restructuring Fund other than arising from the application of Articles 16 and 32(5) of Regulation (EC) No 1290/2005.

In accordance with Articles 18 and 154 of the Financial Regulation, any revenue under this item will be used to provide appropriations for Article 05 02 16 (Sugar Restructuring Fund) of the statement of expenditure in this section to finance restructuring aid and other aids fixed in Regulation (EC) No 320/2006.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 320/2006 of 20 February 2006 establishing a temporary scheme for the restructuring of the sugar industry in the Community and amending Regulation (EC) No 1290/2005 on the financing of the common agricultural policy (OJ L 58, 28.2.2006, p. 42).

TITLE 7 — INTEREST ON LATE PAYMENTS AND FINES

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
7 0	INTEREST ON LATE PAYMENTS	23 000 000	23 000 000	191 266 668,97
7 1	FINES	100 000 000	100 000 000	741 723 762,90
7 2	INTEREST ON DEPOSITS AND FINES	p.m.	p.m.	0,—
Title 7 — Total		123 000 000	123 000 000	932 990 431,87

CHAPTER 7 0 — INTEREST ON LATE PAYMENTS

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
7 0	INTEREST ON LATE PAYMENTS			
7 0 0	<i>Interest on late payments</i>			
7 0 0 0	Interest due on late payments into the accounts held with the treasuries of the Member States	5 000 000	5 000 000	76 436 747,70
7 0 0 1	Other interest on late payments	3 000 000	3 000 000	1 710 385,54
<i>Article 7 0 0 — Subtotal</i>		8 000 000	8 000 000	78 147 133,24
7 0 1	<i>Interest on late payments and other interest on fines</i>	15 000 000	15 000 000	113 119 535,73
Chapter 7 0 — Total		23 000 000	23 000 000	191 266 668,97

Article 7 0 0 — Interest on late payments

Item 7 0 0 0 — Interest due on late payments into the accounts held with the treasuries of the Member States

Figures

Budget 2011	Budget 2010	Outturn 2009
5 000 000	5 000 000	76 436 747,70

Remarks

Any delay by a Member State in making the entry in the account opened in the name of the Commission, referred to in Article 9(1) of Regulation (EC, Euratom) No 1150/2000 gives rise to the payment of interest by the Member State concerned.

In the case of Member States belonging to the Economic and Monetary Union, the interest rate shall be equal to the rate as published in the *Official Journal of the European Union*, C series, which the European Central Bank applied to its refinancing operations, on the

first day of the month in which the due date fell, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

In the case of Member States not belonging to the Economic and Monetary Union, the rate shall be equal to the rate applied on the first day of the month in question by the Central Banks for their main refinancing operations, increased by two percentage points, or, for the Member States for which the Central Bank rate is not available, the most equivalent rate applied on the first day of the month in question on the Member State's money market, increased by two percentage points. This rate shall be increased by 0,25 of a percentage point for each month of delay. The increased rate shall be applied to the entire period of delay.

The interest rate applies to all entries of own resources enumerated under Article 10 of the same Regulation.

Legal basis

Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 94/728/EC, Euratom on the system of the European Communities' own resources (OJ L 130, 31.5.2000, p. 1).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Item 7 0 0 1 — Other interest on late payments

Figures

Budget 2011	Budget 2010	Outturn 2009
3 000 000	3 000 000	1 710 385,54

Remarks

This item is intended to record interest on the late payment of entitlements other than own resources.

Legal basis

Agreement on the European Economic Area (OJ L 1, 3.1.1994, p. 3), and in particular Article 2(5) of Protocol 32 thereto.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25), and in particular Article 102 thereof.

Commission Regulation (EC) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund (OJ L 371, 27.12.2006, p. 1).

Article 7 0 1 — Interest on late payments and other interest on fines

Figures

Budget 2011	Budget 2010	Outturn 2009
15 000 000	15 000 000	113 119 535,73

Remarks

This item is intended to record accrued interest on the special bank account for fines and interest on late payment connected with fines.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1), and in particular Article 71(4) thereof.

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1), and in particular Article 86 thereof.

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

CHAPTER 7 1 — FINES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
7 1	FINES			
7 1 0	<i>Fines, periodic penalty payments and other penalties</i>	100 000 000	100 000 000	724 812 338,90
7 1 2	<i>Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty</i>	p.m.	p.m.	16 911 424,00
	Chapter 7 1 — Total	100 000 000	100 000 000	741 723 762,90

Article 7 1 0 — Fines, periodic penalty payments and other penalties

Figures

Budget 2011	Budget 2010	Outturn 2009
100 000 000	100 000 000	724 812 338,90

Remarks

The Commission may impose fines, periodic penalty payments and other penalties on undertakings and associations of undertakings for not respecting bans or not carrying out their obligations under the Regulations below or under Articles 101 and 102 of the Treaty on the Functioning of the European Union.

The fines must normally be paid within three months of the Commission decision being notified. However, the Commission will not collect the amount due when the undertaking has lodged an appeal with the Court of Justice of the European Communities; the undertaking must accept that interest will be paid on the debt after the final date for payment and provide the Commission with a bank guarantee covering both the principal of the debt and interest or surcharges by the final date for payment.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1), and in particular Articles 14 and 15 thereof.

Article 7 1 2 — Penalty payments and lump sums imposed on a Member State for not complying with a judgment of the Court of Justice of the European Union on its failure to fulfil an obligation under the Treaty

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	16 911 424,00

Legal basis

Treaty on the Functioning of the European Union, and in particular Article 260(2) thereof.

CHAPTER 7 2 — INTEREST ON DEPOSITS AND FINES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
7 2	INTEREST ON DEPOSITS AND FINES			
7 2 0	<i>Interest on deposits and fines</i>			
7 2 0 0	Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue	p.m.	p.m.	0,—
	<i>Article 7 2 0 — Subtotal</i>	p.m.	p.m.	0,—
	Chapter 7 2 — Total	p.m.	p.m.	0,—

Article 7 2 0 — Interest on deposits and fines

Item 7 2 0 0 — Interest on deposits and fines resulting from implementation of the excessive deficit procedure — Assigned revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Revenue arising from interest on deposits and fines resulting from implementation of the excessive deficit procedure.

In accordance with Article 18(1)(b) of the Financial Regulation, this revenue is to be considered as assigned revenue and gives rise to the entry of additional appropriations on the lines which bore the initial expenditure giving rise to the corresponding revenue.

Legal basis

Council Regulation (EC) No 1467/97 of 7 July 1997 on speeding up and clarifying the implementation of the excessive deficit procedure (OJ L 209, 2.8.1997, p. 6), and in particular Article 16 thereof.

TITLE 8 — BORROWING AND LENDING OPERATIONS

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES	p.m.	p.m.	0,—
8 1	LOANS GRANTED BY THE COMMISSION	p.m.	p.m.	0,—
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR NON-MEMBER COUNTRIES	p.m.	p.m.	0,—
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN NON-MEMBER COUNTRIES	p.m.	p.m.	0,—
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES	p.m.	p.m.	3 678 263,68
	Title 8 — Total	p.m.	p.m.	3 678 263,68

CHAPTER 8 0 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 0	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS IN THE MEMBER STATES			
8 0 0	<i>European Union guarantee for Community/EU loans raised for balance of payments support</i>	p.m.	p.m.	0,—
8 0 1	<i>European Union guarantee for Euratom loans raised</i>	p.m.	p.m.	0,—
8 0 2	<i>European Union guarantee for EU loans raised for financial assistance under the European Financial Stabilisation Mechanism</i>	p.m.		
	Chapter 8 0 — Total	p.m.	p.m.	0,—

Article 8 0 0 — European Union guarantee for Community/EU loans raised for balance of payments support

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

The Union guarantee is for loans raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 01, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 01 of the statement of expenditure in this section.

Article 8 0 1 — European Union guarantee for Euratom loans raised

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 02, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 02 of the statement of expenditure in this section.

Article 8 0 2 — European Union guarantee for EU loans raised for financial assistance under the European Financial Stabilisation Mechanism

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.		

Remarks

New Article

The Union guarantee is for loans raised on the capital markets or from financial institutions. The outstanding amount of loans or credit lines to be granted to Member States shall be in the limits foreseen in the legal basis.

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 03, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex Part II of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 03 of the statement of expenditure in this section.

CHAPTER 8 1 — LOANS GRANTED BY THE COMMISSION

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 1 8 1 0	LOANS GRANTED BY THE COMMISSION <i>Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean non-member countries</i>	p.m.	p.m.	0,—

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 1 3	<i>Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in Latin America, Asia, the Mediterranean region and South Africa under the European Community Investment Partners operation</i>	p.m.	p.m.	0,—
	Chapter 8 1 — Total	p.m.	p.m.	0,—

Article 8 1 0 — Capital repayments and interest in respect of special loans and risk capital granted in the framework of financial cooperation with Mediterranean non-member countries

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record capital repayments and interest payments on special loans and risk capital granted from the appropriations in Chapters 22 03 and 19 08 of the statement of expenditure in this section to Mediterranean third countries.

It also comprises capital repayments and interest payments on special loans and risk capital granted to certain Mediterranean EU Member States which, however, represent a very small proportion of the overall amount. These loans/risk capital were granted at a time the countries had not yet joined the European Union.

The revenue obtained normally exceeds the amounts forecast in the budget because of the interest payments on special loans which may still be disbursed during the preceding financial year as well as during the current financial year. The interest on special loans and risk capital is charged from the moment the loans are disbursed; interest on special loans is paid in six-monthly instalments and interest on risk capital generally in annual instalments.

Legal basis

For the legal basis, see remarks for Chapters 22 03 and 19 08 of the statement of expenditure in this section.

Reference acts

Proposal for a Regulation of the European Parliament and of the Council, submitted by the Commission on 20 January 2009, amending Regulation (EC) No 1638/2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (COM(2008) 308 final), and in particular article 23 thereof.

Article 8 1 3 — Capital repayments and interest in respect of loans and risk capital granted by the Commission to developing countries in Latin America, Asia, the Mediterranean region and South Africa under the European Community Investment Partners operation

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record capital repayments and interest payments on loans and risk capital granted from the appropriations in Item 19 08 01 01 under the European Community Investment Partners operation.

Legal basis

Regulation (EC) No 1638/2006 of the European Parliament and of the Council of 24 October 2006 laying down general provisions establishing a European Neighbourhood and Partnership Instrument (OJ L 310, 9.11.2006. p. 1).

CHAPTER 8 2 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR NON- MEMBER COUNTRIES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 2	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR BORROWING AND LENDING OPERATIONS FOR NON-MEMBER COUNTRIES			
8 2 7	<i>European Union guarantee for the borrowing programmes contracted by the Community/EU to provide macro-financial assistance to non-member countries</i>	p.m.	p.m.	0,—
8 2 8	<i>Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States</i>	p.m.	p.m.	0,—
	Chapter 8 2 — Total	p.m.	p.m.	0,—

Article 8 2 7 — European Union guarantee for the borrowing programmes contracted by the Community/EU to provide macro-financial assistance to non-member countries

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 04, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex 'Part II' of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 04 of the statement of expenditure in this section.

Article 8 2 8 — Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 05, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex 'Part II' of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 05 of the statement of expenditure in this section.

CHAPTER 8 3 — REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN NON- MEMBER COUNTRIES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 3	REVENUE RESULTING FROM THE EUROPEAN UNION GUARANTEE FOR LOANS GRANTED BY FINANCIAL ESTABLISHMENTS IN NON-MEMBER COUNTRIES			
8 3 5	<i>European Union guarantee for European Investment Bank loans to non-member countries</i>	p.m.	p.m.	0,—
	Chapter 8 3 — Total	p.m.	p.m.	0,—

Article 8 3 5 — *European Union guarantee for European Investment Bank loans to non-member countries*

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This article is intended to record any revenue arising from exercise of rights in connection with a guarantee under Item 01 04 01 06, provided that this revenue has not been deducted from expenditure.

A summary of borrowing and lending operations, including debt management, in capital and interest, is given in Annex 'Part II' of the statement of expenditure in this section.

Legal basis

For the legal basis, see the remark for Item 01 04 01 06 of the statement of expenditure in this section.

CHAPTER 8 5 — REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
8 5	REVENUE FROM CONTRIBUTIONS BY GUARANTEE BODIES			
8 5 0	<i>Dividends paid by the European Investment Fund</i>	p.m.	p.m.	3 678 263,68
	Chapter 8 5 — Total	p.m.	p.m.	3 678 263,68

Article 8 5 0 — *Dividends paid by the European Investment Fund*

Figures

Budget 2011	Budget 2010	Outturn 2009
p.m.	p.m.	3 678 263,68

Remarks

This article is intended to record any dividends paid by the European Investment Fund in respect of this contribution.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

TITLE 9 — MISCELLANEOUS REVENUE

Figures

Title Chapter	Heading	Budget 2011	Budget 2010	Outturn 2009
9 0	MISCELLANEOUS REVENUE	30 000 000	30 000 000	61 458 199,98
	Title 9 — Total	30 000 000	30 000 000	61 458 199,98

CHAPTER 9 0 — MISCELLANEOUS REVENUE

Figures

Title Chapter Article Item	Heading	Budget 2011	Budget 2010	Outturn 2009
9 0	MISCELLANEOUS REVENUE			
9 0 0	<i>Miscellaneous revenue</i>	30 000 000	30 000 000	61 458 199,98
	Chapter 9 0 — Total	30 000 000	30 000 000	61 458 199,98

Article 9 0 0 — Miscellaneous revenue

Figures

Budget 2011	Budget 2010	Outturn 2009
30 000 000	30 000 000	61 458 199,98

Remarks

This article is intended to record miscellaneous revenue.

EXPENDITURE — EXPENDITURE

Figures

Title	Heading	Budget 2011		Appropriations 2010		Outturn 2009	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
01	Economic and financial affairs 40 01 40	523 404 992	340 725 852	448 527 528 142 485 448 670 013	405 487 528 142 485 405 630 013	439 691 392,56	328 212 787,84
02	Enterprise 40 01 40	1 054 430 159	1 210 151 288	1 695 908 585 191 847 1 696 100 432	1 084 093 439 191 847 1 084 285 286	1 551 264 786,89	1 270 643 061,79
03	Competition 40 01 40	92 172 461	92 172 461	90 604 037 203 854 90 807 891	90 604 037 203 854 90 807 891	91 573 732,56	94 646 644,05
04	Employment and social affairs 40 01 40, 40 02 41	11 397 385 364	9 171 934 871	11 248 325 559 25 423 970 11 273 749 529	8 533 083 077 25 423 970 8 558 507 047	11 194 721 704,45	8 909 805 078,76
05	Agriculture and rural development 40 01 40, 40 02 40	57 290 630 969	55 296 323 498	57 780 438 369 300 270 293 58 080 708 662	56 776 956 322 300 270 293 57 077 226 615	60 053 981 198,47	55 213 988 466,14
06	Mobility and transport 40 01 40	1 546 132 940	1 142 905 535	1 407 397 054 119 318 1 407 516 372	1 224 041 730 119 318 1 224 161 048	1 347 202 123,29	1 176 520 105,60
07	Environment and Climate Action 40 01 40	469 565 352	389 310 222	446 741 504 164 898 446 906 402	350 401 504 164 898 350 566 402	438 448 501,44	343 852 362,57
08	Research 40 01 40	5 334 488 223	4 123 408 098	4 605 855 404 25 081 4 605 880 485	3 852 239 404 25 081 3 852 264 485	4 534 775 553,53	4 428 558 655,55
09	Information society and Media 40 01 40, 40 02 41	1 537 925 177	1 335 688 520	1 512 724 281 3 585 001 1 516 309 282	1 489 823 281 3 585 001 1 493 408 282	1 428 511 509,83	1 263 321 150,90
10	Direct research	394 978 000	396 325 905	383 321 000	391 547 000	432 610 536,06	417 052 031,22
11	Maritime affairs and fisheries 40 01 40 40 02 41	948 113 086 52 000 000 1 000 113 086	720 182 744 52 000 000 772 182 744	1 001 114 718 79 514 1 001 194 232	819 199 272 79 514 819 278 786	975 156 695,43	585 469 468,11
12	Internal market 40 01 40, 40 02 41	77 951 527 16 124 000 94 075 527	76 468 543 16 124 000 92 592 543	67 855 793 6 125 941 73 981 734	66 655 793 6 125 941 72 781 734	66 160 542,78	66 033 497,34
13	Regional policy 40 01 40	40 381 928 328	33 338 464 163	38 908 511 374 160 094 38 908 671 468	28 779 393 631 160 094 28 779 553 725	38 523 134 352,47	26 743 894 273,58
14	Taxation and customs union 40 01 40	141 514 244	114 168 706	135 060 164 118 737 135 178 901	107 042 164 118 737 107 160 901	126 175 766,23	121 409 284,11
15	Education and culture 40 01 40	2 427 752 294	1 998 115 993	2 104 707 842 143 552 2 104 851 394	1 798 410 842 143 552 1 798 554 394	2 206 244 518,70	1 993 219 744,42
16	Communication 40 01 40	272 226 893	252 226 893	259 439 021 148 355 259 587 376	241 214 021 148 355 241 362 376	251 554 102,35	235 932 812,27
17	Health and consumer protection 40 01 40	690 755 310	594 780 071	707 747 062 200 652 707 947 714	572 765 062 200 652 572 965 714	723 207 886,95	574 140 810,81

Title	Heading	Budget 2011		Appropriations 2010		Outturn 2009	
		Commitments	Payments	Commitments	Payments	Commitments	Payments
18	Area of freedom, security and justice	1 203 368 368	878 779 963	1 062 220 054	794 303 054	1 008 021 775,24	743 697 459,25
	40 01 40, 40 02 41	6 010 000 1 209 378 368	5 894 131 884 674 094	5 385 547 1 067 605 601	5 385 547 799 688 601		
19	External relations	4 270 439 845	3 383 368 387	4 210 457 068	3 631 597 444	4 104 626 903,86	3 679 494 866,17
	40 01 40, 40 02 41	44 000 000 4 314 439 845	6 447 730 3 389 816 117	54 753 484 4 265 210 552	29 753 484 3 661 350 928		
20	Trade	104 115 367	103 491 751	78 917 119	81 917 119	77 748 787,06	78 387 164,21
	40 01 40			125 941 79 043 060	125 941 82 043 060		
21	Development and relations with African, Caribbean and Pacific (ACP) States	1 495 609 223	1 450 252 203	1 646 409 016	1 607 609 507	2 324 898 355,19	1 712 980 157,96
	40 01 40			155 025 1 646 564 041	155 025 1 607 764 532		
	40 02 41	44 000 000 1 539 609 223	28 900 328 1 479 152 531				
22	Enlargement	1 122 824 918	1 013 536 869	1 022 359 107	1 203 497 586	1 119 862 585,27	1 306 334 824,08
	40 01 40			62 971 1 022 422 078	62 971 1 203 560 557		
23	Humanitarian aid	877 868 391	839 522 142	854 313 155	848 063 155	935 028 339,22	816 236 450,59
	40 01 40			44 026 854 357 181	44 026 848 107 181		
24	Fight against fraud	81 160 000	74 243 183	77 645 000	73 345 000	77 376 951,75	73 620 867,06
25	Commission's policy coordination and legal advice	189 068 322	189 068 322	187 523 615	187 523 615	186 400 812,55	186 072 898,89
	40 01 40			374 355 187 897 970	374 355 187 897 970		
26	Commission's administration	1 014 531 658	1 013 038 603	981 751 471	998 751 471	1 047 914 353,76	1 052 663 344,45
	40 01 40, 40 02 41			32 085 997 1 013 837 468	14 735 997 1 013 487 468		
27	Budget	68 778 677	68 778 677	68 135 786	68 135 786	271 708 217,94	271 708 217,94
	40 01 40			111 533 68 247 319	111 533 68 247 319		
28	Audit	11 244 702	11 244 702	10 593 209	10 593 209	10 541 496,35	10 541 496,35
	40 01 40			23 214 10 616 423	23 214 10 616 423		
29	Statistics	144 105 443	123 410 529	140 747 470	120 323 470	132 991 376,89	120 944 029,15
	40 01 40			170 501 140 917 971	170 501 120 493 971		
30	Pensions and related expenditure	1 250 209 000	1 250 209 000	1 214 092 000	1 214 092 000	1 117 268 365,16	1 117 268 365,16
31	Language services	388 000 417	388 000 417	387 288 152	387 288 152	423 957 901,12	423 957 901,12
	40 01 40			1 628 841 388 916 993	1 628 841 388 916 993		
32	Energy	698 749 696	1 536 722 254	2 533 161 881	1 558 394 401	2 555 776 606,67	316 033 780,23
	40 01 40, 40 02 41			77 138 968 2 610 300 849	2 138 968 1 560 533 369		
40	Reserves	915 994 000	209 366 189	1 361 045 995	658 695 995	0,—	0,—
	Total	138 255 289 346	123 017 020 365	138 028 775 398	119 617 275 076	139 778 537 732,02	115 676 642 057,67
	40 01 40, 40 02 40, 40 02 41	162 134 000 138 417 423 346	109 366 189 123 126 386 554	509 163 995 138 537 939 393	391 813 995 120 009 089 071		

TITLE XX — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01	Administrative expenditure allocated to policy areas				
XX 01 01	Expenditure related to staff in active employment in policy areas				
XX 01 01 01	Expenditure related to staff in active employment working with the institution				
XX 01 01 01 01	Remuneration and allowances	5	1 756 403 125	1 785 917 894	1 790 077 448,65
	40 01 40			5 116 100	
				1 791 033 994	
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5	12 332 229	15 647 000	11 709 000,00
XX 01 01 01 03	Adjustments to remuneration	5	11 320 746	22 450 000	
	<i>Subtotal</i>		1 780 056 100	1 824 014 894	1 801 786 448,65
	40 01 40			5 116 100	
				1 829 130 994	
XX 01 01 02	Expenditure related to staff in active employment of the delegations of the European Union				
XX 01 01 02 01	Remuneration and allowances	5	104 639 255	177 172 613	163 875 131,86
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5	6 456 393	14 075 480	10 756 725,93
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5	633 992	2 079 000	
	<i>Subtotal</i>		111 729 640	193 327 093	174 631 857,79
	<i>Article XX 01 01 — Subtotal</i>		1 891 785 740	2 017 341 987	1 976 418 306,44
	40 01 40			5 116 100	
				2 022 458 087	
XX 01 02	External staff and other management expenditure				
XX 01 02 01	External staff working with the institution				
XX 01 02 01 01	Contract staff	5	63 716 366	66 403 026	59 178 908,20
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5	24 294 530	24 660 000	30 514 509,44
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5	41 605 946	41 665 000	41 377 217,78
	<i>Subtotal</i>		129 616 842	132 728 026	131 070 635,42
XX 01 02 02	External staff of the delegations of the European Union				
XX 01 02 02 01	Remuneration of other staff	5	5 528 465	57 471 219	50 127 059,86
XX 01 02 02 02	Training of junior experts and seconded national experts	5	3 419 673	7 100 000	7 082 569,54
XX 01 02 02 03	Expenses of other staff and payment for other services	5	247 251	2 218 251	1 998 258,67
	<i>Subtotal</i>		9 195 389	66 789 470	59 207 888,07
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5	60 964 817	63 850 798	65 427 499,03
	40 01 40			937 200	
				64 787 998	
XX 01 02 11 02	Conference and meeting costs	5	30 057 332	33 549 000	30 015 977,47
	40 01 40			51 000	
				33 600 000	
XX 01 02 11 03	Meetings of committees	5	13 362 900	17 989 500	12 815 408,81
	40 01 40			10 500	
				18 000 000	
XX 01 02 11 04	Studies and consultations	5	9 368 175	9 754 000	10 247 160,21
	40 01 40			246 000	
				10 000 000	
XX 01 02 11 05	Development of management and information systems	5	26 989 850	26 547 425	37 792 983,20
	40 01 40			1 682 100	
				28 229 525	
XX 01 02 11 06	Further training and management training	5	16 488 080	13 896 885	18 675 075,45
	40 01 40			2 676 095	
				16 572 980	

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
	<i>Subtotal</i> 40 01 40		157 231 154	165 587 608 5 602 895 171 190 503	174 974 104,17
XX 01 02 12	Other management expenditure of the delegations of the European Union				
XX 01 02 12 01	Missions, conferences and representation expenses	5	7 051 124	16 856 542	16 044 026,16
XX 01 02 12 02	Further training of staff in delegations	5	574 156	1 820 450	1 413 357,20
	<i>Subtotal</i>		7 625 280	18 676 992	17 457 383,36
	<i>Article XX 01 02 — Subtotal</i> 40 01 40		303 668 665	383 782 096 5 602 895 389 384 991	382 710 011,02
XX 01 03	<i>Expenditure related to equipment, furniture and services, and buildings of the delegations of the European Union</i>				
XX 01 03 01	Expenditure related to equipment, furniture and services of the Commission				
XX 01 03 01 03	Equipment and furniture	5	78 094 402	80 098 622	88 109 997,05
XX 01 03 01 04	Services and other operating expenditure	5	52 897 887	53 236 370	53 369 403,40
	<i>Subtotal</i>		130 992 289	133 334 992	141 479 400,45
XX 01 03 02	Buildings and related expenditure of the delegations of the European Union				
XX 01 03 02 01	Acquisition, renting and related expenditure	5	43 837 830	113 222 481	123 808 745,40
XX 01 03 02 02	Equipment, furniture, supplies and services	5	10 507 175	33 988 491	32 355 726,32
	<i>Subtotal</i>		54 345 005	147 210 972	156 164 471,72
	<i>Article XX 01 03 — Subtotal</i>		185 337 294	280 545 964	297 643 872,17
XX 01 05	<i>Expenditure related to staff in active employment for indirect research</i>				
XX 01 05 01	Remuneration and allowances related to staff in active employment for indirect research	1.1	192 900 000	193 325 000	189 986 749,00
XX 01 05 02	External staff for indirect research	1.1	48 557 000	54 099 000	47 958 847,11
XX 01 05 03	Other management expenditure for indirect research	1.1	87 718 000	66 044 000	88 707 583,51
	<i>Article XX 01 05 — Subtotal</i>		329 175 000	313 468 000	326 653 179,62
	Chapter XX 01 — Total 40 01 40		2 709 966 699	2 995 138 047 10 718 995 3 005 857 042	2 983 425 369,25

CHAPTER XX 01 — ADMINISTRATIVE EXPENDITURE ALLOCATED TO POLICY AREAS

Article XX 01 01 — Expenditure related to staff in active employment in policy areas

Item XX 01 01 01 — Expenditure related to staff in active employment working with the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 01 01	Expenditure related to staff in active employment working with the institution				
XX 01 01 01 01	Remuneration and allowances	5	1 756 403 125	1 785 917 894 5 116 100 1 791 033 994	1 790 077 448,65
	40 01 40				
XX 01 01 01 02	Expenses and allowances related to recruitment, transfers and termination of service	5	12 332 229	15 647 000	11 709 000,00

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 01 01 03	Adjustments to remuneration	5	11 320 746	22 450 000	
	Item XX 01 01 01 — Total		1 780 056 100	1 824 014 894	1 801 786 448,65
	40 01 40			5 116 100	
				1 829 130 994	

Remarks

With the exception of staff serving in third countries, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and other payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to be made by the Commission to temporary staff to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- in respect of officials and temporary staff, allowances for shift work or standby duty at the official's place of work or at home,
- allowances in the event of dismissal of a probationary official for obvious inadequacy,
- allowances in the event of cancellation by the institution of the contract of a temporary staff member,
- reimbursement of expenditure on security measures at the homes of officials working in offices and delegations of the European Union in the EU,
- flat-rate allowances and payments at hourly rates for overtime worked by officials in category AST which cannot be compensated, under the arrangements laid down, by free time,
- the cost of weightings applied to the remuneration of officials and temporary staff and the cost of weightings applied to the part of emoluments transferred to a country other than the country of employment,
- travel expenses due to officials and temporary staff (including their families) on taking up duty, leaving the institution or transfer to another place of employment,
- installation and resettlement allowances due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- removal expenses due to officials and temporary staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- daily subsistence allowance for officials and temporary staff who furnish evidence that they must change their place of residence on taking up duty or transferring to a new place of employment,
- transitional costs for officials assigned to posts in the new Member States prior to accession who are requested to remain in service in these Member States following the accession date, and who will be entitled, exceptionally, to the same financial and material conditions applied by the Commission before accession, in accordance with Annex X to the Staff Regulations of Officials and the Conditions of employment of other servants of the European Communities,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

A general description of the officials' salaries and other benefits is given on the 'Working at the Commission' page of the European Commission's Directorate-General for Personnel and Administration website.

The Council Regulation updating the salary scales of officials and other servants of all the European Union institutions, including increments and allowances, is published every year in the Official Journal (latest adjustment in OJ L 348, 29.12.2009, p. 10).

The new posts for 2009 were part of the overall increase of 850 new staff over a transitional period from 2006 to 2009 for the enlargement to include Bulgaria and Romania.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 47 000 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of employment of other servants of the European Communities.

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Subitem XX 01 01 01 01 — Remuneration and allowances

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 01 01 01	1 756 403 125	1 785 917 894	1 790 077 448,65
40 01 40		5 116 100	
Total	1 756 403 125	1 791 033 994	1 790 077 448,65

Subitem XX 01 01 01 02 — Expenses and allowances related to recruitment, transfers and termination of service

Figures

Budget 2011	Appropriations 2010	Outturn 2009
12 332 229	15 647 000	11 709 000,00

Subitem XX 01 01 01 03 — Adjustments to remuneration

Figures

Budget 2011	Appropriations 2010	Outturn 2009
11 320 746	22 450 000	

Item XX 01 01 02 — Expenditure related to staff in active employment of the delegations of the European Union

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 01 02	Expenditure related to staff in active employment of the delegations of the European Union				
XX 01 01 02 01	Remuneration and allowances	5	104 639 255	177 172 613	163 875 131,86
XX 01 01 02 02	Expenses and allowances related to recruitment, transfers and termination of service	5	6 456 393	14 075 480	10 756 725,93
XX 01 01 02 03	Appropriations to cover any adjustments to remuneration	5	633 992	2 079 000	
	Item XX 01 01 02 — Total		111 729 640	193 327 093	174 631 857,79

Remarks

In respect of Items 19 01 01 02, 20 01 01 02, 21 01 01 02 and 22 01 01 02, relating to delegations of the European Union outside the EU and delegations to international organisations situated inside the EU, this appropriation is intended to cover, in respect of officials and temporary staff holding posts on the establishment plan:

- salaries, allowances and payments related to salaries,
- accident and sickness insurance and other social security charges,
- unemployment insurance for temporary staff and payments to constitute or maintain pension rights for them in their country of origin,
- miscellaneous allowances and grants,
- overtime,

- the cost of weightings applied to the remuneration of officials and temporary staff,
- the cost of any adjustments to remuneration approved by the Council during the financial year,
- installation and resettlement allowances due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere,
- travel expenses due to staff (including members of their family) on taking up duty, leaving the institution or transfer to another place of employment,
- removal expenses due to staff obliged to change their place of residence on taking up duty, on transfer to a new place of employment and on finally leaving the institution and resettling elsewhere.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 50 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of employment of other servants of the European Communities.

Council Regulation No 6/66/Euratom, No 121/66/EEC of 28 July 1966 laying down the list of places for which a rent allowance may be granted, the maximum amount of that allowance and the rules for granting it (OJ 150, 12.8.1966, p. 2749/66).

Council Regulation No 7/66/Euratom, No 122/66/EEC of 28 July 1966 laying down the list of places for which a transport allowance may be granted, the maximum amount of that allowance and the rules for granting it (OJ 150, 12.8.1966, p. 2751/66).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 1).

Subitem XX 01 01 02 01 — Remuneration and allowances

Figures

Budget 2011	Appropriations 2010	Outturn 2009
104 639 255	177 172 613	163 875 131,86

Subitem XX 01 01 02 02 — Expenses and allowances related to recruitment, transfers and termination of service

Figures

Budget 2011	Appropriations 2010	Outturn 2009
6 456 393	14 075 480	10 756 725,93

Subitem XX 01 01 02 03 — Appropriations to cover any adjustments to remuneration

Figures

Budget 2011	Appropriations 2010	Outturn 2009
633 992	2 079 000	

Article XX 01 02 — External staff and other management expenditure

Item XX 01 02 01 — External staff working with the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 01	External staff working with the institution				
XX 01 02 01 01	Contract staff	5	63 716 366	66 403 026	59 178 908,20
XX 01 02 01 02	Agency staff and technical and administrative assistance in support of different activities	5	24 294 530	24 660 000	30 514 509,44
XX 01 02 01 03	National civil servants temporarily assigned to the institution	5	41 605 946	41 665 000	41 377 217,78
	Item XX 01 02 01 — Total		129 616 842	132 728 026	131 070 635,42

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- the remuneration of contract staff (within the meaning of the Conditions of Employment of Other Servants of the European Communities), employer's contributions to social welfare for contract staff and the impact of weightings applicable to the remunerations of such staff,
- a sum to cover the remuneration of contract staff acting as guides for persons with disabilities,
- the employment of agency staff, particularly clerical staff and shorthand typists,
- expenditure on staff included in service contracts for technical and administrative work and the supply of intellectual services, and expenditure on buildings and equipment and operating costs relating to this type of staff,
- the cost of national civil servants or other experts on secondment or temporary assignment to the Commission or called for short consultations, particularly to draft legislation on harmonisation in various areas; exchanges are also organised to allow uniform application of Community/EU legislation by the Member States,
- the cost of any adjustments to remuneration approved by the Council during the financial year.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Community/EU programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Community's/EU's general costs under Articles 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget headings concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 241 332.

Any revenue from the Swiss Confederation's contribution for participation in Community/EU programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue based on data available (2008 earmarked revenue entered in this item), in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 1 500 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of employment of other servants of the European Communities.

Rules governing designation and remuneration and other financial conditions adopted by the Commission.

Council Directive 2000/78/EC of 27 November 2000 establishing a general framework for equal treatment in employment and occupation (OJ L 303, 2.12.2000, p. 16).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Code of good practice for the employment of people with disabilities, adopted by European Parliament Bureau Decision of 22 June 2005.

Subitem XX 01 02 01 01 — Contract staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
63 716 366	66 403 026	59 178 908,20

Subitem XX 01 02 01 02 — Agency staff and technical and administrative assistance in support of different activities

Figures

Budget 2011	Appropriations 2010	Outturn 2009
24 294 530	24 660 000	30 514 509,44

Subitem XX 01 02 01 03 — National civil servants temporarily assigned to the institution

Figures

Budget 2011	Appropriations 2010	Outturn 2009
41 605 946	41 665 000	41 377 217,78

Item XX 01 02 02 — External staff of the delegations of the European Union

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 02	External staff of the delegations of the European Union				
XX 01 02 02 01	Remuneration of other staff	5	5 528 465	57 471 219	50 127 059,86
XX 01 02 02 02	Training of junior experts and seconded national experts	5	3 419 673	7 100 000	7 082 569,54
XX 01 02 02 03	Expenses of other staff and payment for other services	5	247 251	2 218 251	1 998 258,67
	Item XX 01 02 02 — Total		9 195 389	66 789 470	59 207 888,07

Remarks

In respect of Items 19 01 02 02, 20 01 02 02, 21 01 02 02 and 22 01 02 02, relating to delegations of the European Union outside the European Union and delegations to international organisations situated inside the EU, this appropriation is intended to cover:

- the remuneration of local and/or contract staff, and the social security charges and benefits to be met by the employer,
- employer's contributions towards supplementary social security cover for local staff,
- services of agency and freelance staff.

As regards junior experts and seconded national experts in delegations, this appropriation is intended:

- to finance or co-finance the expenditure related to the posting of Junior Experts (university graduates) to the delegations of the European Union,
- to meet the costs of seminars organised for young diplomats from the Member States and non-member countries,
- to cover expenditure relating to the secondment or temporary assignment of officials from the Member States to delegations.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 45 000.

Legal basis

Conditions of employment of other servants of the European Communities.

Subitem XX 01 02 02 01 — Remuneration of other staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 528 465	57 471 219	50 127 059,86

Subitem XX 01 02 02 02 — Training of junior experts and seconded national experts

Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 419 673	7 100 000	7 082 569,54

Subitem XX 01 02 02 03 — Expenses of other staff and payment for other services

Figures

Budget 2011	Appropriations 2010	Outturn 2009
247 251	2 218 251	1 998 258,67

Item XX 01 02 11 — Other management expenditure of the institution

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11	Other management expenditure of the institution				
XX 01 02 11 01	Mission and representation expenses	5	60 964 817	63 850 798	65 427 499,03
	40 01 40			937 200	
				64 787 998	
XX 01 02 11 02	Conference and meeting costs	5	30 057 332	33 549 000	30 015 977,47
	40 01 40			51 000	
				33 600 000	
XX 01 02 11 03	Meetings of committees	5	13 362 900	17 989 500	12 815 408,81
	40 01 40			10 500	
				18 000 000	
XX 01 02 11 04	Studies and consultations	5	9 368 175	9 754 000	10 247 160,21
	40 01 40			246 000	
				10 000 000	
XX 01 02 11 05	Development of management and information systems	5	26 989 850	26 547 425	37 792 983,20
	40 01 40			1 682 100	
				28 229 525	
XX 01 02 11 06	Further training and management training	5	16 488 080	13 896 885	18 675 075,45
	40 01 40			2 676 095	
				16 572 980	
	Item XX 01 02 11 — Total		157 231 154	165 587 608	174 974 104,17
	40 01 40			5 602 895	
				171 190 503	

Remarks

This appropriation is intended to cover the following decentralised operating expenditure:

- travel expenses, including ancillary costs relating to tickets and reservations, daily subsistence allowances and additional or exceptional expenditure incurred in connection with missions by Commission staff covered by the Staff Regulations and by national or international experts or officials seconded to Commission departments (refunds of mission expenses paid for the account of other EU institutions or bodies and for third parties will constitute assigned expenditure),
- reimbursement of the costs incurred by persons officially representing the Commission (reimbursement is not possible for expenses incurred in the performance of representation duties vis-à-vis staff of the Commission or other institutions of the European Union),
- reimbursement of the costs incurred for the functioning of the expert groups established or convened by the Commission: travel, subsistence and incidental expenses of experts participating in study groups and working parties, and the cost of organising such meetings where they are not covered by the existing infrastructure in the headquarters of the institutions or external offices (experts are reimbursed on the basis of decisions made by the Commission),
- travel, subsistence and incidental expenses of experts participating in committees set up by the Treaty and by regulations (Council or European Parliament and Council), and the cost of organising such meetings where they are not covered by the existing infrastructure (in the headquarters of the institutions or external offices) (experts are reimbursed on the basis of decisions made by the Commission),
- the cost of refreshments and food served on special occasions during internal meetings,
- expenditure relating to conferences, congresses and meetings organised by the Commission in support of its various policies, and expenditure for running a network for financial control organisations and bodies, including an annual meeting between such organisations and the members of the European Parliament's Committee on Budgetary Control, as requested in paragraph 88 of Resolution 2006/809/EC, Euratom of the European Parliament of 27 April 2006 with comments forming an integral part of the decision on the discharge for implementation of the European Union general budget for the financial year 2004, Section III — Commission (OJ L 340, 6.12.2006, p. 5),
- expenditure relating to conferences, seminars, meetings, training courses and practical in-house training for officials of the Member States who manage or monitor operations financed by the Community/EU funds or operations to collect revenue that constitutes Community own resources or cooperate in the Community/EU statistics system, and expenditure of the same type for officials from the countries of central and eastern Europe managing or monitoring operations financed under Community/EU programmes,
- expenditure on training non-member country officials who carry out management or control duties with a direct bearing on protecting the EU's financial interest,
- the cost of the Commission's participation in conferences, congresses and meetings,
- conference enrolment fees, excluding training expenses,
- subscriptions to trade and scientific associations,
- expenditure on specialised studies and consultations contracted out to highly qualified experts (individuals or firms) if the Commission does not have suitable staff available to carry out such studies,
- the purchase of studies already carried out or subscriptions with specialist research institutions,
- expenditure on general training designed to improve the skills of the staff and the performance and efficiency of the Commission:
 - fees for experts employed to identify training needs, design, develop and hold courses and evaluate and monitor results,
 - fees for consultants in various fields, in particular organisational methods, management, strategy, quality assurance and personnel management,
 - expenditure incurred in designing, holding and evaluating the training organised by the Commission in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses, and teaching materials),
 - the cost of attending external training and of joining the relevant professional organisations,
 - expenditure related to the practical aspects of organising such courses and the use of premises and transport and the cost of food and accommodation for the participants of residential courses,
 - training expenditure related to publications and information, associated Internet sites and the purchase of teaching equipment, subscriptions and licences for distance teaching, books, press and multimedia products,

- financing teaching aids,
- the following expenditure on information and management systems:
 - the development and maintenance under contract of management and information systems,
 - the acquisition and maintenance of complete (turnkey) information and management systems in the field of administrative management (staff, budget, finance, accounts, etc.),
 - studies, documentation and training linked to those systems and project management,
 - the acquisition of skills and expertise in the area of information technology for all departments: quality, security, technology, development methodology, information technology management, etc.,
 - technical support for those systems, and the technical work needed to ensure that they operate satisfactorily.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Community/EU programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Revenue from contributions from the EFTA States to the Community's/EU's general costs under Article 82 of the Agreement on the European Economic Area gives rise to the provision of supplementary appropriations to be entered in the budget headings concerned in accordance with the Financial Regulation. The amount of such revenue is estimated at EUR 1 226 000.

Any revenue from the Swiss Confederation's contribution for participation in Community/EU programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue based on data available (2008 earmarked revenue entered in this item), in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 5 900 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Subitem XX 01 02 11 01 — Mission and representation expenses

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 01	60 964 817	63 850 798	65 427 499,03
40 01 40		937 200	
Total	60 964 817	64 787 998	65 427 499,03

Subitem XX 01 02 11 02 — Conference and meeting costs

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 02	30 057 332	33 549 000	30 015 977,47
40 01 40		51 000	
Total	30 057 332	33 600 000	30 015 977,47

Subitem XX 01 02 11 03 — Meetings of committees

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 03	13 362 900	17 989 500	12 815 408,81
40 01 40		10 500	
Total	13 362 900	18 000 000	12 815 408,81

Subitem XX 01 02 11 04 — Studies and consultations

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 04	9 368 175	9 754 000	10 247 160,21
40 01 40		246 000	
Total	9 368 175	10 000 000	10 247 160,21

Subitem XX 01 02 11 05 — Development of management and information systems

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 05	26 989 850	26 547 425	37 792 983,20
40 01 40		1 682 100	
Total	26 989 850	28 229 525	37 792 983,20

Subitem XX 01 02 11 06 — Further training and management training

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 11 06	16 488 080	13 896 885	18 675 075,45
40 01 40		2 676 095	
Total	16 488 080	16 572 980	18 675 075,45

Item XX 01 02 12 — Other management expenditure of the delegations of the European Union

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 02 12	Other management expenditure of the delegations of the European Union				
XX 01 02 12 01	Missions, conferences and representation expenses	5	7 051 124	16 856 542	16 044 026,16
XX 01 02 12 02	Further training of staff in delegations	5	574 156	1 820 450	1 413 357,20
	Item XX 01 02 12 — Total		7 625 280	18 676 992	17 457 383,36

Remarks

In respect of Items 19 01 02 12, 20 01 02 12, 21 01 02 12 and 22 01 02 12, relating to delegations of the European Union outside the EU and delegations to international organisations situated inside the EU, this appropriation is intended to cover:

- miscellaneous costs and allowances concerning other staff, including legal consultations,
- expenditure arising from recruitment procedures of officials, contract staff and local staff, including publication costs, travel and subsistence costs and accident insurance for candidates called for examinations and interviews, costs connected with the organisation of group recruitment tests and pre-recruitment medical examinations,
- the acquisition, replacement, conversion and maintenance of equipment of a medical nature installed in delegations,
- expenses related to the cost of annual medical examinations of officials, contract staff and local staff, including analyses and tests carried out as part of such examinations, cultural activities and initiatives for encouraging social contacts,
- expenses related to the medical costs of local staff employed under local law contracts, the cost of medical and dental advisers and the costs concerning the policy regarding AIDS at the workplace,

- the fixed allowance granted to officials who regularly incur representation expenses by reason of their duties, and the reimbursement of costs incurred by authorised Commission officials to represent the Commission in the interests of the service and by reason of their duties (in the case of delegations within the territory of the EU, part of the accommodation expenses will be covered by the fixed representation allowance),
- expenditure on travel expenses, daily subsistence allowances for missions and incidental or exceptional expenses incurred in connection with missions by Commission officials and other staff,
- expenditure on travel expenses and daily subsistence allowances in connection with medical evacuations,
- expenditure arising from crisis situations, including travel, accommodation and daily subsistence allowances,
- expenditure on general and language training designed to improve the skills of the staff and the performance of the Commission:
 - fees for experts employed to identify training needs, design, develop and hold courses and to evaluate and monitor results,
 - fees for consultants in various fields, in particular organizational methods, planning, management, strategy, quality assurance and personnel management,
 - expenditure incurred in designing, holding and evaluating training organized by the Commission in the form of courses, seminars and conferences (course instructors/speakers and their travel and subsistence expenses and teaching materials,
 - expenditure related to the practical and logistical aspects of organising courses including premises, transport and equipment hire for training and local and regional seminars as well as miscellaneous connected costs such as refreshments and food,
 - the cost of participation in conferences and symposiums, and subscriptions to professional and scientific associations,
 - training expenditure related to publications and information, associated internet sites and the purchase of teaching equipment, subscriptions and licenses for distance teaching, books, press and multimedia products.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 14 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Conditions of employment of other servants of the European Communities.

Subitem XX 01 02 12 01 — Missions, conferences and representation expenses

Figures

Budget 2011	Appropriations 2010	Outturn 2009
7 051 124	16 856 542	16 044 026,16

Subitem XX 01 02 12 02 — Further training of staff in delegations

Figures

Budget 2011	Appropriations 2010	Outturn 2009
574 156	1 820 450	1 413 357,20

Article XX 01 03 — Expenditure related to equipment, furniture and services, and buildings of the delegations of the European Union

Item XX 01 03 01 — Expenditure related to equipment, furniture and services of the Commission

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 03 01	Expenditure related to equipment, furniture and services of the Commission				
XX 01 03 01 03	Equipment and furniture	5	78 094 402	80 098 622	88 109 997,05
XX 01 03 01 04	Services and other operating expenditure	5	52 897 887	53 236 370	53 369 403,40
	Item XX 01 03 01 — Total		130 992 289	133 334 992	141 479 400,45

Remarks

This appropriation is intended to cover the following expenditure incurred within EU territory:

- the purchase, hire, maintenance and repair of furniture, and in particular:
 - the purchase of office furniture and specialised furniture, including ergonomic furniture, shelving for archives, etc.,
 - the replacement of worn-out and broken furniture,
 - supplies of special equipment for libraries (card indexes, shelving, catalogue units, etc.),
 - the hire of furniture,
 - furniture maintenance and repair costs (before contracts for an amount in excess of EUR 300 000 are renewed or concluded, and with a view to rationalising expenditure, the Commission must consult the other institutions with regard to the conditions (price, currency chosen, indexing, duration, other clauses) obtained by each of them for a similar contract),
- expenditure on working equipment, and in particular:
 - purchase of uniforms for floor messengers and drivers,
 - purchase and cleaning of working clothes for workshop staff and staff required to do work for which protection is necessary against bad or cold weather, abnormal wear and dirt,
 - purchase or reimbursement of the cost of any equipment which might be necessary pursuant to Council Directives 89/391/EEC and 90/270/EEC,
- the cost of purchasing paper, envelopes, office supplies and supplies for the print shops, and of some printing carried out by outside service providers,
- other operating expenditure, such as:
 - expenditure on equipping buildings with telecommunications facilities, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
 - the purchase, hire or leasing of computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
 - the purchase, hire or leasing of equipment relating to the presentation of information in printed form, e.g. printers, fax machines, photocopiers, scanners and microcopiers,
 - the purchase, hire or leasing of typewriters, word processors or any other electronic office equipment,
 - installation, configuration, maintenance, studies, documentation and supplies related to this equipment,
 - financing the development and use of the Europa site on the Internet: Europa is the joint server for all the European institutions and enables all citizens of Europe, wherever they live, to obtain full online information on the aims of the

European Union, the structure of its institutions, current policies and those to be implemented. It is also intended to provide a letter box so that citizens can communicate with the various institutions. At an appropriate time, the departments concerned will send the European Parliament a report on the activity of the Europa site, including interinstitutional pages and the development of the letter box and the assistance it provides to Members of the European Parliament in their communications with the general public (factual information),

- expenditure on the creation and development of the Commission's intranet site (Intracomm) and publication of the weekly *Commission en direct*,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,
- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of interbuilding telephone and computer links and international transmission lines between sites of EU offices,
- technical and logistic support, training and other activities of general interest related to computer equipment and software, general computer training, subscriptions to technical documentation whether on paper or in electronic form, etc., external operating staff, office services, subscriptions to international organisations, etc., studies on safety and quality assurance relating to computer equipment and software,
- expenditure on the Computer Centre:
 - the purchase, hire or leasing of computers, peripherals and software for the Computer Centre, and the costs of back-up facilities,
 - maintenance, support, studies, documentation, training and supplies related to this equipment and outside operating personnel,
 - the development and maintenance, under contract, of the necessary software for the operation of the Computer Centre.

Appropriations to cover the equivalent expenditure in respect of research are entered under various items in Article 01 05 of the titles concerned.

This appropriation covers expenditure incurred within the territory of the EU, excluding offices in the EU (for which expenditure is entered in Item 16 01 03 03).

Any revenue from the Swiss Confederation's contributions for participation in Community/EU programmes, entered under Item 6 0 3 3 of the statement of revenue, may give rise to the provision of additional appropriations in accordance with Article 18(1)(d) of the Financial Regulation.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 17 228 000.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1).

Council Directive 90/270/EEC of 29 May 1990 on the minimum safety and health requirements for work with display-screen equipment (fifth individual Directive within the meaning of Article 16(1) of Directive 89/391/EEC) (OJ L 156, 21.6.1990, p. 14).

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Subitem XX 01 03 01 03 — Equipment and furniture

Figures

Budget 2011	Appropriations 2010	Outturn 2009
78 094 402	80 098 622	88 109 997,05

Subitem XX 01 03 01 04 — Services and other operating expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
52 897 887	53 236 370	53 369 403,40

Item XX 01 03 02 — Buildings and related expenditure of the delegations of the European Union

Figures

Classification by type

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
XX 01 03 02	Buildings and related expenditure of the delegations of the European Union				
XX 01 03 02 01	Acquisition, renting and related expenditure	5	43 837 830	113 222 481	123 808 745,40
XX 01 03 02 02	Equipment, furniture, supplies and services	5	10 507 175	33 988 491	32 355 726,32
	Item XX 01 03 02 — Total		54 345 005	147 210 972	156 164 471,72

Remarks

In respect of Items 19 01 03 02, 20 01 03 02, 21 01 03 02 and 22 01 03 02, relating to delegations of the European Union outside the EU and delegations to international organisations situated inside the EU, this appropriation is intended to cover:

- temporary accommodation allowances and daily allowances,
- as regards rent and other charges on buildings for delegations outside the EU:
 - for all the buildings or parts of buildings occupied by the offices of delegations outside the EU or by officials posted outside the EU: rents (including temporary accommodation) and taxes, insurance premiums, refurbishments and major repairs, routine expenditure relating to the security of persons and goods (cipher machines, safes, window bars, etc.),
 - for all the buildings or parts of buildings occupied by the offices of delegations outside the EU and delegates' residences: water, gas, electricity and fuel charges, maintenance and repairs, handling, refurbishment and other routine expenses (local taxes for street maintenance and refuse collection and the purchase of signs and signposts),
- as regards rent and other charges on buildings within EU territory:
 - for all the buildings or parts of buildings occupied by the offices of delegations: rent; water, gas, electricity and heating energy charges; insurance premiums; maintenance and repairs; refurbishment and major repairs; expenditure relating to security, particularly contracts for surveillance and the hiring and refilling of extinguishers; the purchase and maintenance of fire-fighting equipment and the replacement of equipment of voluntary fire-fighting officials; the cost of statutory inspections, etc.,
 - for the buildings or parts of buildings occupied by officials: reimbursement of expenditure relating to the security of housing,
- expenditure incurred in the acquisition of building land and buildings (purchase or lease-purchase option) or construction of offices or other accommodation, including the costs of preliminary studies and various fees,
- the purchase, hire, leasing, maintenance and repair of furniture and equipment, in particular audiovisual, archive, printing, library, interpretation and specialised office equipment (photocopiers, reader-printers, fax machines, etc.) as well as the acquisition of documentation and supplies related to this equipment,
- the purchase, maintenance and repair of technical equipment such as generators, air conditioners, etc., and the installation of equipment for welfare facilities in the delegations,
- the purchase, replacement, hire, leasing, maintenance and repair of vehicles, including tools,
- insurance premiums for vehicles,
- the purchase of books, documents and other non-periodical publications, including updates, and subscriptions to newspapers, periodicals and various publications, and the cost of binding and other costs for the preservation of periodicals,
- subscriptions to news agencies,

- the purchase of paper, envelopes, office supplies and supplies for reproduction, and some printing contracted to outside service providers,
- the transport and customs clearance of equipment, the purchase and cleaning of uniforms for floor messengers, drivers, etc., various types of insurance (in particular third-party liability and theft), expenditure on internal meetings (drinks, food served on special occasions),
- the cost of studies, surveys and consultations connected with the administrative operation of delegations and any other operating expenses not specifically covered by the other items in this article,
- postal and delivery charges for mail, reports and publications, and for postal and other packages sent by air, land, sea or rail,
- the cost of the diplomatic bag,
- all expenditure on furniture and fittings for residential accommodation made available to officials,
- the purchase, hire or leasing of data-processing equipment (computers, terminals, mini-computers, peripherals, connection devices) with the requisite software,
- outsourced services, in particular for the development, maintenance and support of IT systems in the delegations,
- the purchase, hire or leasing of equipment for the reproduction of information on paper, such as printers and scanners,
- the purchase, hire or leasing of telephone exchanges and switchboards and equipment for data transmission with the requisite software,
- subscription charges and fixed costs for cable or radio communications (telephone, telegraph, telex, fax), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of installation, configuration, maintenance, support, assistance, documentation and supplies related to this equipment,
- any expenditure on active security operations in delegations in emergencies.

The amount of assigned revenue pursuant to Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 380 000.

Legal basis

Staff Regulations of Officials of the European Communities.

Subitem XX 01 03 02 01 — Acquisition, renting and related expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
43 837 830	113 222 481	123 808 745,40

Subitem XX 01 03 02 02 — Equipment, furniture, supplies and services

Figures

Budget 2011	Appropriations 2010	Outturn 2009
10 507 175	33 988 491	32 355 726,32

Article XX 01 05 — Expenditure related to staff in active employment for indirect research

Item XX 01 05 01 — Remuneration and allowances related to staff in active employment for indirect research

Figures

Budget 2011	Appropriations 2010	Outturn 2009
192 900 000	193 325 000	189 986 749,00

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for Research.

This appropriation covers expenditure relating to staff covered by the Staff Regulations occupying posts on the authorised establishment plans engaged in indirect action under the nuclear and non-nuclear programmes.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	24 250 000
Framework programme (non-nuclear)	168 650 000
Total	192 900 000

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60; corrected by OJ L 54, 22.2.2007, p. 21).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86; corrected by OJ L 54, 22.2.2007, p. 30).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243; corrected by OJ L 54, 22.2.2007, p. 81).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270; corrected by OJ L 54, 22.2.2007, p. 91).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299; corrected by OJ L 54, 22.2.2007, p. 101).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404; corrected by OJ L 54, 22.2.2007, p. 139).

Item XX 01 05 02 — External staff for indirect research

Figures

Budget 2011	Appropriations 2010	Outturn 2009
48 557 000	54 099 000	47 958 847,11

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for Research.

This appropriation is intended to cover expenditure on external staff for all management of research in the form of indirect action under the nuclear and non-nuclear programmes.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	1 495 000
Framework programme (non-nuclear)	47 062 000

Programme	Appropriation
Total	48 557 000

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60; corrected by OJ L 54, 22.2.2007, p. 21).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86; corrected by OJ L 54, 22.2.2007, p. 30).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: 'Ideas' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243; corrected by OJ L 54, 22.2.2007, p. 81).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme 'People' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270; corrected by OJ L 54, 22.2.2007, p. 91).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme 'Capacities' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299; corrected by OJ L 54, 22.2.2007, p. 101).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404; corrected by OJ L 54, 22.2.2007, p. 139).

Item XX 01 05 03 — Other management expenditure for indirect research

Figures

Budget 2011	Appropriations 2010	Outturn 2009
87 718 000	66 044 000	88 707 583,51

Remarks

The following comments apply to all the policy areas (Enterprise and Industry, Mobility and Transport, Research, Information Society and Media, Education and Culture, Energy) involved in indirect actions under the Seventh Framework Programme for Research.

This appropriation is intended to cover other administrative expenditure for all management of research in the form of indirect action under the nuclear and non-nuclear programmes.

The breakdown of these appropriations for staff expenditure is as follows:

Programme	Appropriation
Framework programme (nuclear)	11 149 000
Framework programme (non-nuclear)	76 569 000
Total	87 718 000

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Council Decision 2006/970/Euratom of 18 December 2006 concerning the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 60; corrected by OJ L 54, 22.2.2007, p. 21).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme ‘Cooperation’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86; corrected by OJ L 54, 22.2.2007, p. 30).

Council Decision 2006/972/EC of 19 December 2006 concerning the specific programme: ‘Ideas’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 243; corrected by OJ L 54, 22.2.2007, p. 81).

Council Decision 2006/973/EC of 19 December 2006 concerning the specific programme ‘People’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 270; corrected by OJ L 54, 22.2.2007, p. 91).

Council Decision 2006/974/EC of 19 December 2006 on the Specific Programme ‘Capacities’ implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 299; corrected by OJ L 54, 22.2.2007, p. 101).

Council Decision 2006/976/Euratom of 19 December 2006 concerning the Specific Programme implementing the Seventh Framework Programme of the European Atomic Energy Community (Euratom) for nuclear research and training activities (2007 to 2011) (OJ L 400, 30.12.2006, p. 404; corrected by OJ L 54, 22.2.2007, p. 139).

TITLE 01 — ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 01	Administrative expenditure of 'Economic and financial affairs' policy area	5	65 695 855	65 695 855	64 232 528	64 232 528	63 218 820,47	63 218 820,47
	40 01 40				142 485	142 485		
					64 375 013	64 375 013		
01 02	Economic and monetary union		14 500 000	14 722 837	16 000 000	16 000 000	12 909 736,35	13 464 399,75
01 03	International economic and financial affairs	4	139 329 137	92 812 974	98 985 000	90 000 000	81 452 478,00	16 572 110,00
01 04	Financial operations and instruments							
							357,74	457,62
	Title 01 — Total		523 404 992	340 725 852	448 527 528	405 487 528	439 691	328 212
	40 01 40				142 485	142 485	392,56	787,84
					448 670 013	405 630 013		

CHAPTER 01 01 — ADMINISTRATIVE EXPENDITURE OF 'ECONOMIC AND FINANCIAL AFFAIRS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
01 01	Administrative expenditure of 'Economic and financial affairs' policy area				
01 01 01	Expenditure related to staff in active employment of 'Economic and financial affairs' policy area	5	53 025 804	50 765 617	49 361 371,36
	40 01 40			142 485	
				50 908 102	
01 01 02	External staff and other management expenditure in support of 'Economic and financial affairs' policy area				
01 01 02 01	External staff	5	3 654 227	3 769 719	4 063 839,57
01 01 02 11	Other management expenditure	5	4 260 715	5 359 786	5 108 560,07
	<i>Article 01 01 02 — Subtotal</i>		7 914 942	9 129 505	9 172 399,64
01 01 03	Expenditure related to equipment, furniture and services and other working expenditure of 'Economic and financial affairs' policy area				
01 01 03 01	Expenditure related to equipment, furniture and services of 'Economic and financial affairs' policy area	5	3 902 109	3 712 406	3 875 059,12
01 01 03 04	Other working expenditure	5	853 000	625 000	809 990,35
	<i>Article 01 01 03 — Subtotal</i>		4 755 109	4 337 406	4 685 049,47
	Chapter 01 01 — Total		65 695 855	64 232 528	63 218 820,47
	40 01 40			142 485	
				64 375 013	

Article 01 01 01 — Expenditure related to staff in active employment of 'Economic and financial affairs' policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
01 01 01	53 025 804	50 765 617	49 361 371,36
40 01 40		142 485	

	Budget 2011	Appropriations 2010	Outturn 2009
Total	53 025 804	50 908 102	49 361 371,36

Article 01 01 02 — External staff and other management expenditure in support of ‘Economic and financial affairs’ policy area

Item 01 01 02 01 — External staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 654 227	3 769 719	4 063 839,57

Item 01 01 02 11 — Other management expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 260 715	5 359 786	5 108 560,07

Article 01 01 03 — Expenditure related to equipment, furniture and services and other working expenditure of ‘Economic and financial affairs’ policy area

Item 01 01 03 01 — Expenditure related to equipment, furniture and services of ‘Economic and financial affairs’ policy area

Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 902 109	3 712 406	3 875 059,12

Item 01 01 03 04 — Other working expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
853 000	625 000	809 990,35

Remarks

This appropriation is intended to cover the following expenditure incurred within the Union territory:

- expenditure on equipping buildings with telecommunications, notably purchase, hire, installation and maintenance of telephone switchboards and distributors, audio, videoconferencing, interphone and mobile phone systems, and expenditure on data networks (equipment and maintenance) and associated services (management, support, documentation, installation and removal),
- the purchase, hire or leasing, installation and maintenance of electronic office equipment, computers, terminals, mini-computers, peripherals, connection devices and the necessary software,
- the cost of subscriptions and access to electronic information services and external databases and the acquisition of electronic media (CD-ROMs etc.),
- the training and support required for accessing this information,

- subscription charges and the cost of cable or radio communications (land and mobile telephones, telex, telegraph, television, teleconferencing and videoconferencing), expenditure on data-transmission networks, telematic services, etc., and the purchase of directories,
- the cost of connection to telecommunication networks, for example SWIFT (interbank) and CoreNet (secure network set up by the ECB), and related infrastructure and services, and expenditure on subscription to credit-rating agencies,
- installation, configuration, maintenance, studies, evaluations, documentation and supplies related to this equipment.

Legal basis

Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 01 02 — ECONOMIC AND MONETARY UNION

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 02	Economic and monetary union							
01 02 02	<i>Coordination and surveillance of economic and monetary union</i>	5	9 000 000	9 000 000	8 500 000	8 500 000	7 008 417,20	6 743 146,18
01 02 04	<i>Prince — Communication on economic and monetary union, including the euro</i>	1.1	5 500 000	5 722 837	7 500 000	7 500 000	5 901 319,15	6 721 253,57
	Chapter 01 02 — Total		14 500 000	14 722 837	16 000 000	16 000 000	12 909 736,35	13 464 399,75

Article 01 02 02 — Coordination and surveillance of economic and monetary union

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	9 000 000	8 500 000	8 500 000	7 008 417,20	6 743 146,18

Remarks

This appropriation is intended to cover the cost of carrying out or continuing the following surveys in the Member States and the cost of introducing the surveys in the applicant countries:

- decisions taken by the Commission on 15 November 1961:
 - the monthly Community business survey (since 1962),
 - the building industry survey (since 1963),
 - the survey of capital investment (since 1966),
 - the retail trade sector survey,
 - the service sector survey,
 - ad hoc survey on topical issues,
- Council Decision of 15 September 1970:
 - the European Economic Community consumer survey (since 1972).

This appropriation is also intended to cover the cost of studies, workshops, conferences, analyses, evaluations, publications, technical assistance, the purchase and maintenance of databases and software and the part-financing and support of measures relating to:

- economic monitoring, analysis of the combination of measures and coordination of economic policies,
- the external aspects of the Economic and Monetary Union (EMU),

- the macroeconomic developments in the euro area,
- monitoring structural reforms and improving the operation of markets in the EMU,
- coordination with financial institutions and analysis and development of financial markets,
- cooperation with economic operators and decision-makers in the above fields,
- expanding the EMU.

The amount of assigned revenue in accordance with Article 18(1)(e) to (j) of the Financial Regulation is estimated at EUR 100 000.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 01 02 04 — Prince — Communication on economic and monetary union, including the euro

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 500 000	5 722 837	7 500 000	7 500 000	5 901 319,15	6 721 253,57

Remarks

This appropriation is intended to cover the funding of priority information measures on Union policies on all aspects of the rules and functioning of EMU, of the benefits of closer policy coordination and structural reforms and to address information needs of citizens, local authorities and enterprises in relation to the euro.

This measure is designed to be an effective channel of communication and dialogue between the people of the European Union and the Union institutions and take account of specific national and regional characteristics, in close cooperation with the Member State authorities. Special emphasis is put on preparing citizens in the new Member States for the introduction of the euro.

It includes:

- partnership agreements with the Member States, which intend to communicate on the euro or on the economic and monetary union (EMU),
- close cooperation and networking with all Member States in the framework of the network of Directors of Communication on EMU-related issues,
- the development of communication activities at central level (brochures, leaflets, newsletters, Internet site design, development and maintenance, exhibitions, stands, conferences, seminars, audiovisual products, opinion polls, surveys, studies, promotional material, twinning programmes, etc.),
- communication initiatives in third countries, in particular to point out the international role of the euro and the value of financial integration.

The Commission, when implementing this budget line, should take duly into account the outcomes of the meetings of the Interinstitutional Group on Information (IGI).

The Commission has set out its communication strategy on the euro in the Communication on the implementation of an information and communication strategy on the euro and economic and monetary union (COM(2004) 552 final) adopted by the College on 11 August 2004. The implementation of the communication strategy takes place in close coordination with the Member States and the European Parliament.

The Commission reports regularly to the European Parliament's relevant committee on the implementation of the programme and on planning for the coming year.

Legal basis

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 01 03 — INTERNATIONAL ECONOMIC AND FINANCIAL AFFAIRS

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 03	International economic and financial affairs							
01 03 01	Participation in the capital of international financial institutions							
01 03 01 01	European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital	4	34 460 570	4 108 997	—	—	0,—	1 012 500,00
01 03 01 02	European Bank for Reconstruction and Development — Callable portion of subscribed capital	4	p.m.	p.m.				
	<i>Article 01 03 01 — Subtotal</i>		34 460 570	4 108 997	—	—	0,—	1 012 500,00
01 03 02	Macroeconomic assistance	4	104 868 567	88 703 977	98 985 000	90 000 000	81 452 478,00	15 559 610,00
	Chapter 01 03 — Total		139 329 137	92 812 974	98 985 000	90 000 000	81 452 478,00	16 572 110,00

Article 01 03 01 — Participation in the capital of international financial institutions

Item 01 03 01 01 — European Bank for Reconstruction and Development — Provision of paid-up shares of subscribed capital

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
34 460 570	4 108 997	—	—	0,—	1 012 500,00

Remarks

This appropriation is intended to cover the financing of the capital subscribed by the European Union in the European Bank for Reconstruction and Development (EBRD).

Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Item 01 03 01 02 — European Bank for Reconstruction and Development — Callable portion of subscribed capital

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.				

Remarks

New item

This appropriation is intended to cover the financing of the capital subscribed by the European Community in the European Bank for Reconstruction and Development.

The EBRD's current capital base amounts to EUR 20 billion, while the total EU subscribed share capital accounts for EUR 600 million (3%). The paid-in shares of subscribed capital amounts to EUR 157 500 000, leaving a callable portion of subscribed capital amounting to EUR 442 500 000.

Legal basis

Council Decision 90/674/EEC of 19 November 1990 on the conclusion of the Agreement establishing the European Bank for Reconstruction and Development (OJ L 372, 31.12.1990, p. 1).

Council Decision 97/135/EC of 17 February 1997 providing that the European Community should subscribe for extra shares as a result of the decision to double the capital of the European Bank for Reconstruction and Development (OJ L 52, 22.2.1997, p. 15).

Article 01 03 02 — Macroeconomic assistance

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
104 868 567	88 703 977	98 985 000	90 000 000	81 452 478,00	15 559 610,00

Remarks

This exceptional assistance aims to ease financial constraints on certain third countries experiencing macroeconomic difficulties characterised by balance of payment deficits and/or serious budgetary imbalances.

It is directly linked to the implementation by the recipient countries of macroeconomic stabilisation and structural adjustment measures. Community/EU action generally complements that of the International Monetary Fund, coordinated with other bilateral donors.

The Commission informs the budgetary authority twice a year on the macroeconomic situation of the beneficiary countries and reports extensively on the implementation of this assistance on a yearly basis.

Appropriations under this article will also be used to cover financial aid for the reconstruction in Georgia of the areas affected by the conflict with Russia. The actions should be primarily geared to the macroeconomic stabilisation of the country. The total financial allocation for the aid was decided at an international donors' conference in 2008.

Legal basis

Council Decision 2006/880/EC of 30 November 2006 providing exceptional Community financial assistance to Kosovo (OJ L 339, 6.12.2006, p. 36).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/889/EC of 30 November 2009 providing Community macro-financial assistance to Georgia (OJ L 320, 5.12.2009, p. 1).

Council Decision 2009/890/EC of 30 November 2009 providing Community macro-financial assistance to Armenia (OJ L 320, 5.12.2009, p. 3).

CHAPTER 01 04 — FINANCIAL OPERATIONS AND INSTRUMENTS

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
01 04	Financial operations and instruments							
01 04 01	European Union guarantees for lending operations							
01 04 01 01	European Union guarantee for EU loans raised for balance-of-payments support	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 01 02	Guarantee for Euratom loans raised	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 01 03	European Union guarantee for Union loans raised for financial assistance under the European financial stabilisation mechanism	1.1	p.m.	p.m.				
01 04 01 04	European Union guarantee for EU loans raised for macro-financial assistance to third countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 01 05	Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 01 06	European Union guarantee for European Investment Bank loans to third countries	4	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 01 14	Provisioning of the Guarantee Fund	4	138 880 000	138 880 000	93 810 000	93 810 000	92 306 780,00	92 306 780,00
	<i>Article 01 04 01 — Subtotal</i>		138 880 000	138 880 000	93 810 000	93 810 000	92 306 780,00	92 306 780,00
01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	1.1	165 000 000	28 614 186	161 000 000	91 900 000	163 625 314,06	55 283 131,37
01 04 05	Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	35 000 000	0,—	61 188 800,00
01 04 06	Completion of the 'Employment' initiative (1998 to 2000)	1.1	p.m.	p.m.	p.m.	45 000	0,—	0,—
01 04 07	Participation in risk-capital funds for trans-European networks	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
01 04 09	European Investment Fund							
01 04 09 01	European Investment Fund — Provision of paid-up shares of subscribed capital	1.1	—	—	14 500 000	14 500 000	26 178 263,68	26 178 746,25
01 04 09 02	European Investment Fund — Callable portion of subscribed capital	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	<i>Article 01 04 09 — Subtotal</i>		p.m.	p.m.	14 500 000	14 500 000	26 178 263,68	26 178 746,25
01 04 10	Nuclear safety	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
	Chapter 01 04 — Total		303 880 000	167 494 186	269 310 000	235 255 000	282 110 357,74	234 957 457,62

Article 01 04 01 — European Union guarantees for lending operations

Item 01 04 01 01 — European Union guarantee for EU loans raised for balance-of-payments support

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

The European Union guarantee is for loans raised on the capital markets or from financial institutions. The amount in principal of loans which may then be granted to the Member States is limited to EUR 50 000 000 000.

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Regulation (EC) No 332/2002 of 18 February 2002 establishing a facility providing medium-term financial assistance for Member States' balances of payments (OJ L 53, 23.2.2002, p. 1).

Council Decision 2009/102/EC of 4 November 2008 providing Community medium-term financial assistance for Hungary (OJ L 37, 6.2.2009, p. 5).

Council Decision 2009/290/EC of 20 January 2009 providing Community medium-term financial assistance for Latvia (OJ L 79, 25.3.2009, p. 39).

Council Decision 2009/459/EC of 6 May 2009 providing Community medium-term financial assistance for Romania (OJ L 150, 13.6.2009, p. 8).

Item 01 04 01 02 — Guarantee for Euratom loans raised

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

The authorised borrowing ceiling is EUR 4 000 000 000, of which EUR 500 000 000 is authorised pursuant to Decision 77/270/Euratom, EUR 500 000 000 pursuant to Decision 80/29/Euratom, EUR 1 000 000 000 pursuant to Decision 82/170/Euratom, EUR 1 000 000 000 pursuant to Decision 85/537/Euratom and EUR 1 000 000 000 pursuant to Decision 90/212/Euratom.

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 77/270/Euratom of 29 March 1977 empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 9).

Council Decision 77/271/Euratom of 29 March 1977 on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 88, 6.4.1977, p. 11).

Council Decision 80/29/Euratom of 20 December 1979 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 12, 17.1.1980, p. 28).

Council Decision 82/170/Euratom of 15 March 1982 amending Decision 77/271/Euratom as regards the total amount of Euratom loans which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 78, 24.3.1982, p. 21).

Council Decision 85/537/Euratom of 5 December 1985 amending Decision 77/271/Euratom as regards the total amount by which the Commission is empowered to contract for the purpose of contributing to the financing of nuclear power stations (OJ L 334, 12.12.1985, p. 23).

Council Decision 90/212/Euratom of 23 April 1990 amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ L 112, 3.5.1990, p. 26).

Reference acts

Proposal for a Council decision, submitted by the Commission on 6 November 2002, amending Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ C 45 E, 25.2.2003, p. 194).

Proposal for a Council decision, submitted by the Commission on 6 November 2002, amending Decision 77/271/Euratom on the implementation of Decision 77/270/Euratom empowering the Commission to issue Euratom loans for the purpose of contributing to the financing of nuclear power stations (OJ C 45 E, 25.2.2003, p. 201).

Item 01 04 01 03 — European Union guarantee for Union loans raised for financial assistance under the European financial stabilisation mechanism

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.		

Remarks

New item

Article 122 (2) of the Treaty foresees the possibility of granting Union financial assistance to a Member State in difficulties or seriously threatened with severe difficulties caused by exceptional occurrences beyond its control.

The European Union guarantee is for loans raised on the capital markets or from financial institutions.

According to Article 2(2) of Council Regulation No 407/2010 of 11 May 2010, the outstanding amount of loans and credit lines granted to Member States under this stabilisation mechanism shall be limited to the margin available under the Union's own resources for payment appropriations.

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission to service the debt should debtors default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Regulation (EU) No 407/2010 of 11 May 2010 establishing a European financial stabilisation mechanism (OJ L 118, 12.5.2010, p. 1-4).

Reference acts

Article 122 (2) of the Treaty on the Functioning of the European Union.

Item 01 04 01 04 — European Union guarantee for EU loans raised for macro-financial assistance to third countries

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on a loan granted under the Decisions referred to below.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing and lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 97/471/EC of 22 July 1997 providing macro-financial assistance for the former Yugoslav Republic of Macedonia (OJ L 200, 29.7.1997, p. 59) (a maximum amount of EUR 40 000 000 in principal).

Council Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia (OJ L 322, 25.11.1997, p. 37).

Council Decision 98/592/EC of 15 October 1998 providing supplementary macro-financial assistance for Ukraine (OJ L 284, 22.10.1998, p. 45).

Council Decision 1999/325/EC of 10 May 1999 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 123, 13.5.1999, p. 57) (a maximum amount of EUR 30 000 000 in principal in the form of a 15-year loan).

Council Decision 1999/731/EC of 8 November 1999 providing supplementary macro-financial assistance for Bulgaria (OJ L 294, 16.11.1999, p. 27) (a maximum amount of EUR 100 000 000 in principal).

Council Decision 1999/732/EC of 8 November 1999 providing supplementary macro-financial assistance to Romania (OJ L 294, 16.11.1999, p. 29) (a maximum amount of EUR 200 000 000 in principal).

Council Decision 1999/733/EC of 8 November 1999 providing supplementary macro-financial assistance to the former Yugoslav Republic of Macedonia (OJ L 294, 16.11.1999, p. 31) (a maximum amount of EUR 50 000 000 in principal).

Council Decision 2000/244/EC of 20 March 2000 amending Decision 97/787/EC of 17 November 1997 providing exceptional financial assistance for Armenia and Georgia in order to extend it to Tajikistan (OJ L 77, 28.3.2000, p. 11) (a maximum amount of EUR 245 000 000 in principal).

Council Decision 2001/549/EC of 16 July 2001 providing macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 197, 21.7.2001, p. 38).

Council Decision 2002/639/EC of 12 July 2002 providing supplementary macro-financial assistance to Ukraine (OJ L 209, 6.8.2002, p. 22).

Council Decision 2002/882/EC of 5 November 2002 providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 308, 9.11.2002, p. 25).

Council Decision 2002/883/EC of 5 November 2002 providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 308, 9.11.2002, p. 28).

Council Decision 2003/825/EC of 25 November 2003 amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia with regard to additional macro-financial assistance to Serbia and Montenegro (OJ L 311, 27.11.2003, p. 28).

Council Decision 2004/580/EC of 29 April 2004 providing macro-financial assistance to Albania and repealing Decision 1999/282/EC (OJ L 261, 6.8.2004, p. 116).

Council Decision 2004/861/EC of 7 December 2004 amending Council Decision 2002/883/EC providing further macro-financial assistance to Bosnia and Herzegovina (OJ L 370, 17.12.2004, p. 80).

Council Decision 2004/862/EC of 7 December 2004 on macro-financial assistance to Serbia and Montenegro and amending Decision 2002/882/EC providing further macro-financial assistance to the Federal Republic of Yugoslavia (OJ L 370, 17.12.2004, p. 81).

Council Decision 2007/860/EC of 10 December 2007 providing Community macro-financial assistance to Lebanon (OJ L 337, 21.12.2007, p. 111).

Council Decision 2009/890/EC of 30 November 2009 providing macro-financial assistance for Armenia (OJ L 320, 5.12.2009, p. 3).

Council Decision 2009/891/EC of 30 November 2009 providing macro-financial assistance to Bosnia and Herzegovina (OJ L 320, 5.12.2009, p. 6).

Council Decision 2009/892/EC of 30 November 2009 providing macro-financial assistance to Serbia (OJ L 320, 5.12.2009, p. 9).

Item 01 04 01 05 — Guarantee for Euratom borrowings to improve the degree of efficiency and safety of nuclear power stations in the countries of central and eastern Europe and the Commonwealth of Independent States

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

The maximum amount of Euratom borrowings for the Member States and non-member countries remains fixed at EUR 4 000 000 000, as indicated in Item 01 04 01 02.

A specific annex to this part of the statement of expenditure in this section gives a summary of borrowing-and-lending operations guaranteed by the general budget, including debt management, in respect of capital and interest.

Legal basis

Council Decision 94/179/Euratom of 21 March 1994 amending Decision 77/270/Euratom, to authorise the Commission to contract Euratom borrowings in order to contribute to the financing required for improving the degree of safety and efficiency of nuclear power stations in certain non-member countries (OJ L 84, 29.3.1994, p. 41).

For the legal basis for Euratom loans, see also Item 01 04 01 02.

Item 01 04 01 06 — European Union guarantee for European Investment Bank loans to third countries

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	0,—

Remarks

Pursuant to the Council Decision of 8 March 1977, the European Union guarantees loans made by the European Investment Bank (EIB) in the context of the European Union's financial commitments in respect of the Mediterranean countries.

This decision was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 30 October 1978 and in Luxembourg on 10 November 1978 setting up a comprehensive guarantee, equal to 75 % of all the funds available for loan operations in the following countries: Malta, Tunisia, Algeria, Morocco, Portugal (Financial Protocol, emergency aid), Turkey, Cyprus, Syria, Israel, Jordan, Egypt, former Yugoslavia and Lebanon.

Decision 90/62/EEC was the basis for a contract of guarantee between the European Economic Community and the EIB signed in Brussels on 24 April 1990 and in Luxembourg on 14 May 1990 as regards Hungary and Poland and for the extension of that contract to cover Czechoslovakia, Romania and Bulgaria, signed in Brussels and in Luxembourg on 31 July 1991.

Decision 93/696/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 22 July 1994 and in Luxembourg on 12 August 1994.

Pursuant to Decisions 93/115/EEC and 96/723/EC, the European Union guarantees loans which may be made individually by the EIB in countries of Latin America and Asia with which the European Community has concluded cooperation agreements. Decision 93/115/EEC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 November 1993 and in Luxembourg on 17 November 1993. Decision 96/723/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 18 March 1997 and in Luxembourg on 26 March 1997.

Pursuant to Decision 95/207/EC, the European Union guarantees loans which may be made individually by the EIB in South Africa. Decision 95/207/EC was the basis for a contract of guarantee between the European Community and the EIB signed in Brussels on 4 October 1995 and in Luxembourg on 16 October 1995.

Concerning Decision 97/256/EC, a contract of guarantee between the European Community and the EIB was signed in Brussels on 25 July 1997 and in Luxembourg on 29 July 1997, setting up a guarantee restricted to 70 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations opened is equivalent to EUR 7 105 000 000.

Concerning Decision 2000/24/EC as amended, a contract of guarantee between the European Community and the EIB was signed in Brussels on 24 January 2000 and in Luxembourg on 17 January 2000, last restated in 2005, setting up a guarantee restricted to 65 % of the aggregate amount of the appropriations opened, plus all related sums. The overall ceiling of the appropriations made available is equivalent to EUR 19 460 000 000. The EIB is invited to aim to cover the commercial risk on 30 % of its lending from non-sovereign guarantees. This percentage is to be increased whenever possible, in so far as the market allows.

Concerning Decision 2001/777/EC, a contract of guarantee between the European Community and the EIB was signed in Brussels on 6 May 2002 and in Luxembourg on 7 May 2002, setting up a guarantee at 100 % against losses under a special lending action for selected environment projects in the Baltic Sea basin of Russia under the Northern Dimension. The overall ceiling is EUR 100 000 000.

Concerning Decision 2005/48/EC, a contract of guarantee between the European Community and the EIB was signed in Luxembourg on 9 December 2005 and in Brussels on 21 December 2005, setting up a guarantee at 100 % against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus. The overall ceiling is EUR 500 000 000. It covers a period ending on 31 January 2007. At the end of this period, the loans granted by the EIB having not attained the overall amounts referred to above, the period has been automatically extended by six months.

Concerning Decision 2006/1016/EC, a contract of guarantee between the European Community and the EIB was signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007, setting up a guarantee restricted to 65 % of the aggregate amount of credits disbursed and guarantees provided in respect of EIB financing operations, less amounts reimbursed, plus all related sums. The overall ceiling of the appropriations made available for all countries under the Decision is equivalent to EUR 27 800 000 000 and covers the period beginning on 1 February 2007 and ending on 31 December 2013 with a possible extension of six months. This Decision has been replaced by Decision No 633/2009/EC of the European Parliament and of the Council (OJ L 190, 22.7.2009, p. 1).

Concerning Decision 633/2009/EC, an amendment was signed on 28 October 2009 to the contract of guarantee between the European Community and the EIB which was signed in Luxembourg on 1 August 2007 and in Brussels on 29 August 2007. The Community guarantee is restricted to 65 % of the aggregate amount of credits disbursed and guarantees. The maximum ceiling of the EIB financing operations, less amounts cancelled, shall not exceed EUR 27 800 000 000, broken down in a basic ceiling of EUR 25 800 million and an optional mandate of EUR 2 000 000 000. It covers a period ending on 31 October 2011, without prejudice to extension of this period to 30 April 2012 if a new decision of the European Parliament and the Council has not been adopted by 31 October 2011.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2007/436/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

This item constitutes the structure for the guarantee provided by the European Union. It will enable the Commission, if necessary, to service the debt (repayment of principal, interest and other costs) should a debtor default on the loans granted by the EIB.

Legal basis

Council Decision of 8 March 1977 (Mediterranean Protocols).

Council Regulation (EEC) No 1273/80 of 23 May 1980 on the conclusion of the Interim Protocol between the European Economic Community and the Socialist Federal Republic of Yugoslavia on the advance implementation of Protocol 2 to the Cooperation Agreement (OJ L 130, 27.5.1980, p. 98).

Council Decision of 19 July 1982 (further exceptional aid for the reconstruction of Lebanon).

Council Regulation (EEC) No 3180/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 337, 29.11.1982, p. 22).

Council Regulation (EEC) No 3183/82 of 22 November 1982 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 337, 29.11.1982, p. 43).

Council Decision of 9 October 1984 (loan outside the Yugoslavia Protocol).

Council Decision 87/604/EEC of 21 December 1987 concerning the conclusion of the second Protocol on financial cooperation between the European Economic Community and the Socialist Federal Republic of Yugoslavia (OJ L 389, 31.12.1987, p. 65).

Council Decision 88/33/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 22, 27.1.1988, p. 25).

Council Decision 88/34/EEC of 21 December 1987 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 22, 27.1.1988, p. 33).

Council Decision 88/453/EEC of 30 June 1988 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 224, 13.8.1988, p. 32).

Council Decision 90/62/EEC of 12 February 1990 granting a Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 42, 16.2.1990, p. 68).

Council Decision 91/252/EEC of 14 May 1991 extending to Czechoslovakia, Bulgaria and Romania Decision 90/62/EEC granting the Community guarantee to the European Investment Bank against losses under loans for projects in Hungary and Poland (OJ L 123, 18.5.1991, p. 44).

Council Decision 92/44/EEC of 19 December 1991 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Republic of Tunisia (OJ L 18, 25.1.1992, p. 34).

Council Decision 92/207/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Arab Republic of Egypt (OJ L 94, 8.4.1992, p. 21).

Council Decision 92/208/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Hashemite Kingdom of Jordan (OJ L 94, 8.4.1992, p. 29).

Council Decision 92/209/EEC of 16 March 1992 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Lebanese Republic (OJ L 94, 8.4.1992, p. 37).

Council Decision 92/210/EEC of 16 March 1992 on the conclusion of a Protocol relating to financial cooperation between the European Economic Community and the State of Israel (OJ L 94, 8.4.1992, p. 45).

Council Regulation (EEC) No 1763/92 of 29 June 1992 concerning financial cooperation in respect of all the Mediterranean non-member countries (OJ L 181, 1.7.1992, p. 5).

Council Decision 92/548/EEC of 16 November 1992 concerning the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Kingdom of Morocco (OJ L 352, 2.12.1992, p. 13).

Council Decision 92/549/EEC of 16 November 1992 on the conclusion of the Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 352, 2.12.1992, p. 21).

Council Decision 93/115/EEC of 15 February 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in certain third countries (OJ L 45, 23.2.1993, p. 27).

Council Decision 93/166/EEC of 15 March 1993 granting a Community guarantee to the European Investment Bank against losses under loans for investment projects carried out in Estonia, Latvia and Lithuania (OJ L 69, 20.3.1993, p. 42).

Council Decision 93/408/EEC of 19 July 1993 on the conclusion of a Protocol on financial cooperation between the European Economic Community and the Republic of Slovenia (OJ L 189, 29.7.1993, p. 152).

Council Decision 93/696/EC of 13 December 1993 granting a Community guarantee to the European Investment Bank against losses under loans for projects in central and eastern European countries (Poland, Hungary, the Czech Republic, the Slovak Republic, Romania, Bulgaria, Estonia, Latvia, Lithuania and Albania) (OJ L 321, 23.12.1993, p. 27).

Council Decision 94/67/EC of 24 January 1994 on the conclusion of a Protocol on financial and technical cooperation between the European Economic Community and the Syrian Arab Republic (OJ L 32, 5.2.1994, p. 44).

Council Decision 95/207/EC of 1 June 1995 granting a Community guarantee to the European Investment Bank against losses under loans for projects in South Africa (OJ L 131, 15.6.1995, p. 31).

Council Decision 95/485/EC of 30 October 1995 on the conclusion of a Protocol on financial and technical cooperation between the European Community and the Republic of Cyprus (OJ L 278, 21.11.1995, p. 22).

Council Decision 96/723/EC of 12 December 1996 granting a Community guarantee to the European Investment Bank against losses under loans for projects of mutual interest in Latin American and Asian countries with which the Community has concluded Cooperation Agreements (Argentina, Bolivia, Brazil, Chile, Colombia, Costa Rica, Ecuador, Guatemala, Honduras, Mexico, Nicaragua, Panama, Paraguay, Peru, El Salvador, Uruguay and Venezuela; Bangladesh, Brunei, China, India, Indonesia, Macao, Malaysia, Pakistan, Philippines, Singapore, Sri Lanka, Thailand and Vietnam (OJ L 329, 19.12.1996, p. 45).

Council Decision 97/256/EC of 14 April 1997 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (Central and Eastern Europe countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 102, 19.4.1997, p. 33).

Council Decision 98/348/EC of 19 May 1998 granting a Community guarantee to the European Investment Bank against losses under loans for projects in the former Yugoslav Republic of Macedonia and amending Decision 97/256/EC granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern European countries, Mediterranean countries, Latin American and Asian countries and South Africa) (OJ L 155, 29.5.1998, p. 53).

Council Decision 98/729/EC of 14 December 1998 amending Decision 97/256/EC so as to extend the Community guarantee granted to the European Investment Bank to cover loans for projects in Bosnia and Herzegovina (OJ L 346, 22.12.1998, p. 54).

Council Decision 1999/786/EC of 29 November 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects for the reconstruction of the earthquake-stricken areas of Turkey) (OJ L 308, 3.12.1999, p. 35).

Council Decision 2000/24/EC of 22 December 1999 granting a Community guarantee to the European Investment Bank against losses under loans for projects outside the Community (central and eastern Europe, Mediterranean countries, Latin America and Asia and the Republic of South Africa) (OJ L 9, 13.1.2000, p. 24).

Council Decision 2000/688/EC of 7 November 2000 amending Decision 2000/24/EC in order to extend the Community guarantee to the European Investment Bank to cover loans for projects in Croatia (OJ L 285, 10.11.2000, p. 20).

Council Decision 2000/788/EC of 4 December 2000 amending Decision 2000/24/EC so as to establish a European Investment Bank special action programme in support of the consolidation and intensification of the EC-Turkey customs union (OJ L 314, 14.12.2000, p. 27).

Council Decision 2001/777/EC of 6 November 2001 granting a Community guarantee to the European Investment Bank against losses under a special lending action for selected environmental projects in the Baltic Sea basin of Russia under the Northern Dimension (OJ L 292, 9.11.2001, p. 41).

Council Decision 2001/778/EC of 6 November 2001 amending Decision 2000/24/EC in order to extend the Community guarantee to the European Investment Bank to loans for projects in the Federal Republic of Yugoslavia (OJ L 292, 9.11.2001, p. 43).

Council Decision 2005/47/EC of 22 December 2004 amending Decision 2000/24/EC to take into account the enlargement of the European Union and the European Neighbourhood policy (OJ L 21, 25.1.2005, p. 9).

Council Decision 2005/48/EC of 22 December 2004 granting a Community guarantee to the European Investment Bank against losses under loans for certain types of projects in Russia, Ukraine, Moldova and Belarus (OJ L 21, 25.1.2005, p. 11).

Council Decision 2006/174/EC of 27 February 2006 amending Decision 2000/24/EC in order to include the Maldives in the list of countries covered, following the Indian Ocean tsunamis of December 2004 (OJ L 62, 3.3.2006, p. 26).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Item 01 04 01 14 — Provisioning of the Guarantee Fund

Figures

Budget 2011	Appropriations 2010	Outturn 2009
138 880 000	93 810 000	92 306 780,00

Remarks

This appropriation is intended to provide the financial resources for payments to the Guarantee Fund according to its provisioning mechanism and for payments of operational costs linked to the management of the fund and the external evaluation to be carried out in the context of the mid-term review of the EIB external mandate.

Legal basis

Council Regulation (EC, Euratom) No 480/2009 of 25 May 2009 establishing a Guarantee Fund for external actions (codified version) (OJ L 145, 10.6.2009, p. 10).

Decision No 633/2009/EC of the European Parliament and of the Council of 13 July 2009 granting a Community guarantee to the European Investment Bank against losses under loans and loan guarantees for projects outside the Community (OJ L 190, 22.7.2009, p. 1).

Article 01 04 04 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
165 000 000	28 614 186	161 000 000	91 900 000	163 625 314,06	55 283 131,37

Remarks

Part of this appropriation is intended to cover expenditure on EU/Community financial instruments implemented in the framework of the Competitiveness and Innovation Framework Programme (2007 to 2013) (CIP), and to facilitate the access of SMEs to finance.

Part of this appropriation is intended to cover expenditure on the following measures:

- promoting the availability in Europe of mechanisms for securing access to credit for SMEs, such as credit guarantee consortia, and analysing credit market issues where necessary,
- supporting credit applications to financial institutions submitted by such consortia of SMEs, inter alia through the provision of secondary guarantees by the European Investment Fund (EIF),
- concluding agreements with financial institutions on subsidised loans to credit guarantee consortia.

Poor access to appropriate forms of finance is frequently quoted as a main barrier to entrepreneurship and enterprise innovation. This problem may be exacerbated by new accounting standards which will make banks more sensitive to risk and lead to a rating culture. The CIP addresses persistent recognised market gaps leading to poor access to equity, venture capital and loans for SMEs, through Community Financial Instruments operated on behalf of the Commission by the EIF, the Union's specialised institution for providing venture capital and guarantee instruments for SMEs.

Independent evaluations of the predecessor programme 'Multiannual Programme for Enterprise and Entrepreneurship' identified the market-based approach and the implementation via the EIF of these instruments as a best practice. They are therefore continued and adapted in the CIP programme.

The Community Financial Instruments facilitates access to finance by SMEs. The High Growth and Innovative SME Facility (GIF) shares risks and rewards with private equity investors providing important leverage for the supply of equity to innovative companies.

GIF targets innovative SMEs in their early stages and in the expansion phase, leveraging 'follow-on' capital to help them bring their products and services to the market. Particular attention is paid to SMEs active in the field of eco-innovation.

The SME Guarantee Facility (SMEG) continues to provide counter or co-guarantees to guarantee schemes operating in participating countries, and direct guarantees to financial intermediaries. It aims at addressing market failures experienced by SMEs with growth potential in accessing: (i) loans (or loan substitutes such as leasing); (ii) microcredit and (iii) equity or quasi-equity. A new

securitisation window (iv) mobilises additional debt financing for SMEs under appropriate risk-sharing arrangements with the targeted institutions.

A Capacity Building Scheme (CBS) was intended to support the capacity of financial intermediaries to focus on additional investment and technology aspects. This instrument has, however, shown no response from the market. As a consequence, the budget initially reserved for CBS has been made available to the GIF to support mainly eco-innovation and technology transfer.

To the appropriations entered in this article must be added the contributions from the EFTA States¹ pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue generated on Trust Accounts entered in Article 5 2 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Up to EUR 400 000 may be used for communication activities, including events, publications and Internet information.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Article 01 04 05 — Completion of programme for enterprises: improvement of the financial environment for small and middle-sized enterprises (SMEs)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	35 000 000	0,—	61 188 800,00

Remarks

This appropriation is intended to cover expenditure on EU/Community financial instruments implemented in the framework of the Multiannual Programme for Enterprise and Entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (MAP) and for facilitating their access to finance. Even though the end of the commitment period has been reached, the facilities have to be operated for several years, in which payments will be needed for investments and in order to honour guarantee obligations. Therefore reporting and monitoring requirements will continue until the end of the facility periods.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2000/597/EC, Euratom on the system of the Communities' own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

To the appropriations entered in this article must be added the contributions from the EFTA States² pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

¹With the exception of Switzerland.

²With the exception of Switzerland.

Legal basis

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 333, 29.12.2000, p. 84).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 289, 3.11.2005, p. 14).

Article 01 04 06 — Completion of the ‘Employment’ initiative (1998 to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	45 000	0,—	0,—

Remarks

This appropriation covers the financing of:

- fees in respect of guarantees extended by the European Investment Fund (EIF) to facilitate the increase in the volume of lending made, and in the investment risk borne, by the European Investment Bank (EIB), commercial banks, investment funds or other appropriate intermediaries in connection with their SME operations,
- investment fund holdings in newly created enterprises and high-tech SMEs,
- part of the costs incurred by European SMEs in devising and setting up transnational joint ventures, as well as part of the total amount of the transnational investment.

In order to honour its obligations, the Commission may draw on its cash resources to service the debt provisionally. In this case, Article 12 of Council Regulation (EC, Euratom) No 1150/2000 of 22 May 2000 implementing Decision 2000/597/EC, Euratom on the system of the Communities’ own resources (OJ L 130, 31.5.2000, p. 1) shall apply.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 98/347/EC of 19 May 1998 on measures of financial assistance for innovative and job-creating small and medium-sized enterprises (SMEs) — The growth and employment initiative (OJ L 155, 29.5.1998, p. 43).

Article 01 04 07 — Participation in risk-capital funds for trans-European networks

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover participation in risk-capital funds (investment funds or comparable financial undertakings), with a priority focus on providing risk capital for trans-European network projects and involving substantial private-sector investment.

Legal basis

Council Regulation (EC) No 2236/95 of 18 September 1995 laying down general rules for the granting of Community financial aid in the field of trans-European networks (OJ L 228, 23.9.1995, p. 1), and in particular Article 4(1)(e) thereof.

Article 01 04 09 — European Investment Fund

Item 01 04 09 01 — European Investment Fund — Provision of paid-up shares of subscribed capital

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	14 500 000	14 500 000	26 178 263,68	26 178 746,25

Remarks

This appropriation is intended to cover the financing of the provision of paid-up shares of the capital subscribed by the European Community.

The European Investment Fund (EIF) was created in 1994. Its founder members were the European Community, represented by the Commission, the European Investment Bank (EIB) and a number of financial institutions. The Community membership to the EIF is determined by Decision 94/375/EC.

Article 3 of Decision 94/375/EC stipulates that the position of the Community on a possible increase in the capital of the EIF and on its participation in that increase shall be decided unanimously by the Council, acting on a proposal from the Commission and after consulting the European Parliament.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Item 01 04 09 02 — European Investment Fund — Callable portion of subscribed capital

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing in the event of a call for the amount of capital subscribed by the European Community.

Legal basis

Council Decision 94/375/EC of 6 June 1994 on Community membership of the European Investment Fund (OJ L 173, 7.7.1994, p. 12).

Council Decision 2007/247/EC of 19 April 2007 on the Community participation in the capital increase of the European Investment Fund (OJ L 107, 25.4.2007, p. 5).

Article 01 04 10 — Nuclear safety

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the financing of the technical and legal assistance necessary for the evaluation of the safety, environmental, economic and financial aspects of the projects for which financing in the form of a Euratom loan has been requested, including studies undertaken by the European Investment Bank; the measures are also intended to enable loan contracts to be concluded and carried out.

Legal basis

Council Decision 94/179/Euratom of 21 March 1994 amending Decision 77/270/Euratom, to authorise the Commission to contract Euratom borrowings in order to contribute to the financing required for improving the degree of safety and efficiency of nuclear power stations in certain non-member countries (OJ L 84, 29.3.1994, p. 41).

TITLE 02 — ENTERPRISE

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 01	Administrative expenditure of 'Enterprise' policy area		123 304 359	123 304 359	120 668 439	120 668 439	116 833 216,53	116 833 216,53
	40 01 40				191 847	191 847		
					120 860 286	120 860 286		
02 02	Competitiveness, industrial policy, innovation and entrepreneurship	1	168 150 000	133 601 879	147 021 300	76 430 500	165 204 805,94	70 699 344,35
02 03	Internal market for goods and sectoral policies	1	41 550 000	32 429 411	74 280 000	72 810 000	105 310 290,68	97 145 866,05
02 04	Cooperation — Space and security	1	521 284 000	388 022 224	457 903 846	359 049 500	309 793 673,74	256 786 109,86
02 05	EU satellite navigation programmes (EGNOS and Galileo)	1	200 141 800	532 793 415	896 035 000	455 135 000	854 122 800,00	729 178 525,00
	Title 02 — Total		1 054 430 159	1 210 151 288	1 695 908 585	1 084 093 439	1 551 264 786,89	1 270 643 061,79
	40 01 40				191 847	191 847		
					1 696 100 432	1 084 285 286		

CHAPTER 02 01 — ADMINISTRATIVE EXPENDITURE OF 'ENTERPRISE' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
02 01	Administrative expenditure of 'Enterprise' policy area				
02 01 01	<i>Expenditure related to staff in active employment of 'Enterprise' policy area</i>	5	68 367 808	68 352 957	67 756 743,35
	40 01 40			191 847	
				68 544 804	
02 01 02	<i>External staff and other management expenditure in support of 'Enterprise' policy area</i>				
02 01 02 01	External staff	5	5 684 424	6 521 077	6 845 610,03
02 01 02 11	Other management expenditure	5	5 362 018	5 865 004	5 568 656,90
	<i>Article 02 01 02 — Subtotal</i>		11 046 442	12 386 081	12 414 266,93
02 01 03	<i>Expenditure related to equipment, furniture and services of 'Enterprise' policy area</i>	5	5 031 109	4 998 539	5 317 299,22
02 01 04	<i>Support expenditure for operations of 'Enterprise' policy area</i>				
02 01 04 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management	1.1	1 000 000	1 000 000	717 314,80
02 01 04 02	Standardisation and approximation of legislation — Expenditure on administrative management	1.1	160 000	160 000	128 421,84
02 01 04 04	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management	1.1	5 500 000	4 500 000	3 535 085,42
02 01 04 05	EU satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management	1.1	4 000 000	3 500 000	2 545 660,31
02 01 04 06	European Earth observation programme (GMES) — Expenditure on administrative management	1.1	1 000 000		
02 01 04 30	Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	1.1	7 544 000	7 341 700	6 269 374,00
	<i>Article 02 01 04 — Subtotal</i>		19 204 000	16 501 700	13 195 856,37

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
02 01 05	Support expenditure for research activities of 'Enterprise' policy area				
02 01 05 01	Expenditure related to research staff	1.1	11 700 000	11 450 000	8 810 858,40
02 01 05 02	External staff for research	1.1	3 330 000	2 904 460	2 850 824,48
02 01 05 03	Other management expenditure for research	1.1	4 625 000	4 074 702	6 487 367,78
	<i>Article 02 01 05 — Subtotal</i>		19 655 000	18 429 162	18 149 050,66
	Chapter 02 01 — Total		123 304 359	120 668 439	116 833 216,53
	40 01 40			191 847	
				120 860 286	

Article 02 01 01 — Expenditure related to staff in active employment of 'Enterprise' policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
02 01 01	68 367 808	68 352 957	67 756 743,35
40 01 40		191 847	
Total	68 367 808	68 544 804	67 756 743,35

Article 02 01 02 — External staff and other management expenditure in support of 'Enterprise' policy area

Item 02 01 02 01 — External staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 684 424	6 521 077	6 845 610,03

Item 02 01 02 11 — Other management expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 362 018	5 865 004	5 568 656,90

Article 02 01 03 — Expenditure related to equipment, furniture and services of 'Enterprise' policy area

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 031 109	4 998 539	5 317 299,22

Article 02 01 04 — Support expenditure for operations of 'Enterprise' policy area

Item 02 01 04 01 — Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 000 000	1 000 000	717 314,80

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 02 03 01.

Item 02 01 04 02 — Standardisation and approximation of legislation — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
160 000	160 000	128 421,84

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

Legal basis

See Article 02 03 04.

Item 02 01 04 04 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 500 000	4 500 000	3 535 085,42

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on

technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

See Article 02 02 01.

Item 02 01 04 05 — EU satellite navigation programmes (EGNOS and Galileo) — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 000 000	3 500 000	2 545 660,31

Remarks

Former Item 06 01 04 12

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 02 05 01.

Item 02 01 04 06 — European Earth observation programme (GMES) — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 000 000		

Remarks

New item

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad hoc service contracts, as technical assistance offices' contracts expire during subsequent years.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

See Article 02 02 15.

Item 02 01 04 30 — Executive Agency for Competitiveness and Innovation — Contribution from the Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2011	Appropriations 2010	Outturn 2009
7 544 000	7 341 700	6 269 374,00

Remarks

This appropriation is intended to cover the Agency's expenditure on staff and administration incurred as a result of the Agency's role in the management of measures forming part of the Entrepreneurship and Innovation Programme (EIP).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation under Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

The establishment plan of the Agency is set out in Part 'Establishment plan staff' of Section III — Commission (Volume 3).

Legal basis

Council Regulation (EC) No 58/2003 of 19 December 2002 laying down the statute for executive agencies to be entrusted with certain tasks in the management of Community programmes (OJ L 11, 16.1.2003, p. 1).

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

Reference acts

Commission Decision 2004/20/EC of 23 December 2003 setting up an executive agency, the 'Intelligent Energy Executive Agency', to manage Community action in the field of energy in application of Council Regulation (EC) No 58/2003 (OJ L 5, 9.1.2004, p. 85).

Commission Decision 2007/372/EC of 31 May 2007 amending Decision 2004/20/EC in order to transform the 'Intelligent Energy Executive Agency' into the Executive Agency for Competitiveness and Innovation (OJ L 140, 1.6.2007, p. 52).

Article 02 01 05 — Support expenditure for research activities of 'Enterprise' policy area

Item 02 01 05 01 — Expenditure related to research staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
11 700 000	11 450 000	8 810 858,40

Remarks

Former Items 02 01 05 01 and 06 01 05 01 (in part)

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Item 02 01 05 02 — External staff for research

Figures

Budget 2011	Appropriations 2010	Outturn 2009
3 330 000	2 904 460	2 850 824,48

Remarks

Former Items 02 01 05 02 and 06 01 05 02 (in part)

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Item 02 01 05 03 — Other management expenditure for research

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 625 000	4 074 702	6 487 367,78

Remarks

Former Items 02 01 05 03 and 06 01 05 03 (in part)

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

CHAPTER 02 02 — COMPETITIVENESS, INDUSTRIAL POLICY, INNOVATION AND ENTREPRENEURSHIP

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 02	Competitiveness, industrial policy, innovation and entrepreneurship							
02 02 01	Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme	1.1	148 000 000	114 456 745	129 271 300	59 880 500	148 988 879,67	58 181 163,05
02 02 02	Supplementing the work on competitiveness, innovation and entrepreneurship							
02 02 02 01	Support to EU-Japan Centre for Industrial Cooperation and membership to international study groups	1.1	2 150 000	1 669 161	2 150 000	1 750 000	2 365 595,35	2 543 873,15
02 02 02 02	Completing and supplementing the work on the programme for enterprise and entrepreneurship, particularly for small and medium-sized enterprises (SMEs)	1.1	p.m.	23 845	p.m.	p.m.	0,—	1 275 537,29
	<i>Article 02 02 02 — Subtotal</i>		2 150 000	1 693 006	2 150 000	1 750 000	2 365 595,35	3 819 410,44
02 02 03	Improving the business environment for small and medium-sized enterprises (SMEs)							
02 02 03 01	Consolidation of the internal market — Pilot project for cooperation and cluster-building among small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	996 227,20
02 02 03 02	Support for small and medium-sized enterprises (SMEs) in the new financial environment	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
02 02 03 03	Pilot project — Transfer of expertise through mentoring in small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	1 392 743,23
02 02 03 04	Erasmus for Young Entrepreneurs	1.1	p.m.	120 000	p.m.	850 000	0,—	1 920 876,03
02 02 03 05	Preparatory action — Erasmus for Young Entrepreneurs	1.1	5 000 000	4 600 000	5 000 000	3 600 000	4 999 951,72	177 326,09
02 02 03 06	Preparatory action — Harmonised e-business processes and standards between European SMEs of interrelated industry sectors	1.1	p.m.	670 000	p.m.	400 000	1 670 000,00	0,—
	<i>Article 02 02 03 — Subtotal</i>		5 000 000	5 390 000	5 000 000	4 850 000	6 669 951,72	4 487 172,55
02 02 04	Small Business Act	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
02 02 05	Enlargement programme for small and medium-sized enterprises (SMEs)							
02 02 05 01	Enlargement programme for small and medium-sized enterprises (SMEs)	1.1	p.m.	p.m.	p.m.	p.m.	0,—	0,—
02 02 05 05	Pilot project — Measures to promote cooperation and partnerships between micro, small and medium-sized enterprises	1.1	p.m.	p.m.	p.m.	p.m.	0,—	815 101,84
	<i>Article 02 02 05 — Subtotal</i>		p.m.	p.m.	p.m.	p.m.	0,—	815 101,84
02 02 06	Pilot project — Regions of knowledge	1.1	p.m.	p.m.	p.m.	p.m.	0,—	21 936,15
02 02 07	Pilot project — Actions in connection with the textile and footwear sector	1.1	p.m.	400 000	1 000 000	1 000 000		
02 02 08	Action related to tourism							
02 02 08 01	Preparatory action — European Destinations of Excellence	1.1	p.m.	2 000 000	3 000 000	3 000 000	2 154 520,12	2 024 206,41

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 02 08 02	Preparatory action — Sustainable tourism	1.1	1 000 000	400 000	600 000	400 000	299 976,25	100 000,00
02 02 08 03	Preparatory action — Social tourism in Europe	1.1	1 500 000	700 000	1 000 000	1 000 000	907 730,83	55 596,98
	<i>Article 02 02 08 — Subtotal</i>		2 500 000	3 100 000	4 600 000	4 400 000	3 362 227,20	2 179 803,39
02 02 09	Preparatory action — The European Union assuming its role in a globalised world	1.1	p.m.	750 000	p.m.	1 450 000	0,—	451 013,74
02 02 11	Preparatory action — GMES operational services	1.1	p.m.	2 100 000	5 000 000	2 100 000	2 330 000,00	595 228,19
02 02 12	Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union	1.1	1 500 000	750 000	p.m.	p.m.	0,—	148 515,00
02 02 13	Preparatory action — Opportunities for internationalisation of SMEs	1.1	p.m.	670 000	p.m.	1 000 000	1 488 152,00	0,—
02 02 15	European Earth observation programme (GMES)	1.1	9 000 000	4 292 128				
	Chapter 02 02 — Total		168 150 000	133 601 879	147 021 300	76 430 500	165 204 805,94	70 699 344,35

Article 02 02 01 — Competitiveness and Innovation Framework Programme — Entrepreneurship and Innovation Programme

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
148 000 000	114 456 745	129 271 300	59 880 500	148 988 879,67	58 181 163,05

Remarks

The aim of this appropriation is to foster the competitiveness of enterprises and in particular small and medium-sized enterprises (SMEs), to promote innovation, including eco-innovation, and to support enterprise and innovation-related economic and administrative reform.

The implemented measures shall be, in particular:

- networks bringing together a variety of stakeholders,
- market replication, projects and other measures to support the take-up of innovation,
- policy analyses, development and coordination with participating countries,
- information sharing, dissemination and awareness raising,
- support to joint actions of Member States or regions,

and other measures encompassed in the Competitiveness and Innovation Framework Programme.

The Union will provide support to activities such as the Enterprise Europe Network, innovation and entrepreneurship promotion. It will also support eco-innovation projects concerned with the first applications or market replication of innovative or eco-innovative techniques, products or practices of EU relevance which have already been technically demonstrated with success but, owing to residual risk, have not yet significantly penetrated the market. These will be designed to promote their broader utilisation within the participating countries and facilitate their market uptake.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The proposed action aims at developing and testing innovation support services for European knowledge based start-up companies which make use of the extended networks of European expatriates in science and business in Silicon Valley. Young companies shall

thus realise faster market access and growth overseas while creating high-value added jobs in Europe. This requires coordinated action of European innovation support providers. The action shall therefore ultimately explore the feasibility of a joint 'European Innovation Centre' in an innovation hot spot. This centre shall link official representations (chambers of commerce, consulates and industry representations), innovation support providers for European start-ups with European entrepreneurs and scientists that chose to live and work in Silicon Valley for better coordinated support to potential high-growth companies.

Target groups:

Central to this action is the development and piloting of novel services which facilitate fast growth and access to capital for young knowledge based companies by combining the networks of European expatriate entrepreneurs and researchers in Silicon Valley with the services of regional / national innovation support agencies in Europe.

One knowledge based young company from each member state shall be invited to test the services, to establish own networks in the Silicon Valley ecosystem and to feed-back experience and advice on the future design of such services.

Innovation agencies in the Member States are invited to select the young companies and to accompany the project contributing their expertise in the support of young companies. Agencies with offices in Silicon Valley shall be invited to contribute to the design and provision of services in particular the provision of office space for the European young companies.

Networks of expatriate entrepreneurs and researchers³ shall be invited to contribute to the training and networking of European young companies and co-design innovation support services targeted at young knowledge based companies.

Proposed activities:

1. Two workshops / conferences (one in the US / one in Europe) which bring together European innovation support providers with expatriate scientists / entrepreneurs to further develop an approach for a joint delivery of support to young companies with growth potential.

2. An accompanying study evaluating the expectations and experience of knowledge-based young companies as well as of expat networks participating.

3. The design and delivery of specific support services for a group of 27 knowledge based young companies with growth potential from all member states. These services shall include the organisation of sector specific networking and training events in Silicon Valley.

4. A final conference with stakeholders from the European Parliament, the European Commission Services, young companies benefitting from pilot action, representatives of the expatriates' networks and innovation support agencies.

5. Specific communication actions related to the action.

A suitable platform for the proposed action is the PROINNO Europe / Europe INNOVA initiative established by DG Enterprise and Industry to facilitate policy development and joint service provision of regional / national innovation agencies.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Decision No 1639/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Competitiveness and Innovation Framework Programme (2007 to 2013) (OJ L 310, 9.11.2006, p. 15).

³Examples of such networks present in Silicon Valley are the German-American Business Association - GABA, Interfrench, Business Association Italy-America – BAIA, the Silicon Vikings and others.

Article 02 02 02 — Supplementing the work on competitiveness, innovation and entrepreneurship

Item 02 02 02 01 — Support to EU-Japan Centre for Industrial Cooperation and membership to international study groups

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 150 000	1 669 161	2 150 000	1 750 000	2 365 595,35	2 543 873,15

Remarks

This appropriation is intended to cover:

- Union participation in the EU-Japan Centre for Industrial Cooperation,
- Union participation in several international study groups,
- the clearance of commitments made under former Item 02 02 01 01.

Legal basis

Council Decision 91/179/EEC of 25 March 1991 on acceptance of the terms of reference of the International Copper Study Group (OJ L 89, 10.4.1991, p. 39).

Council Decision 91/537/EEC of 14 October 1991 on acceptance of the terms of reference of the International Nickel Study Group (OJ L 293, 24.10.1991, p. 23).

Council Decision 92/278/EEC of 18 May 1992 confirming the consolidation of the EC-Japan Centre for Industrial Cooperation (OJ L 144, 26.5.1992, p. 19).

Council Decision 96/413/EC of 25 June 1996 on the implementation of a Community action programme to strengthen the competitiveness of European industry (OJ L 167, 6.7.1996, p. 55).

Council Decision 2001/221/EC of 12 March 2001 concerning the participation of the Community in the International Lead and Zinc Study Group (OJ L 82, 22.3.2001, p. 21).

Council Decision 2002/651/EC of 22 July 2002 concerning the participation of the Community in the International Rubber Study Group (OJ L 215, 10.8.2002, p. 13).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Commission Decision 2006/77/EC of 23 December 2005 setting up a High Level Group on Competitiveness, Energy and the Environment (OJ L 36, 8.2.2006, p. 43).

Item 02 02 02 02 — Completing and supplementing the work on the programme for enterprise and entrepreneurship, particularly for small and medium-sized enterprises (SMEs)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	23 845	p.m.	p.m.	0,—	1 275 537,29

Remarks

This appropriation is intended to cover the clearance of commitments made under the previous Multiannual Programme for Enterprise and Entrepreneurship, particularly for SMEs.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive

from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Legal basis

Council Decision 89/490/EEC of 28 July 1989 on the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 239, 16.8.1989, p. 33).

Council Decision 91/319/EEC of 18 June 1991 revising the programme for the improvement of the business environment and the promotion of the development of enterprises, and in particular small and medium-sized enterprises, in the Community (OJ L 175, 4.7.1991, p. 32).

Council Decision 93/379/EEC of 14 June 1993 on a multiannual programme of Community measures to intensify the priority areas and to ensure the continuity and consolidation of policy for enterprise, in particular small and medium-sized enterprises, in the Community (OJ L 161, 2.7.1993, p. 68).

Council Decision 97/15/EC of 9 December 1996 on a third multiannual programme for small and medium-sized enterprises (SMEs) in the European Union (1997 to 2000) (OJ L 6, 10.1.1997, p. 25).

Council Decision 2000/819/EC of 20 December 2000 on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 333, 29.12.2000, p. 84).

Decision No 593/2004/EC of the European Parliament and of the Council of 21 July 2004 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 268, 16.8.2004, p. 3).

Decision No 1776/2005/EC of the European Parliament and of the Council of 28 September 2005 amending Council Decision 2000/819/EC on a multiannual programme for enterprise and entrepreneurship, and in particular for small and medium-sized enterprises (SMEs) (2001 to 2005) (OJ L 289, 3.11.2005, p. 14).

Article 02 02 03 — Improving the business environment for small and medium-sized enterprises (SMEs)

Item 02 02 03 01 — Consolidation of the internal market — Pilot project for cooperation and cluster-building among small and medium-sized enterprises (SMEs)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	996 227,20

Remarks

This appropriation is intended to cover former contractual commitments related to this pilot project which is intended to promote actions in the field of cooperation and cluster-building among enterprises within the cross-border regions between old and new Member States.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 02 — Support for small and medium-sized enterprises (SMEs) in the new financial environment

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action whose aim is to assist credit institutions to develop their credit operations vis-à-vis SMEs.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 03 — Pilot project — Transfer of expertise through mentoring in small and medium-sized enterprises (SMEs)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	1 392 743,23

Remarks

This appropriation is intended to cover former contractual commitments related to this pilot project whose aim is to lay down principles for an expanded mentoring scheme involving the transmission of the knowledge and core competences essential for the successful transfer of business ownerships.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 04 — Erasmus for Young Entrepreneurs

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	120 000	p.m.	850 000	0,—	1 920 876,03

Remarks

This appropriation is intended to cover an action ensuring that experience is exchanged and reinforced at European level for the benefit of young entrepreneurs and potential young entrepreneurs by means of stays in another country within SMEs in key or complementary sectors. The action should be based on preliminary analysis of the demand (i.e. young entrepreneurs and would-be entrepreneurs) and supply side (i.e. SMEs) exploring existing needs. The traineeships for young entrepreneurs should seek to foster the pooling of experience and enhance the development, validation and exploitation of business ideas. It should promote the creation of networks among young entrepreneurs beyond borders and encourage the creation of partnerships allowing knowledge sharing in key sectors and increased internationalisation of companies. Provision should be made for ancillary activities (training and information in European contracts and commercial law, the common market, European standardisation, European support tools, as well as the local business environment). The Intermediary Organisations (chambers of commerce and industry, business incubators and other entities active in business promotion and support) are in charge of implementing the programme. A support office, chosen via a competitive call for proposals open for Europe-wide entities active in the field of business support, is in charge of the coordination, monitoring and support of the different Intermediary Organisations, being also the first contact point for questions and

technical assistance for applicants. This support office is also in charge of the promotion of the programme at European level and of the design and maintenance of the programme's website.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 05 — Preparatory action — Erasmus for Young Entrepreneurs

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 000 000	4 600 000	5 000 000	3 600 000	4 999 951,72	177 326,09

Remarks

This appropriation is intended to cover an action in the form of a European mobility programme for future and newly established entrepreneurs. The programme should help new EU entrepreneurs to enrich their experiences, learning and networking by spending periods in enterprises run by experienced entrepreneurs in other Member States. As such the programme will contribute to enhance entrepreneurship, the creation of networks among creative entrepreneurs beyond borders, encourage the creation of partnerships allowing knowledge and experience sharing and make European SMEs more innovative and competitive on international markets.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 03 06 — Preparatory action — Harmonised e-business processes and standards between European SMEs of interrelated industry sectors

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	670 000	p.m.	400 000	1 670 000,00	0,—

Remarks

In order to answer the needs of the Union's economy, this preparatory action aims to promote targeted actions of European scope for harmonising business processes and models, as well as data exchange architecture and standards between players along the supply chain of one or more interrelated specific industry sectors. The choice of the sectors will be made by the Member States representatives through the eBusiness Support Network for SMEs with the involvement of the European Parliament.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 04 — Small Business Act

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to finance the 'Small Business Act' adopted in 2008. SME must be helped to access financing and participate in European initiatives. Their innovation capacities must be boosted as well.

Article 02 02 05 — Enlargement programme for small and medium-sized enterprises (SMEs)

Item 02 02 05 01 — Enlargement programme for small and medium-sized enterprises (SMEs)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover contracts resulting from the financing or part-financing of specific measures for implementing this pilot project for developing a legal basis for financing a programme to support, as part of the preparations for enlargement, commercial cooperation and partnerships between SMEs in the existing Member States and the candidate countries and in the countries that will join the European Union after enlargement.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 05 05 — Pilot project — Measures to promote cooperation and partnerships between micro, small and medium-sized enterprises

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	815 101,84

Remarks

This appropriation is intended to cover former contractual commitments related to this pilot project whose aim is to promote cooperation and partnerships between micro, small and medium-sized enterprises in order to boost their negotiating capacity and market strength.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 06 — Pilot project — Regions of knowledge

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	21 936,15

Remarks

This appropriation is intended to cover contracts resulting from the financing or part-financing of specific measures for implementing this pilot project for supporting experimental activities at territorial level with a view to establishing regions of knowledge in the field of technological development and cooperation between universities and regional-level research with a view to promoting the integration of the regions of Europe.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 07 — Pilot project — Actions in connection with the textile and footwear sector

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
p.m.	400 000	1 000 000	1 000 000	

Remarks

Former Article 13 03 25

This appropriation is intended to cover an assessment of the situation in the textile and footwear sector in the context of the abolition of the quota system with a view to the creation of a Union programme for the sector, in particular for the less-favoured regions, in order to support research and innovation, restructuring, vocational training and small and medium-sized enterprises (SMEs).

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 08 — Action related to tourism

Item 02 02 08 01 — Preparatory action — European Destinations of Excellence

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	3 000 000	3 000 000	2 154 520,12	2 024 206,41

Remarks

The aim of this initiative is to draw attention to the value, diversity and shared characteristics of European tourist destinations and to promote both within Europe and in third countries the European destinations where the economic growth objective is pursued in such a way as to ensure the social, cultural and environmental sustainability of tourism. The measure will also help European citizens to become better acquainted with one another.

The project's aims are to:

- enhance visibility of the emerging European tourist destinations of excellence, especially the lesser known,
- create awareness of Europe's tourist diversity and quality,
- promote all European countries and regions both within Europe and in the main third country markets,
- help decongestion, combat seasonality, and rebalance the tourist flows towards the non-traditional destinations,
- present awards for sustainable forms of tourism,

- create a platform for the exchange of good practices at European level,
- promote networking between awarded-winning destinations which could persuade other destinations to adopt sustainable tourism development models.

Each year, destinations in the Member States will be designated European Destinations of Excellence according to the selected theme. Candidate countries will also be invited to participate in the process.

The project 'European Destinations of Excellence' aims to enhance visibility of the emerging European tourist destinations of excellence, especially the lesser known; to create awareness of Europe's tourist diversity and quality; to promote all European countries and regions; to help decongestion, combat seasonality and rebalance the tourist flows towards the non-traditional destinations; to present awards for sustainable forms of tourism; to create a platform for the exchange of good practices at European level; and to promote networking between award-winning destinations which could persuade other destinations to adopt sustainable tourism development models.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Item 02 02 08 02 — Preparatory action — Sustainable tourism

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	400 000	600 000	400 000	299 976,25	100 000,00

Remarks

Part of this appropriation may be used to promote environmentally sustainable tourism in the Danube river basin.

Following the entry into force of the Lisbon Treaty, which gives the EU new competencies in the tourism domain in order to complement the Member States' actions, and the new European Commission Communication "Europe, the world's No 1 tourist destination – a new political framework for tourism in Europe" (COM(2010) 352/4) it is proposed to launch in 2011 a preparatory action which intends to prepare the ground for future legislative initiatives to promote new European tourism routes based on European cultural heritage. These routes will have to take the form of theme-based cross-national tourism products which reflect a common European cultural heritage and local traditions. The council of Europe and its "European Cultural Routes" need to be supported as well as similar European (cultural routes) initiatives.

To this aim, it would be required to better coordinate and cooperate with the Council of Europe in order to benefit from its long-standing experience and, thus, further developing and transforming the European Cultural Routes into comprehensive cross-national touristic packages.

The preparatory action will have the following main objectives:

- Raising awareness of the contribution of different cultures to a common European, through the understanding of Europe's history on the basis of its tangible, intangible and natural heritage;
- Promoting the role of cultural tourism as a factor of sustainable economic development, European citizenship and intercultural dialog;
- Promoting sustainable and responsible tourism within the EU and neighboring countries;
- Reinforcing the image and profile of Europe as a high-quality destination among European and third-country citizens;
- Strengthening the capacity of tourism operators and small enterprises in remote and less known destinations to reach out to new publics, facilitating the exchange of experiences and their networking and clustering efforts;
- Stimulating competitiveness and innovation of the tourism industry in the European Union.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Regulation (EC) No 1371/2007 of the European Parliament and of the Council of 23 October 2007 on rail passengers' rights and obligations (OJ L 315, 3.12.2007, p. 14), and in particular Article 5 thereof.

Article 195 of the Treaty on the Functioning of the European Union.

Item 02 02 08 03 — Preparatory action — Social tourism in Europe

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	700 000	1 000 000	1 000 000	907 730,83	55 596,98

Remarks

Society is facing major change regarding industry and recreation. The advent of new family structures and emergence of single-person households, increased free time, increased life expectancy and population ageing in general, and increasing insecurity for young people, whether or not they are in employment, are all factors profoundly altering the tourism environment. Ensuring universal access to holidays means, at Union level, taking account of the specific features of European society.

The European Union must therefore equip itself to realise that objective. One response is based on developing social tourism.

Social tourism is known, firstly, for what it seeks to do: allowing as many people as possible to go on holiday. Accordingly, it aids mobility. Social tourism also has another purpose, however, which, to date, has been inadequately evaluated: regional development — many tourism association facilities are located in the countryside or in highland areas — and local development. Social tourism is therefore the proof that there is indeed an intermediate sector between the 'leisure market' and the non-solvent economy. It proves that economic significance is not incompatible with accessibility for the greatest number.

Social desegregation must therefore be combined with local development. In promoting access for groups for which going on holiday has progressively been made a difficult or even impossible undertaking, social tourism strengthens the tourism industry's earnings capacity. It enables off-season tourism to be developed, particularly in regions where tourism is highly seasonal. Accordingly, social tourism encourages the creation of longer-lasting jobs in the tourism sector by making it possible to extend them beyond the tourist season.

Implementing this project (named Calypso) is a genuine opportunity to promote partnerships, spurred on by the Commission, between the public and private sectors and the social economy. Through exchanges between European citizens and, in particular, the creation of synergies, this project makes a very great contribution towards establishing European citizenship. It should be pointed out in this connection that nearly 40 % of all trips for more than four nights are made abroad.

The sector is therefore important in terms both of the economic impact resulting from the number of jobs and of civic resources.

The beneficiaries of this measure could therefore be private firms operating in the tourism sector and carrying out the tasks described above, social tourism associations, works councils, transport firms, local and regional authority tourism associations and solidarity associations, but also commercial operators too.

The measures could involve:

- cataloguing and disseminating measures helping to bring about deseasonalisation as a result of the social policy function of tourism,
- devising arrangements enabling particular target groups (e.g. senior citizens, young people and families in difficult social circumstances) to go on holiday on the basis of accommodation offers by public authorities (national, regional or local), charitable organisations or any not-for-profit association.

The Calypso 2011 programme objectives are based on the measures identified within the 2009 study (through a call for tender) following the discussions between the Commission, the Member States and the stakeholders during several Calypso meetings in 2010, which are to:

- catalogue the main (most representative) good practices as a means to encourage tourism activity particularly during the off-peak season, thus generating employment opportunities when tourism demand is traditionally low,
- identify the existing measures at European and national level allowing the exchanges of persons from the following target groups: senior citizens, young people, citizens with disabilities and families facing difficult social circumstances,
- examine the difficulties related to such exchanges whilst proposing the most appropriate solutions,

- propose one or several mechanisms in the tourist low season enabling particular target groups (senior citizens, young people, citizens with disabilities and families facing difficult social circumstances) to go on holiday in other Member States/candidate countries on the basis of themed programmes and accommodation offers coordinated by Member States/candidate countries authorities (national, regional or local public authorities), on the basis of initiatives from stakeholders that include municipalities, charitable organisations, parishes, unions, social partners, cooperatives or any not-for-profit association.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 09 — Preparatory action — The European Union assuming its role in a globalised world

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	750 000	p.m.	1 450 000	0,—	451 013,74

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action whose aim is to finance initiatives to respond positively to the challenge of globalisation and to strengthen the capacity of the Union in key areas such as research, innovation, imagination, innovative measures for SMEs, promotion of European standards and conformity marking, lifelong learning and education, as well as measures facilitating the implementation of Directive 2006/123/EC of the European Parliament and of the Council of 12 December 2006 on services in the internal market (OJ L 376, 27.12.2006, p. 36).

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 11 — Preparatory action — GMES operational services

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 100 000	5 000 000	2 100 000	2 330 000,00	595 228,19

Remarks

This appropriation is intended to cover the progressive operational implementation of Global Monitoring for Environment and Security (GMES) services. Some specific atmosphere and marine services having achieved the maturity to obtain operational funding must be further developed within the preparatory action. It is therefore envisaged that the preparatory action will focus on funding activities already initiated, namely an atmosphere service relating to monitoring of air quality and pollutant emissions, and a marine service relating to ice monitoring in the Arctic region. Building on what has been achieved by the Space Theme of the 7th Research Programme, these services will benefit public health, maritime operations, climate change research and monitoring, as well as development and implementation of public policy relating to these areas.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 12 — Pilot project — Facilitating access to insurance by self-employed builders and small building firms so as to stimulate innovation and the promotion of eco-technologies in the European Union

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 500 000	750 000	p.m.	p.m.	0,—	148 515,00

Remarks

The development of energy-saving techniques and renewable energy use is an issue of major importance to the building industry. With 2,5 million firms, a turnover of more than EUR 1 200 billion and a workforce of 12 million people, 9,7 million of whom are waged employees, the building industry generates 10 % of the Union's GDP. 99 % of building firms are SMEs (with fewer than 250 employees), which generate 78 % of the industry's turnover. Increased use of eco-technologies and eco-innovations in the building trade is, however, dependent on a combination of factors, such as additional cost, product availability, consumer demand and skill levels within firms.

Another major problem hampering more widespread use of these new technologies is access to insurance by building firms, particularly the smaller firms which, in Europe, make up more than 90 % of the building industry. Owing in particular to their size and financial base, small firms find it difficult to insure construction or renovation work involving the use of new technologies (solar, photovoltaic, geothermal, etc.). In practice, this acts as a barrier to the spread of eco-technologies by small firms, which account for more than 60 % of production in Europe. The difficulties which self-employed builders and small building firms experience in obtaining insurance covering new eco-technologies is clearly acting as a barrier to more widespread use of those technologies.

The European Union therefore needs to introduce an instrument that will provide effective support for small building firms, which are of central importance to the attainment of the Union's targets for the use of renewable energy (20 % of gross internal consumption by 2020).

The aim of this project is therefore to create an EU/Community financial instrument that will, over a limited period, make it easier for small building firms to gain access to construction insurance when using eco-technologies in building projects.

The budget heading, which could come under the operational management of the EIF, should be subject to strict conditions for the disbursement of funds for specific insurance companies in order to facilitate the provision of insurance cover to building firms using eco-technologies. The project would therefore be based on the same formula as existing EU/Community schemes to foster the development of SMEs and innovation (risk capital/loan guarantees).

Small firms would not, of course, receive Union funding directly; instead support would be provided through insurance cover, supplementary insurance cover or a reinsurance scheme. The scheme to facilitate access to construction insurance by small firms could be subject to various provisos, such as:

- only firms below a given size and turnover would be eligible,
- only specific types of work (involving eco-technologies) and specific contracts or projects of a limited size would be covered,
- only limited or flat-rate cover for claims would be provided.

The building industry is a key partner in EU efforts to reduce greenhouse gas emissions and increase renewable energies' share of overall consumption and is currently playing an active part in the development, use and promotion of eco-technologies (solar, photovoltaic, geothermal, etc.).

However, this process is being hampered by the difficulties experienced by small companies and tradesmen working in the sector in obtaining the insurance cover they require at a price they can afford, owing to wariness on the part of insurers caused by the innovative nature of these technologies and a lack of understanding of the risks they entail.

The market survey initiated in 2008 under the earlier ELIOS project confirmed the complexity of the insurance systems currently in place in the Member States and the lack of a common approach to the assessment of the risks involved in using these new technologies.

This pilot project therefore seeks to take this process further by, in tandem to the start made by the EU authorities on establishing consistency between the various insurance systems in place in the European Union, as envisaged in the earlier survey, testing out a scheme facilitating access to insurance cover, based on the concept already used in connection with European Investment Bank and European Investment Fund SME loan guarantee instruments and mutual guarantee instruments. The aim of the project is to demonstrate that it is possible to insure small companies that use eco-technologies at no extra cost.

This test would take the process beyond the comparative study stage into an operational stage leading to the convergence of national systems and to greater allowance being made for the needs of small companies working with eco-technologies.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 13 — Preparatory action — Opportunities for internationalisation of SMEs

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	670 000	p.m.	1 000 000	1 488 152,00	0,—

Remarks

The global economy is witnessing a qualitatively new form of international economic relations resulting in new opportunities for SMEs in an international environment. Some of the reasons for seeking new markets might be:

- local markets are smaller in scope,
- national markets stagnate at certain times,
- access to international markets in pursuing high-growth objectives.

In the context of the Small Business Act (SBA) providing for business support programmes and transnational research, SMEs should be involved in transnational projects to take advantage of the growth in markets outside the Union. The approach might lead to potential enhancing of the skills and innovative strategies giving a competitive edge to European SMEs. This preparatory action is aimed at testing the involvement of SMEs in such actions and providing the necessary information in order to develop a strategy at a later stage. The preparatory action will involve a detailed feasibility study to assess the market potential and appropriate business support measures for SMEs in key international markets. The study will examine the different options and tools available to better connect European SMEs to these markets, and propose country-specific measures to facilitate access to these markets by European SMEs in the future.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 02 15 — European Earth observation programme (GMES)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
9 000 000	4 292 128				

Remarks

New article

The aim of this appropriation is to:

- enable initial operational GMES services, tailored to the needs of users, including public policy-makers and private citizens,
- contribute to the sustainability of the observation infrastructure necessary to enable the GMES services,
- create opportunities for increased private sector usage of information sources, thereby facilitating innovation by value-adding service providers.

In particular, development of Earth observation-based services plays a key role in enhancing competitiveness and innovation in industries in this sector and in the downstream markets. Sustainable provision of Earth observation-related services in Europe still requires consistent public intervention. This is not only because of market failures in answering diverse public needs but also because the downstream market is a non-mature market relying heavily on public funding and whose development has, until today, been significantly curbed by uncertainties about the affordability and long-term availability of the basic services and the data they build upon. Achievement of the specific objectives outlined above will therefore contribute to growth and job creation in an innovative sector, the downstream segment of which is made up mainly of SMEs. These services will facilitate access to key data required in policy formulation at local and regional level in fields such as agriculture, including forestry, and water management. For example, they could contribute to improving road network design and maintenance. Ultimately, this will also have an impact on assessing climate change better.

The emergency component is expected to lead to more effective delivery of civil protection services, contributing to avoiding loss of life and mitigating damage to critical economic infrastructure, by allowing better forecasting of the areas subject to environmental risks, access to more timely and accurate mapping services during disasters and better management of a crisis after the event has occurred.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from contributions from third parties entered in Item 6 0 3 3 of the statement of revenue will give rise to the provision of additional appropriations to be entered in this item, in accordance with the Financial Regulation.

Legal basis

Regulation (EU) No 911/2010 of the European Parliament and of the Council of 22 September 2010 on the European Earth observation programme (GMES) and its initial operations (2011-2013) (OJ L 276,20.10.2010, p. 1).

Reference acts

Commission Decision 2010/67/EU of 5 February 2010 setting up the GMES Partners Board (OJ L 35, 6.2.2010, p. 23).

CHAPTER 02 03 — INTERNAL MARKET FOR GOODS AND SECTORAL POLICIES

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 03	Internal market for goods and sectoral policies							
02 03 01	Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation	1.1	18 550 000	15 260 899	16 130 000	19 660 000	15 842 032,78	11 798 365,78
02 03 03	Chemicals legislation and European Chemicals Agency							
02 03 03 01	Chemicals legislation and European Chemicals Agency — Contribution to Titles 1 and 2	1.1	p.m.	p.m.	22 369 000	22 369 000	47 492 573,80	47 492 573,80
02 03 03 02	Chemicals legislation and European Chemicals Agency — Contribution to Title 3	1.1	p.m.	p.m.	12 781 000	12 781 000	20 558 468,55	20 558 468,55
	<i>Article 02 03 03 — Subtotal</i>		p.m.	p.m.	35 150 000	35 150 000	68 051 042,35	68 051 042,35
02 03 04	Standardisation and approximation of legislation	1.1	23 000 000	17 168 512	23 000 000	18 000 000	21 417 215,55	17 296 457,92
	Chapter 02 03 — Total		41 550 000	32 429 411	74 280 000	72 810 000	105 310 290,68	97 145 866,05

Article 02 03 01 — Operation and development of the internal market, particularly in the fields of notification, certification and sectoral approximation

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
18 550 000	15 260 899	16 130 000	19 660 000	15 842 032,78	11 798 365,78

Remarks

This appropriation is intended to cover expenditure on actions to improve the operation of the internal market:

- approximation of standards, and introduction of an information system for technical standards and regulations,
- financing of administrative and technical coordination and of cooperation between the notified bodies,
- examination of the rules notified by Member States and the EFTA countries and translation of the draft technical regulations,
- application of EU legislation on medical devices, cosmetics, foodstuffs, textile products, medicinal products, chemicals, classification and labelling of substances and preparations, cars and safety, and the quality of the environment,
- greater sectoral approximation in the fields of application of the ‘new approach’ directives, especially the extension of the ‘new approach’ to other sectors,
- implementation measures for Regulation (EC) No 765/2008, both for the infrastructures and market surveillance,
- implementation measures for Regulation (EC) No 764/2008, for procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State,
- implementation measures for Directive 2009/43/EC simplifying terms and conditions of transfers of defence-related products within the Community,
- organisation of partnerships with the Member States, support for administrative cooperation between the authorities responsible for implementing the legislation on the internal market and for market surveillance,
- grants in support of projects of Union interest undertaken by outside bodies,
- information and publicity measures, greater awareness of EU legislation,
- implementation of the strategic programme on the internal market and market monitoring,
- grants in support of the European Technical Approval Organisation (EOTA),
- grants to the Council of Europe in the framework of the Convention on the Elaboration of a European Pharmacopoeia,
- participation in the negotiation of agreements on mutual recognition and, under European agreements, support for the associate countries to allow them to adopt the *acquis communautaire*.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Task resulting from the Commission’s prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Council Directive 76/768/EEC of 27 July 1976 on the approximation of the laws of the Member States relating to cosmetic products (OJ L 262, 27.9.1976, p. 169).

Council Directive 85/374/EEC of 25 July 1985 on the approximation of the laws, regulations and administrative provisions of the Member States concerning liability for defective products (OJ L 210, 7.8.1985, p. 29).

Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices (OJ L 189, 20.7.1990, p. 17).

Council Directive 91/477/EEC of 18 June 1991 on control of the acquisition and possession of weapons (OJ L 256, 13.9.1991, p. 51).

Council Decision (8300/92) of 21 September 1992 authorising the Commission to negotiate agreements between the Community and certain non-member countries on mutual recognition.

Council Directive 93/5/EEC of 25 February 1993 on assistance to the Commission and cooperation by the Member States in the scientific examination of questions relating to food (OJ L 52, 4.3.1993, p. 18).

Council Directive 93/7/EEC of 15 March 1993 on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 74, 27.3.1993, p. 74).

Council Regulation (EEC) No 793/93 of 23 March 1993 on the evaluation and control of the risks of existing substances (OJ L 84, 5.4.1993, p. 1).

Council Directive 93/15/EEC of 5 April 1993 on the harmonization of the provisions relating to the placing on the market and supervision of explosives for civil uses (OJ L 121, 15.5.1993, p. 20).

Council Directive 93/42/EEC of 14 June 1993 concerning medical devices (OJ L 169, 12.7.1993, p. 1).

Council Decision 93/465/EEC of 22 July 1993 concerning the modules for the various phases of the conformity assessment procedures and the rules for the affixing and use of the CE conformity marking, which are intended to be used in the technical harmonisation directives (OJ L 220, 22.7.1993, p. 23).

Council Decision 94/358/EC of 16 June 1994 accepting, on behalf of the European Community, the Convention on the elaboration of a European Pharmacopoeia (OJ L 158, 25.6.1994, p. 17).

Directive 96/100/EC of the European Parliament and of the Council of 17 February 1997 amending the Annex to Directive 93/7/EEC on the return of cultural objects unlawfully removed from the territory of a Member State (OJ L 60, 1.3.1997, p. 59).

Council Decision (8453/97) confirming the Article 113 Committee's interpretation of the Council decision of 21 September 1992 giving the Commission directives for the negotiation of European conformity assessment agreements.

Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 envisaging an information procedure in the field of the technical standards and regulations (OJ L 204, 21.7.1998, p. 37).

Directive 98/79/EC of the European Parliament and of the Council of 27 October 1998 on in vitro diagnostic medical devices (OJ L 331, 7.12.1998, p. 1).

Directives of the European Parliament and of the Council implementing the new approach in certain sectors such as machinery, electromagnetic compatibility, radio equipment and telecommunications terminal equipment, low tension electrical equipment, personal protective equipment, lifts, explosive atmospheres, medical devices, toys, pressure equipment, gas appliances, construction, the interoperability of the rail system, recreational craft, tyres, motor vehicle emissions, explosives, pyrotechnic articles, etc.

Council Directives adopted for the removal of technical barriers to trade in areas not covered by the 'new approach'.

Council Regulation (EC) No 2679/98 of 7 December 1998 on the functioning of the internal market in relation to the free movement of goods among the Member States (OJ L 337, 12.12.1998, p. 8).

Directive 1999/4/EC of the European Parliament and of the Council of 22 February 1999 relating to coffee extracts and chicory extracts (OJ L 66, 13.3.1999, p. 26).

Council Directive 1999/36/EC of 29 April 1999 on transportable pressure equipment (OJ L 138, 1.6.1999, p. 20).

Directive 1999/45/EC of the European Parliament and the Council of 31 May 1999 on the approximation of the laws, regulations and administrative provisions of the Member States relating to the classification, packaging and labelling of dangerous preparations (OJ L 200, 30.7.1999, p. 1).

Directive 2000/9/EC of the European Parliament and of the Council of 20 March 2000 relating to cableway installations designed to carry persons (OJ L 106, 3.5.2000, p. 21).

Directive 2000/14/EC of the European Parliament and of the Council of 8 May 2000 on the approximation of the laws of the Member States relating to the noise emission in the environment by equipment for use outdoors (OJ L 162, 3.7.2000, p. 1).

Directive 2000/35/EC of the European Parliament and of the Council of 29 June 2000 on combating late payment in commercial transactions (OJ L 200, 8.8.2000, p. 35).

Council Regulation (EC) No 2580/2000 of 20 November 2000 amending Regulation (EC) No 3448/93 laying down the trade arrangements applicable to certain goods resulting from the processing of agricultural products (OJ L 298, 25.11.2000, p. 5).

Directive 2001/82/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to veterinary medicinal products (OJ L 311, 28.11.2001, p. 1).

Directive 2001/83/EC of the European Parliament and of the Council of 6 November 2001 on the Community code relating to medicinal products for human use (OJ L 311, 28.11.2001, p. 67).

Directive 2002/95/EC of the European Parliament and of the Council of 27 January 2003 on the restriction of the use of certain hazardous substances in electrical and electronic equipment (OJ L 37, 13.2.2003, p. 19).

Directive 2002/96/EC of the European Parliament and of the Council of 27 January 2003 on waste electrical and electronic equipment (WEEE) (OJ L 37, 13.2.2003, p. 24).

Regulation (EC) No 2003/2003 of the European Parliament and of the Council of 13 October 2003 relating to fertilisers (OJ L 304, 21.11.2003, p. 1).

Directive 2003/102/EC of the European Parliament and of the Council of 17 November 2003 relating to the protection of pedestrians and other vulnerable road users before and in the event of a collision with a motor vehicle and amending Council Directive 70/156/EEC (OJ L 321, 6.12.2003, p. 15).

Regulation (EC) No 273/2004 of the European Parliament and of the Council of 11 February 2004 on drug precursors (OJ L 47, 18.2.2004, p. 1).

Directive 2004/9/EC of the European Parliament and of the Council of 11 February 2004 on the inspection and verification of good laboratory practice (GLP) (OJ L 50, 20.2.2004, p. 28).

Directive 2004/10/EC of the European Parliament and of the Council of 11 February 2004 on the harmonisation of laws, regulations and administrative provisions relating to the application of the principles of good laboratory practice and the verification of their applications for tests on chemical substances (OJ L 50, 20.2.2004, p. 44).

Regulation (EC) No 726/2004 of the European Parliament and of the Council of 31 March 2004 laying down Community procedures for the authorisation and supervision of medicinal products for human and veterinary use and establishing a European Medicines Agency (OJ L 136, 30.4.2004, p. 1).

Regulation (EC) No 648/2004 of the European Parliament and of the Council of 31 March 2004 on detergents (OJ L 104, 8.4.2004, p. 1).

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Directive 2007/23/EC of the European Parliament and of the Council of 23 May 2007 on the placing on the market of pyrotechnic articles (OJ L 154, 14.6.2007, p. 1).

Regulation (EC) No 764/2008 of the European Parliament and of the Council of 9 July 2008 laying down procedures relating to the application of certain national technical rules to products lawfully marketed in another Member State (OJ L 218, 13.8.2008, p. 21).

Regulation (EC) No 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products (OJ L 218, 13.8.2008, p. 30).

Decision No 768/2008/EC of the European Parliament and of the Council of 9 July 2008 on a common framework for the marketing of products, and repealing Council Decision 93/465/EEC (OJ L 218, 13.8.2008, p. 82).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Directive 2008/121/EC of the European Parliament and of the Council of 14 January 2009 on textile names (OJ L 19, 23.1.2009, p. 29).

Directive 2009/43/EC of the European Parliament and of the Council of 6 May 2009 simplifying terms and conditions of transfers of defence-related products within the Community (OJ L 146, 10.6.2009, p. 1).

Directive 2009/125/EC of the European Parliament and of the Council of 21 October 2009 establishing a framework for the setting of ecodesign requirements for energy related products (OJ L 285, 31.10.2009, p. 10).

Article 02 03 03 — Chemicals legislation and European Chemicals Agency

Item 02 03 03 01 — Chemicals legislation and European Chemicals Agency — Contribution to Titles 1 and 2

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	22 369 000	22 369 000	47 492 573,80	47 492 573,80

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Agency, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Regulation (EC) No 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006 (OJ L 353, 31.12.2008, p. 1).

Item 02 03 03 02 — Chemicals legislation and European Chemicals Agency — Contribution to Title 3

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	12 781 000	12 781 000	20 558 468,55	20 558 468,55

Remarks

This appropriation is intended to cover the Agency's operational expenditure in connection with the work programme (Title 3).

The amounts repaid in accordance with Article 16 of the Framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the Commission Regulation (EC, Euratom) No 2343/2002 of 19 November 2002 on the framework Financial Regulation for the bodies referred to in Article 185 of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 357, 31.12.2002, p. 72) constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

No European Union subsidy is foreseen for 2011 as the Agency's operations will be financed with 'Revenue from fees and charges', which are assumed to be widely sufficient to cover for the expected expenditure. Surpluses will be carried over to the following year in order to ensure the continuity of the tasks of the agency.

Legal basis

Regulation (EC) No 1907/2006 of the European Parliament and of the Council of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC (OJ L 396, 30.12.2006, p. 1).

Article 02 03 04 — Standardisation and approximation of legislation

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
23 000 000	17 168 512	23 000 000	18 000 000	21 417 215,55	17 296 457,92

Remarks

In accordance with the general aim of maintaining the proper functioning of the internal market and the competitiveness of European industry, in particular through the mutual recognition of standards and the establishment of European standards in suitable cases, this appropriation is intended to cover:

- financial obligations arising from contracts to be signed with the European standardisation organisations (such as ETSI, CEN and Cenelec), for the development of standards,
- checking and certifying conformity with the standards, and demonstration projects,
- contract expenditure for the execution of the programme and projects indicated above. The contracts in question cover the following: research, association, evaluation, technical operations, coordination, scholarships, grants, training and mobility of scientific staff, participation in international agreements and participation in expenditure on equipment,
- improving the performance of standards bodies,
- promoting quality in standardisation, and the verification thereof,
- supporting the transposition of European standards into national standards, particularly by having them translated,
- measures relating to information, promotion and profile-raising of standardisation and the promotion of European interests in international standardisation,
- secretarial services for the technical committees,
- technical projects in the field of tests for compliance with the standards,
- programmes of cooperation with and assistance to non-member countries,
- carrying out the work needed to apply international information technology standards uniformly throughout the Union,
- specifying methods of certification and laying down technical methods of certification,
- encouraging use of these standards in public procurement contracts,
- coordinating the various operations to prepare the standards and doing more to implement them (user guides, demonstrations, etc.).

Union finance is to be used to frame and implement the standardisation operation in consultation with the main participants: industry, workers' representatives, consumers, SMEs, the national and European standards institutions, the public procurement agencies in the Member States, all users and industrial policy makers at national and Union levels.

Legal basis

Directive 98/34/EC of the European Parliament and of the Council of 22 June 1998 laying down a procedure for the provision of information in the field of technical standards and regulations (OJ L 204, 21.7.1998, p. 37).

Decision No 1673/2006/EC of the European Parliament and of the Council of 24 October 2006 on the financing of European standardisation (OJ L 315, 15.11.2006, p. 9).

CHAPTER 02 04 — COOPERATION — SPACE AND SECURITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 04	Cooperation — Space and security							
02 04 01	Security and space research							
02 04 01 01	Space research	1.1	232 981 000	219 375 428	212 853 000	203 753 000	129 079 020,95	124 595 562,62
02 04 01 02	Security research	1.1	225 698 000	114 552 126	215 053 000	115 953 000	139 441 602,42	54 488 972,87
02 04 01 03	Research related to transport (Galileo)	1.1	62 605 000	47 690 310	29 997 846	15 893 500	38 817 772,95	26 178 442,46
	<i>Article 02 04 01 — Subtotal</i>		521 284 000	381 617 864	457 903 846	335 599 500	307 338 396,32	205 262 977,95
02 04 02	Preparatory action — Enhancement of European security research	1.1	p.m.	300 000	p.m.	1 400 000	0,—	2 371 383,91
02 04 03	Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development	1.1	p.m.	p.m.	p.m.	p.m.	2 455 277,42	6 246 803,64
02 04 04	Completion of previous research programmes							
02 04 04 01	Completion of programmes (prior to 2003)	1.1	—	p.m.	—	p.m.	0,—	0,—
02 04 04 02	Completion of the sixth Community framework programme (2003 to 2006)	1.1	—	6 104 360	—	22 050 000	0,—	42 904 944,36
	<i>Article 02 04 04 — Subtotal</i>		—	6 104 360	—	22 050 000	0,—	42 904 944,36
	Chapter 02 04 — Total		521 284 000	388 022 224	457 903 846	359 049 500	309 793 673,74	256 786 109,86

Remarks

These remarks are applicable to all the budget headings in this Chapter.

These appropriations will be used for the seventh framework programme of the European Community for research, technological development and demonstration activities, which covers the period 2007 to 2013.

It will be carried out in order to pursue the general objectives described in Article 179 of the Treaty on the Functioning of the European Union, in order to contribute to the creation of a society of knowledge, based on the European Research Area, i.e. supporting transnational cooperation at all levels throughout the Union, taking the dynamism, creativity and the excellence of European research to the limits of knowledge, strengthening human resources for research and for technology in Europe, quantitatively and qualitatively and research and innovation capacities in the whole of Europe and ensuring optimum use thereof.

These appropriations will be used in accordance with Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

The possibility of third countries or institutes from third countries taking part in European cooperation in the field of scientific and technical research is envisaged for some of these projects. Any financial contribution will be entered in Items 6 0 1 3 and 6 0 1 5 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Revenue from States taking part in the European Cooperation in the field of scientific and technical research will be entered in Item 6 0 1 6 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Any revenue from the contributions by outside bodies to Union activities will be entered in Item 6 0 3 3 of the statement of revenue and may lead to additional appropriations being made available in accordance with Article 18 of the Financial Regulation.

Additional appropriations will be made available under Article 02 04 03.

Article 02 04 01 — Security and space research

Item 02 04 01 01 — Space research

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
232 981 000	219 375 428	212 853 000	203 753 000	129 079 020,95	124 595 562,62

Remarks

The aim of the actions carried out in this area is to support a European space programme focusing on applications such as GMES (Global Monitoring for Environment and Security) with benefits for citizens and competitiveness of European industry, as well as Strengthening Space Foundations with benefits for the competitiveness of the European space industry specifically. This will contribute to the development of a European space policy, complementing efforts by Member States and by other key players, including the European Space Agency.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 02 04 01 02 — Security research

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
225 698 000	114 552 126	215 053 000	115 953 000	139 441 602,42	54 488 972,87

Remarks

The aim of the actions carried out in this area is to develop the technologies and knowledge for building capabilities with a civil application focus needed to ensure the security of citizens from threats such as terrorism and crime as well as from the impact and consequences of unintended incidents such as natural disasters or industrial accidents; to ensure optimal and concerted use of available and evolving technologies to the benefit of European security while respecting fundamental human rights; and to stimulate cooperation between providers and users of security solutions; through the activities at the same time to reinforce the technology basis of the European security industry and to strengthen its competitiveness. In this regard, special efforts should be made to work towards the development of a European Cyber Security Strategy.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007-2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007-2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning the Specific Programme Cooperation implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Item 02 04 01 03 — Research related to transport (Galileo)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
62 605 000	47 690 310	29 997 846	15 893 500	38 817 772,95	26 178 442,46

Remarks

Former Item 06 06 02 01 (in part)

This appropriation is intended to cover action aimed at developing the European Satellite Navigation System (Galileo) with a view to the next technology generation for all transport modes, including intermodality.

These research measures should aim to contribute to improve the transport.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

This appropriation will also cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Legal basis

Decision No 1982/2006/EC of the European Parliament and of the Council of 18 December 2006 concerning the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 412, 30.12.2006, p. 1).

Regulation (EC) No 1906/2006 of the European Parliament and of the Council of 18 December 2006 laying down the rules for the participation of undertakings, research centres and universities in actions under the Seventh Framework Programme and for the dissemination of research results (2007 to 2013) (OJ L 391, 30.12.2006, p. 1).

Council Decision 2006/971/EC of 19 December 2006 concerning a Specific Programme 'Cooperation' implementing the Seventh Framework Programme of the European Community for research, technological development and demonstration activities (2007 to 2013) (OJ L 400, 30.12.2006, p. 86).

Article 02 04 02 — Preparatory action — Enhancement of European security research

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	300 000	p.m.	1 400 000	0,—	2 371 383,91

Remarks

This appropriation is intended to cover former contractual commitments related to this preparatory action, which constitutes the Commission's contribution to the wider EU agenda for addressing key security challenges facing Europe today and focuses on increasing of security for citizens.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 02 04 03 — Appropriations accruing from contributions from (non-European Economic Area) third parties to research and technological development

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	2 455 277,42	6 246 803,64

Remarks

Former Articles 02 04 03 and 06 06 04 (in part)

This appropriation is intended to cover expenditure corresponding to revenue giving rise to the making available of additional appropriations from (non-European Economic Area) third parties or third countries participating in projects in the field of research and technological development.

In accordance with Article 18 of the Financial Regulation, any revenue entered in Items 6 0 1 3, 6 0 1 5, 6 0 1 6, 6 0 3 1 and 6 0 3 3 of the statement of revenue may give rise to the provision of additional appropriations.

Article 02 04 04 — Completion of previous research programmes

Item 02 04 04 01 — Completion of programmes (prior to 2003)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover earlier commitments related to the research programme prior to 2003.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Decision 87/516/Euratom, EEC of 28 September 1987 concerning the framework programme of Community activities in the field of research and technological development (1987 to 1991) (OJ L 302, 24.10.1987, p. 1).

Council Decision 90/221/Euratom, EEC of 23 April 1990 concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 117, 8.5.1990, p. 28).

Council Decision 93/167/Euratom, EEC of 15 March 1993 adapting Decision 90/221/Euratom, EEC concerning the framework programme of Community activities in the field of research and technological development (1990 to 1994) (OJ L 69, 20.3.1993, p. 43).

Decision No 1110/94/EC of the European Parliament and of the Council of 26 April 1994 concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 126, 18.5.1994, p. 1).

Decision No 616/96/EC of the European Parliament and of the Council of 25 March 1996 adapting Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) following the accession of the Republic of Austria, the Republic of Finland and the Kingdom of Sweden to the European Union (OJ L 86, 4.4.1996, p. 69).

Decision No 2535/97/EC of the European Parliament and of the Council of 1 December 1997 adapting for the second time Decision No 1110/94/EC concerning the fourth framework programme of the European Community activities in the field of research and technological development and demonstration (1994 to 1998) (OJ L 347, 18.12.1997, p. 1).

Decision No 182/1999/EC of the European Parliament and of the Council of 22 December 1998 concerning the fifth framework programme of the European Community for research, technological development and demonstration activities (1998 to 2002) (OJ L 26, 1.2.1999, p. 1).

Item 02 04 04 02 — Completion of the sixth Community framework programme (2003 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	6 104 360	—	22 050 000	0,—	42 904 944,36

Remarks

This appropriation is intended to cover earlier commitments related to the sixth Community framework programme.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 1513/2002/EC of the European Parliament and of the Council of 27 June 2002 concerning the sixth framework programme of the European Community for research, technological development and demonstration activities, contributing to the creation of the European Research Area and to innovation (2002 to 2006) (OJ L 232, 29.8.2002, p. 1).

Council Decision 2002/835/EC of 30 September 2002 adopting a specific programme for research, technological development and demonstration: 'Structuring the European Research Area' (2002 to 2006) (OJ L 294, 29.10.2002, p. 44).

CHAPTER 02 05 — EU SATELLITE NAVIGATION PROGRAMMES (EGNOS AND GALILEO)

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
02 05	EU satellite navigation programmes (EGNOS and Galileo)							
02 05 01	EU satellite navigation programmes (EGNOS and Galileo)	1.1	191 941 800	524 593 415	890 900 000	450 000 000	846 712 800,00	721 918 525,00
02 05 02	European GNSS Supervisory Authority (GSA)							
02 05 02 01	European GNSS Supervisory Authority (GSA) — Contribution to Titles 1 and 2	1.1	5 621 000	5 621 000	2 635 000	2 635 000	4 500 000,00	4 460 000,00
02 05 02 02	European GNSS Supervisory Authority (GSA) — Contribution to Title 3	1.1	2 579 000	2 579 000	2 500 000	2 500 000	2 910 000,00	2 800 000,00
	<i>Article 02 05 02 — Subtotal</i>		8 200 000	8 200 000	5 135 000	5 135 000	7 410 000,00	7 260 000,00
	Chapter 02 05 — Total		200 141 800	532 793 415	896 035 000	455 135 000	854 122 800,00	729 178 525,00

Article 02 05 01 — EU satellite navigation programmes (EGNOS and Galileo)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
191 941 800	524 593 415	890 900 000	450 000 000	846 712 800,00	721 918 525,00

Remarks

Former Article 06 08 01

The Union contribution to the European GNSS programmes is granted with a view to co-financing:

- activities related to the finalisation of the development phase,
- activities related to the deployment phase, covering the construction and the launch of the satellites as well as the complete setting up of the ground infrastructure,
- the first series of activities related to the launch of the commercial operating phase, which include management of the satellite and ground station infrastructure, on the one hand, and constant maintenance and updating of the system, on the other hand.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 683/2008 of the European Parliament and of the Council of 9 July 2008 on the further implementation of the European satellite navigation programmes (EGNOS and Galileo) (OJ L 196, 24.7.2008, p. 1).

Article 02 05 02 — European GNSS Supervisory Authority (GSA)

Item 02 05 02 01 — European GNSS Supervisory Authority (GSA) — Contribution to Titles 1 and 2

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
5 621 000	5 621 000	2 635 000	2 635 000	4 500 000,00	4 460 000,00

Remarks

Former Item 06 08 02 01

This appropriation is intended to cover the staff and administrative expenditure of the European GNSS Supervisory Authority (GSA) (Titles 1 and 2).

The Supervisory Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Supervisory Authority, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The establishment plan of the European GNSS Supervisory Authority (GSA) is set out in Part ‘Establishment plan staff’ of Section III — Commission.

Legal basis

Council Regulation (EC) No 1321/2004 of 12 July 2004 on the establishment of structures for the management of the European satellite radio-navigation programmes (OJ L 246, 20.7.2004, p. 1).

Item 02 05 02 02 — European GNSS Supervisory Authority (GSA) — Contribution to Title 3

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
2 579 000	2 579 000	2 500 000	2 500 000	2 910 000,00	2 800 000,00

Remarks

Former Item 06 08 02 02

This appropriation is intended to cover the Supervisory Authority’s operational expenditure relating to the work programme (Title 3).

The Supervisory Authority must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Supervisory Authority, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex ‘European Economic Area’ to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The amounts repaid in accordance with Article 16 of the framework Financial Regulation for the bodies referred to in Article 185 of the Financial Regulation constitute assigned revenue (Article 18(1)(f) of the Financial Regulation) to be charged to Item 6 6 0 0 of the general statement of revenue.

The European Union subsidy for 2010 amounts to a total of EUR 8 200 000.

Legal basis

Council Regulation (EC) No 1321/2004 of 12 July 2004 on the establishment of structures for the management of the European satellite radio-navigation programmes (OJ L 246, 20.7.2004, p. 1).

TITLE 03 — COMPETITION

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
03 01	Administrative expenditure of 'Competition' policy area 40 01 40	5	92 172 461	92 172 461	90 604 037 203 854 90 807 891	90 604 037 203 854 90 807 891	91 573 732,56	91 573 732,56
03 03	Cartels, anti-trust and liberalisation		p.m.	p.m.	p.m.	p.m.	0,—	3 072 911,49
	Title 03 — Total 40 01 40		92 172 461	92 172 461	90 604 037 203 854 90 807 891	90 604 037 203 854 90 807 891	91 573 732,56	94 646 644,05

CHAPTER 03 01 — ADMINISTRATIVE EXPENDITURE OF 'COMPETITION' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
03 01 03 01 01	Administrative expenditure of 'Competition' policy area <i>Expenditure related to staff in active employment of 'Competition' policy area</i> 40 01 40	5	73 737 510	72 630 958 203 854 72 834 812	71 974 001,83
03 01 02	<i>External staff and other management expenditure in support of 'Competition' policy area</i>				
03 01 02 01	External staff	5	6 174 995	5 880 965	6 365 701,66
03 01 02 11	Other management expenditure	5	6 833 697	6 780 732	7 581 901,24
	<i>Article 03 01 02 — Subtotal</i>		13 008 692	12 661 697	13 947 602,90
03 01 03	<i>Expenditure related to equipment, furniture and services of 'Competition' policy area</i>	5	5 426 259	5 311 382	5 652 127,83
	Chapter 03 01 — Total 40 01 40		92 172 461	90 604 037 203 854 90 807 891	91 573 732,56

Article 03 01 01 — Expenditure related to staff in active employment of 'Competition' policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
03 01 01	73 737 510	72 630 958	71 974 001,83
40 01 40		203 854	
Total	73 737 510	72 834 812	71 974 001,83

Article 03 01 02 — External staff and other management expenditure in support of ‘Competition’ policy area

Item 03 01 02 01 — External staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
6 174 995	5 880 965	6 365 701,66

Item 03 01 02 11 — Other management expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
6 833 697	6 780 732	7 581 901,24

Article 03 01 03 — Expenditure related to equipment, furniture and services of ‘Competition’ policy area

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 426 259	5 311 382	5 652 127,83

CHAPTER 03 03 — CARTELS, ANTI-TRUST AND LIBERALISATION

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
03 03	Cartels, anti-trust and liberalisation							
03 03 01	<i>Completion of accompanying measures to the reform of the mergers, anti-trust and market liberalisation and cartels activity</i>	1.1	—	p.m.	—	p.m.	0,—	0,—
03 03 02	<i>Damage requests resulting from legal procedures against the Commission’s decisions in the field of competition policy</i>	5	p.m.	p.m.	p.m.	p.m.	0,—	3 072 911,49
	Chapter 03 03 — Total		p.m.	p.m.	p.m.	p.m.	0,—	3 072 911,49

Article 03 03 01 — Completion of accompanying measures to the reform of the mergers, anti-trust and market liberalisation and cartels activity

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	—	p.m.	0,—	0,—

Remarks

This appropriation is intended to cover the payments in respect of commitments relating to previous years.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive

from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex V to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 791/2004/EC of the European Parliament and of the Council of 21 April 2004 establishing a Community action programme to promote bodies active at European level and support specific activities in the field of education and training (OJ L 138, 30.4.2004, p. 31).

Article 03 03 02 — Damage requests resulting from legal procedures against the Commission’s decisions in the field of competition policy

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	3 072 911,49

Remarks

In order to ensure that the rules on competition concerning agreements, decisions of associations of undertakings and restrictive practices (Article 81 of the EC Treaty/Article 101 of the Treaty on the Functioning of the European Union), abuses of a dominant position (Article 82 of the EC Treaty/Article 102 of the Treaty on the Functioning of the European Union), aids granted by States (Articles 87 and 88 of the EC Treaty/Articles 107 and 108 of the Treaty on the Functioning of the European Union) and concentrations between undertakings (Regulation (EC) No 139/2004) are enforced, the Commission is empowered to take decisions, to conduct investigations and to impose penalties or order recovery.

The Commission’s decisions are subject to review by the Court of Justice of the European Union in accordance with the Treaty on the Functioning of the European Union.

As a prudential measure, it is appropriate to take into account the possibility of budgetary implications stemming from rulings of the Court of Justice or the General Court.

This appropriation is intended to cover any expenditure arising from damages awarded by the Court to claimants and resulting from legal proceedings against the Commission’s decisions in the field of competition.

Since a reasonable estimate of the financial impact on the Union budget cannot be established beforehand, a token entry (‘p.m.’) appears in this article. If necessary, the Commission will propose to make available the appropriations related to actual needs by means of transfers or through a preliminary draft amending budget.

Legal basis

Articles 101 and 102 of the Treaty on the Functioning of the European Union/Articles 81 and 82 of the Treaty establishing the European Community and derived legislation, notably:

- Council Regulation (EC) No 1/2003 of 16 December 2002 on the implementation of the rules on competition laid down in Articles 81 and 82 of the Treaty (OJ L 1, 4.1.2003, p. 1).
- Council Regulation (EC) No 139/2004 of 20 January 2004 on the control of concentrations between undertakings (the EC Merger Regulation) (OJ L 24, 29.1.2004, p. 1).

Articles 107 and 108 of the Treaty on the Functioning of the European Union/Articles 87 and 88 of the Treaty establishing the European Community and derived legislation, notably:

- Council Regulation (EC) No 659/1999 of 22 March 1999 laying down detailed rules for the application of Article 93 of the EC Treaty (OJ L 83, 27.3.1999, p. 1).

TITLE 04 — EMPLOYMENT AND SOCIAL AFFAIRS

Figures

Title Chapter	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 01	Administrative expenditure of 'Employment and social affairs' policy area		94 985 392	94 985 392	101 669 984	101 669 984	100 024 931,79	100 024 931,79
	40 01 40				423 970	423 970		
					102 093 954	102 093 954		
04 02	European Social Fund	1	10 963 813	8 752 914 955	10 827 964	8 203 377 500	10 791 101 589,94	8 561 695 068,30
04 03	Working in europe — Social dialogue and mobility	1	79 130 000	64 366 011	72 500 000	62 700 000	67 579 499,86	57 651 786,37
04 04	Employment, social solidarity and gender equality							
	40 02 41		157 056 000	151 888 412	158 690 593	135 500 593	146 727 982,86	112 806 536,98
					25 000 000	25 000 000		
					183 690 593	160 500 593		
04 05	European Globalisation Adjustment Fund (EGF)	1	p.m.	47 690 310	p.m.	p.m.	12 387 700,00	12 387 700,00
04 06	Instrument for Pre-Accession Assistance (IPA) — Human resources development	4	102 400 000	60 089 791	87 500 000	29 835 000	76 900 000,00	65 239 055,32
	Title 04 — Total		11 397 385 364	9 171 934 871	11 248 325 559	8 533 083 077	11 194 721 704,45	8 909 805 078,76
	40 01 40, 40 02 41				25 423 970	25 423 970		
					11 273 749 529	8 558 507 047		

CHAPTER 04 01 — ADMINISTRATIVE EXPENDITURE OF 'EMPLOYMENT AND SOCIAL AFFAIRS' POLICY AREA

Figures

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
04 01	Administrative expenditure of 'Employment and social affairs' policy area				
04 01 01	Expenditure related to staff in active employment of 'Employment and social affairs' policy area	5	57 436 631	61 983 488	61 375 210,45
	40 01 40			173 970	
				62 157 458	
04 01 02	External staff and other management expenditure in support of 'Employment and social affairs' policy area				
04 01 02 01	External staff	5	4 062 342	4 785 511	4 783 235,57
04 01 02 11	Other management expenditure	5	5 501 723	7 533 235	7 868 538,29
	<i>Article 04 01 02 — Subtotal</i>		9 564 065	12 318 746	12 651 773,86
04 01 03	Expenditure related to equipment, furniture and services of 'Employment and social affairs' policy area	5	4 226 696	4 532 750	4 818 154,73
04 01 04	Support expenditure for operations of 'Employment and social affairs' policy area				
04 01 04 01	European Social Fund (ESF) and non-operational technical assistance — Expenditure on administrative management	1.2	16 500 000	16 500 000	15 025 225,88
04 01 04 02	Industrial relations and social dialogue — Expenditure on administrative management	1.1	260 000	260 000	49 309,52
04 01 04 04	EURES (European Employment Services) — Expenditure on administrative management	1.1	470 000	470 000	477 975,29
04 01 04 06	Analysis of and studies on the social situation, demographics and the family — Expenditure on administrative management	1.1	100 000	100 000	98 370,27
04 01 04 08	Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries — Expenditure on administrative management	1.1	400 000	400 000	275 974,95

Title Chapter Article Item	Heading	FF	Budget 2011	Appropriations 2010	Outturn 2009
04 01 04 10	Progress programme — Expenditure on administrative management	1.1	4 380 000	4 130 000	3 706 021,18
04 01 04 11	European Microfinance Facility — Administrative expenditure	1.1	250 000	p.m.	
	40 01 40			250 000	
				250 000	
04 01 04 13	Instrument for Pre-Accession Assistance (IPA) — Human resources component — Expenditure on administrative management	4	1 398 000	975 000	1 299 361,63
04 01 04 14	European Globalisation Adjustment Fund (EGF) — Expenditure on administrative management	1.1	p.m.	p.m.	247 554,03
	<i>Article 04 01 04 — Subtotal</i>		23 758 000	22 835 000	21 179 792,75
	40 01 40			250 000	
				23 085 000	
	Chapter 04 01 — Total		94 985 392	101 669 984	100 024 931,79
	40 01 40			423 970	
				102 093 954	

Article 04 01 01 — Expenditure related to staff in active employment of ‘Employment and social affairs’ policy area

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
04 01 01	57 436 631	61 983 488	61 375 210,45
40 01 40		173 970	
Total	57 436 631	62 157 458	61 375 210,45

Article 04 01 02 — External staff and other management expenditure in support of ‘Employment and social affairs’ policy area

Item 04 01 02 01 — External staff

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 062 342	4 785 511	4 783 235,57

Item 04 01 02 11 — Other management expenditure

Figures

Budget 2011	Appropriations 2010	Outturn 2009
5 501 723	7 533 235	7 868 538,29

Remarks

Sub-Item 04 01 02 11 02 (meetings in general) is used primarily for social dialogue meetings and to reimburse experts participating in expert groups (listed in the register of expert groups managed by the Secretariat-General).

Article 04 01 03 — Expenditure related to equipment, furniture and services of ‘Employment and social affairs’ policy area

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 226 696	4 532 750	4 818 154,73

Article 04 01 04 — Support expenditure for operations of ‘Employment and social affairs’ policy area

Item 04 01 04 01 — European Social Fund (ESF) and non-operational technical assistance — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
16 500 000	16 500 000	15 025 225,88

Remarks

This appropriation is intended to cover the ESF-funded technical assistance measures provided for in Article 9 of Regulation (EC) No 1081/2006. Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for the implementation of the ESF by the Commission. This appropriation may, in particular, be used to cover:

- support expenditure (representation expenses, training, meetings, missions, translations),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for disabled people within technical assistance measures,
- contracts for the provision of services,
- expenditure on external staff at headquarters (contract agents, seconded national experts or agency staff) up to EUR 5 000 000.

This appropriation is also intended to support administrative learning and cooperation with NGOs and social partners.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Item 04 01 04 02 — Industrial relations and social dialogue — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
260 000	260 000	49 309,52

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

Legal basis

See Item 04 03 03 01.

Item 04 01 04 04 — EURES (European Employment Services) — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
470 000	470 000	477 975,29

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

See Article 04 03 04.

Item 04 01 04 06 — Analysis of and studies on the social situation, demographics and the family — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
100 000	100 000	98 370,27

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

Legal basis

See Article 04 03 07.

Item 04 01 04 08 — Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
400 000	400 000	275 974,95

Remarks

This appropriation is intended to cover expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this budget heading, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

Legal basis

See Article 04 03 05.

Item 04 01 04 10 — Progress programme — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
4 380 000	4 130 000	3 706 021,18

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance for the implementation of measures to achieve equality between men and women and tackling the particular needs of disabled people,
- expenditure, limited to EUR 600 000, to cover travel, subsistence and incidental expenses of members and experts, expenses related to the organisation of meetings, expenses related to the specific activities and the safety campaigns of the Committee for Safety and Health at Work,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme or measures coming under this item, plus any other expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure in this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations, according to the same ratio as between the amount authorised for expenditure on administrative management and the total appropriations entered for the programme, pursuant to Article 18(1)(d) of the Financial Regulation.

Legal basis

See Article 04 04 01.

Item 04 01 04 11 — European Microfinance Facility — Administrative expenditure

Figures

	Budget 2011	Appropriations 2010	Outturn 2009
04 01 04 11	250 000	p.m.	
40 01 40		250 000	
Total	250 000	250 000	

Remarks

This appropriation is intended to cover expenditure on technical and administrative assistance for the implementation of the measures related to the Microfinance Facility.

Item 04 01 04 13 — Instrument for Pre-Accession Assistance (IPA) — Human resources component — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
1 398 000	975 000	1 299 361,63

Remarks

This appropriation is intended to cover:

- expenditure on technical and administrative assistance not involving public authority tasks outsourced by the Commission under ad-hoc service contracts for the mutual benefit of the beneficiaries and the Commission,
- expenditure on external staff in delegations (contract agents, local agents or seconded national experts) for the purposes of devolved programme management in the Commission's delegations in non-member countries or for internalisation of tasks of phased-out technical assistance offices, as well as the additional logistical and infrastructure cost, such as cost of training, meetings, missions, IT and telecommunications and of renting directly caused by the presence in the delegation of the external staff remunerated from the appropriations of this item,
- expenditure on studies, meetings of experts, information and publications directly linked to the achievement of the objective of the programme.

This appropriation covers administrative expenditure under Article 04 06 01.

Item 04 01 04 14 — European Globalisation Adjustment Fund (EGF) — Expenditure on administrative management

Figures

Budget 2011	Appropriations 2010	Outturn 2009
p.m.	p.m.	247 554,03

Remarks

At the initiative of the Commission, subject to a ceiling of 0,35 % of the annual maximum amount of the EGF. The EGF may be used to finance the preparation, monitoring, information and creation of a knowledge base relevant to the implementation of the EGF. It may also be used to finance administrative and technical support, as well as audit, control and evaluation activities necessary to implement the operations of the Fund.

Legal basis

See Article 04 05 01.

CHAPTER 04 02 — EUROPEAN SOCIAL FUND

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02	European Social Fund							
04 02 01	Completion of European Social Fund (ESF) — Objective 1 (2000 to 2006)	1.2	p.m.	550 800 000	p.m.	267 777 500	0,—	651 398 487,81
04 02 02	Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)	1.2	p.m.	9 700 000	p.m.	1 000 000	0,—	0,—
04 02 03	Completion of European Social Fund (ESF) — Objective 1 (prior to 2000)	1.2	p.m.	2 000 000	p.m.	2 000 000	21 833,15	1 384 396,36
04 02 04	Completion of European Social Fund (ESF) — Objective 2 (2000 to 2006)	1.2	p.m.	48 000 000	p.m.	59 400 000	0,—	113 326 036,26

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 02 05	Completion of European Social Fund (ESF) — Objective 2 (prior to 2000)	1.2	p.m.	1 000 000	p.m.	1 000 000	0,—	62 454,38
04 02 06	Completion of European Social Fund (ESF) — Objective 3 (2000 to 2006)	1.2	p.m.	361 207 597	p.m.	160 100 000	0,—	654 632 409,91
04 02 07	Completion of European Social Fund (ESF) — Objective 3 (prior to 2000)	1.2	p.m.	2 000 000	p.m.	2 000 000	0,—	1 075 043,45
04 02 08	Completion of EQUAL (2000 to 2006)	1.2	p.m.	72 000 000	p.m.	21 200 000	0,—	121 250 779,40
04 02 09	Completion of previous EU initiative programmes (prior to 2000)	1.2	p.m.	1 000 000	p.m.	1 000 000	0,—	1 734 831,80
04 02 10	Completion of European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)	1.2	—	p.m.	p.m.	4 000 000	0,—	6 211 235,40
04 02 11	Completion of European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)	1.2	p.m.	p.m.	p.m.	p.m.	0,—	119 105,00
04 02 17	European Social Fund (ESF) — Convergence	1.2	7 748 847 361	5 430 000 000	7 473 667 217	5 256 700 000	7 305 903 755,00	4 390 658 515,25
04 02 18	European Social Fund (ESF) — PEACE	1.2	p.m.	p.m.	p.m.	p.m.	0,—	0,—
04 02 19	European Social Fund (ESF) — Regional competitiveness and employment	1.2	3 204 966 611	2 267 707 358	3 343 826 311	2 416 700 000	3 477 243 743,00	2 616 162 791,73
04 02 20	European Social Fund (ESF) — Operational technical assistance (2007 to 2013)	1.2	10 000 000	7 500 000	10 471 454	10 500 000	7 932 258,79	3 678 981,55
	Chapter 04 02 — Total		10 963 813 972	8 752 914 955	10 827 964 982	8 203 377 500	10 791 101 589,94	8 561 695 068,30

Remarks

Article 39 of Regulation (EC) No 1260/1999 provides for financial corrections for which any revenue is entered in Item 6 5 0 0 of the statement of revenue. This revenue may be used to provide additional appropriations in accordance with Article 18 of the Financial Regulation in specific cases where they are required to cover the risk of corrections adopted earlier being cancelled or reduced.

Regulation (EC) No 1083/2006 provides for financial corrections for the period 2007 to 2013.

Regulation (EC) No 1260/1999 lays down the conditions for the repayment of payments on account which does not have the effect of reducing the contribution from the Structural Funds to the operation concerned. Any revenue arising from the repayment of payments on account entered in Item 6 1 5 7 of the statement of revenue will be used to provide additional appropriations in accordance with Articles 18 and 157 of the Financial Regulation as amended.

Regulation (EC) No 1083/2006 lays down the conditions for reimbursement of pre-financing for the period 2007 to 2013.

The special programme for peace and reconciliation is being continued, in accordance with the decisions of the Berlin European Council of 24 and 25 March 1999, to provide EUR 500 000 000 for the new period of validity of the programme. Additionality is to be fully observed. The Commission is to submit an annual report to the European Parliament on this measure.

Measures to combat fraud are funded under Article 24 02 01.

Legal basis

Treaty establishing the European Community, and in particular Articles 158, 159 and 161 thereof. Treaty on the Functioning of the European Union, and in particular Articles 174, 175 and 177 thereof.

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999.

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Article 04 02 01 — Completion of European Social Fund (ESF) — Objective 1 (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	550 800 000	p.m.	267 777 500	0,—	651 398 487,81

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 02 — Completion of the special programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	9 700 000	p.m.	1 000 000	0,—	0,—

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Commission Decision 1999/501/EC of 1 July 1999 fixing a provisional allocation by Member State of the commitment appropriations for Objective 1 of the Structural Funds for the period 2000 to 2006 (OJ L 194, 27.7.1999, p. 49).

Reference acts

Conclusions of the Berlin European Council of 24 and 25 March 1999, and in particular paragraph 44(b) thereof.

Conclusions of the Brussels European Council of 17 and 18 June 2004, and in particular paragraph 49 thereof.

Article 04 02 03 — Completion of European Social Fund (ESF) — Objective 1 (prior to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	2 000 000	21 833,15	1 384 396,36

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 1 and 6.

Legal basis

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 04 — Completion of European Social Fund (ESF) — Objective 2 (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	48 000 000	p.m.	59 400 000	0,—	113 326 036,26

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 05 — Completion of European Social Fund (ESF) — Objective 2 (prior to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	1 000 000	0,—	62 454,38

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 2 and 5(b).

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 06 — Completion of European Social Fund (ESF) — Objective 3 (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	361 207 597	p.m.	160 100 000	0,—	654 632 409,91

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 07 — Completion of European Social Fund (ESF) — Objective 3 (prior to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	2 000 000	p.m.	2 000 000	0,—	1 075 043,45

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from earlier programming periods in respect of former Objectives 3 and 4.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 08 — Completion of EQUAL (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	72 000 000	p.m.	21 200 000	0,—	121 250 779,40

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006.

Legal basis

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Reference acts

Communication from the Commission to the Member States of 14 April 2000 establishing the guidelines for the Community initiative EQUAL concerning transnational cooperation to promote new means of combating all forms of discrimination and inequalities in connection with the labour market (OJ C 127, 5.5.2000, p. 2).

Article 04 02 09 — Completion of previous EU initiative programmes (prior to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	1 000 000	p.m.	1 000 000	0,—	1 734 831,80

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from Community initiatives preceding the programming period 2000 to 2006.

Legal basis

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Reference acts

Commission communication to the Member States of 13 May 1992 laying down guidelines for operational programmes which Member States are invited to establish within the framework of a Community initiative for regions heavily dependent on the textiles and clothing sector (RETEX) (OJ C 142, 4.6.1992, p. 5).

Commission communication to the Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance within the framework of a Community initiative concerning the restructuring of the fisheries sector (PESCA) (OJ C 180, 1.7.1994, p. 1).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 180, 1.7.1994, p. 6).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to propose in the framework of a Community initiative concerning the adaptation of small and medium-sized enterprises to the single market (SMEs initiative) (OJ C 180, 1.7.1994, p. 10).

Commission communication to the Member States of 15 June 1994 laying down guidelines for the RETEX initiative (OJ C 180, 1.7.1994, p. 17).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to establish in the framework of a Community initiative concerning defence conversion (Konver) (OJ C 180, 1.7.1994, p. 18).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of steel areas (Resider II) (OJ C 180, 1.7.1994, p. 22).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which they are invited to establish in the framework of a Community initiative concerning the economic conversion of coal-mining areas (Rechar II) (OJ C 180, 1.7.1994, p. 26).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative 'Adaptation of the workforce to industrial change' (ADAPT), aimed at promoting employment and the adaptation of the workforce to industrial change (OJ C 180, 1.7.1994, p. 30).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative 'Employment and development of human resources' aimed at promoting employment growth mainly through the development of human resources (Employment) (OJ C 180, 1.7.1994, p. 36).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning the most remote regions (REGIS II) (OJ C 180, 1.7.1994, p. 44).

Commission communication to Member States of 15 June 1994 laying down guidelines for global grants or integrated operational programmes for which Member States are invited to submit applications for assistance in the framework of a Community initiative for rural development (Leader II) (OJ C 180, 1.7.1994, p. 48).

Commission communication to the Member States of 15 June 1994 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning border development, cross-border cooperation and selected energy networks (Interreg II) (OJ C 180, 1.7.1994, p. 60).

Commission notice to the Member States of 16 May 1995 laying down guidelines for an initiative in the framework of the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (PEACE I) (OJ C 186, 20.7.1995, p. 3).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community initiative concerning urban areas (URBAN) (OJ C 200, 10.7.1996, p. 4).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes or global grants which Member States are invited to propose within the framework of the Community initiative on adaptation of the workforce to industrial change (ADAPT) aimed at promoting employment growth and the adaptation of the workforce to industrial change (OJ C 200, 10.7.1996, p. 7).

Commission communication to the Member States of 8 May 1996 laying down amended guidelines for operational programmes or global grants which Member States are invited to propose within the framework of a Community initiative on employment and development of human resources aimed at promoting employment growth mainly through the development of human resources (OJ C 200, 10.7.1996, p. 13).

Commission communication to the Member States of 8 May 1996 laying down guidelines for operational programmes which Member States are invited to establish in the framework of a Community Interreg initiative concerning transnational cooperation on spatial planning (Interreg II C) (OJ C 200, 10.7.1996, p. 23).

Commission communication of 26 November 1997 to the European Parliament, the Council, the Economic and Social Committee and the Committee of the Regions on the special support programme for peace and reconciliation in Northern Ireland and the border counties of Ireland (1995 to 1999) (PEACE I) (COM(97) 642 final).

Article 04 02 10 — Completion of European Social Fund (ESF) — Technical assistance and innovative measures (2000 to 2006)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	4 000 000	0,—	6 211 235,40

Remarks

This appropriation is intended to cover the funding by the ESF of commitments remaining to be settled from the programming period 2000 to 2006 for innovative measures and technical assistance as provided for in Articles 22 and 23 of Council Regulation (EC) No 1260/1999. The innovative measures included studies, pilot projects and exchanges of experience. They were intended in particular to bring about a qualitative improvement in Structural Fund measures. Technical assistance covered preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. The appropriation was, in particular, used to cover:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- contracts for the provision of services and studies,
- grants.

Legal basis

Council Regulation (EC) No 1260/1999 of 21 June 1999 laying down general provisions on the Structural Funds (OJ L 161, 26.6.1999, p. 1).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 11 — Completion of European Social Fund (ESF) — Technical assistance and innovative measures (prior to 2000)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	119 105,00

Remarks

This appropriation is intended to cover the settlement of ESF commitments from previous programming periods for innovative measures or for preparatory, monitoring, evaluation, supervision and management measures or any other similar form of technical assistance provided for in the relevant Regulations.

It also funds the former multiannual measures, in particular those approved and implemented under the other abovementioned Regulations, which are not covered by the priority objectives of the Funds.

Legal basis

Council Decision 83/516/EEC of 17 October 1983 on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 38).

Council Regulation (EEC) No 2950/83 of 17 October 1983 on the implementation of Decision 83/516/EEC on the tasks of the European Social Fund (OJ L 289, 22.10.1983, p. 1).

Council Regulation (EEC) No 2088/85 of 23 July 1985 concerning the integrated Mediterranean programmes (OJ L 197, 27.7.1985, p. 1).

Council Regulation (EEC) No 2052/88 of 24 June 1988 on the tasks of the Structural Funds and their effectiveness and on coordination of their activities between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 185, 15.7.1988, p. 9).

Council Regulation (EEC) No 4253/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards coordination of the activities of the different Structural Funds between themselves and with the operations of the European Investment Bank and the other existing financial instruments (OJ L 374, 31.12.1988, p. 1).

Council Regulation (EEC) No 4255/88 of 19 December 1988 laying down provisions for implementing Regulation (EEC) No 2052/88 as regards the European Social Fund (OJ L 374, 31.12.1988, p. 21).

Regulation (EC) No 1784/1999 of the European Parliament and of the Council of 12 July 1999 on the European Social Fund (OJ L 213, 13.8.1999, p. 5).

Article 04 02 17 — European Social Fund (ESF) — Convergence

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 748 847 361	5 430 000 000	7 473 667 217	5 256 700 000	7 305 903 755,00	4 390 658 515,25

Remarks

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable development of the Union. This action shall be taken with the aid of the Funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with speeding-up of economic and social restructuring and population ageing.

The action taken under the Funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness and employment, and social inclusion, as well as protecting and improving the quality of the environment.

The 'Convergence' objective shall be geared to speeding up the convergence of the least-developed Member States and regions by improving conditions for growth and employment through increasing and improving the quality of investment in physical and human capital, the development of innovation and of the knowledge society, adaptability to economic and social changes, protection and improvement of the environment, as well as administrative efficiency. This objective shall constitute the Funds' priority. The actions taken under the Funds will respect equal opportunities for women and men.

Part of this appropriation supports improvements in child care to enable children to live in a family type setting. This support includes:

- cooperation between and technical assistance to non-governmental organisations and local authorities, including assisting them in finding out which projects are eligible for EU funding,
- identification and exchange of best practices as well as the broader application of these practices, including thorough monitoring at child level.

Part of the appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 04 02 18 — European Social Fund (ESF) — PEACE

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	p.m.	0,—	0,—

Remarks

In recognition of the special effort for the peace process in Northern Ireland, a total of EUR 200 000 000 will be allocated for the PEACE Programme for the period 2007 to 2013. This programme will be implemented in full compliance with the principle of additionality of structural fund operations.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Reference acts

Conclusions of the Brussels European Council of 16 and 17 December 2005.

Article 04 02 19 — European Social Fund (ESF) — Regional competitiveness and employment

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 204 966 611	2 267 707 358	3 343 826 311	2 416 700 000	3 477 243 743,00	2 616 162 791,73

Remarks

The action taken by the Union pursuant to Article 174 of the Treaty on the Functioning of the European Union shall be designed to strengthen the economic and social cohesion of the enlarged Union in order to promote the harmonious, balanced and sustainable development of the Union. This action shall be taken with the aid of the Funds, the European Investment Bank (EIB) and other existing financial instruments. It shall aim to reduce the economic, social and territorial disparities which have arisen, particularly in countries and regions whose development is lagging behind, in connection with the speeding-up of economic and social restructuring and population ageing.

The action taken under the Funds shall incorporate, at national and regional level, the Union's priorities for sustainable development by strengthening growth, competitiveness and employment, social inclusion, as well as protecting and improving the quality of the environment.

The 'Regional competitiveness and employment' objective shall, outside the least-developed regions, be geared to strengthening regions' competitiveness and attractiveness as well as employment by anticipating economic and social changes, including those linked to the opening up of trade, through increasing and improving the quality of investment in human capital, innovation and the promotion of the knowledge society, entrepreneurship, the protection and improvement of the environment, and the improvement of accessibility, the adaptability of workers and businesses as well as the development of inclusive job markets. The actions taken under the Funds will respect equal opportunities for women and men.

Part of the appropriation is intended for financing sustainable and environmentally friendly actions (Green New Deal) that provide for reconciling economic, social and environmental development requirements and for recovery of European regions after the economic and financial crisis.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

Article 04 02 20 — European Social Fund (ESF) — Operational technical assistance (2007 to 2013)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
10 000 000	7 500 000	10 471 454	10 500 000	7 932 258,79	3 678 981,55

Remarks

This appropriation is intended to cover technical assistance as provided for in Articles 45 and 46 of Regulation (EC) No 1083/2006 and in Article 9 of Regulation (EC) No 1081/2006.

Technical assistance covers preparatory, monitoring, evaluation, supervision and management measures required for implementation of the ESF. The appropriation may, in particular, be used to meet:

- support expenditure (representation expenses, training, meetings, missions),
- information and publishing expenditure,
- expenditure on information technology and telecommunications,
- expenditure on support for accessibility for people with disabilities within technical assistance measures,
- expenditure on a high level group to ensure the implementation of overarching principles such as equality between men and women, accessibility for disabled people and sustainable development,
- contracts for the provision of services, evaluation studies (including the ex post evaluation for the period 2000 to 2006) and studies,
- grants.

Technical assistance also includes exchanges of experience, awareness-raising activities, seminars, networking and peer reviews serving to identify and disseminate good practice and encourage mutual learning and transnational and inter-regional cooperation with the aim of enhancing the policy dimension and contribution of the ESF to the Union objectives in relation to employment and social inclusion.

Legal basis

Regulation (EC) No 1081/2006 of the European Parliament and of the Council of 5 July 2006 on the European Social Fund and repealing Regulation (EC) No 1784/1999 (OJ L 210, 31.7.2006, p. 12).

Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999 (OJ L 210, 31.7.2006, p. 25).

CHAPTER 04 03 — WORKING IN EUROPE — SOCIAL DIALOGUE AND MOBILITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 03	Working in europe — Social dialogue and mobility							
04 03 02	Cost of preliminary consultation meetings with trade union representatives	1.1	500 000	429 213	450 000	400 000	400 000,00	197 157,53

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 03 03	<i>Social dialogue and the EU social dimension</i>							
04 03 03 01	Industrial relations and social dialogue	1.1	16 500 000	13 830 190	16 000 000	14 000 000	15 328 292,34	13 679 842,72
04 03 03 02	Information and training measures for workers' organisations	1.1	17 000 000	15 260 899	16 400 000	15 000 000	16 922 212,17	16 209 902,67
04 03 03 03	Information, consultation and participation of representatives of undertakings	1.1	7 500 000	5 722 837	7 300 000	5 500 000	6 873 440,16	5 271 298,31
	<i>Article 04 03 03 — Subtotal</i>		41 000 000	34 813 926	39 700 000	34 500 000	39 123 944,67	35 161 043,70
04 03 04	<i>EURES (European Employment Services)</i>	1.1	20 500 000	16 214 706	19 100 000	16 000 000	19 838 034,40	15 121 217,79
04 03 05	<i>Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries</i>	1.1	6 270 000	3 624 464	4 874 000	3 600 000	3 400 000,00	3 051 415,15
04 03 06	<i>ENEA preparatory action on active ageing and mobility of elderly people</i>	1.1	—	250 000	—	500 000	27 886,97	538 475,51
04 03 07	<i>Analysis of and studies on the social situation, demographics and the family</i>	1.1	4 860 000	3 433 702	3 876 000	3 400 000	3 849 736,02	3 439 717,12
04 03 09	<i>Pilot Project — Working and living conditions of posted workers</i>	1.1	—	700 000	1 000 000	1 700 000	939 897,80	142 759,57
04 03 10	<i>Pilot project — Measures for employment maintenance</i>	1.1	—	700 000	1 000 000	600 000		
04 03 11	<i>Pilot project — Enhancing mobility and integration of workers within the EU</i>	1.1	—	700 000	1 000 000	500 000		
04 03 12	<i>Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment</i>	1.1	1 000 000	1 000 000	1 500 000	1 500 000		
04 03 13	<i>Preparatory Action — Your first EURES Job</i>	1.1	4 000 000	2 000 000				
04 03 14	<i>Pilot Project — Social solidarity for social integration</i>	1.1	1 000 000	500 000				
	Chapter 04 03 — Total		79 130 000	64 366 011	72 500 000	62 700 000	67 579 499,86	57 651 786,37

Article 04 03 02 — Cost of preliminary consultation meetings with trade union representatives

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
500 000	429 213	450 000	400 000	400 000,00	197 157,53

Remarks

This appropriation is intended to cover expenditure on preliminary consultation meetings between European trade union representatives with a view to helping them form their opinions and harmonise their positions regarding the development of Union policies.

Legal basis

Tasks resulting from the Commission's prerogatives at institutional level, as provided for in Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 03 — Social dialogue and the EU social dimension

Item 04 03 03 01 — Industrial relations and social dialogue

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
16 500 000	13 830 190	16 000 000	14 000 000	15 328 292,34	13 679 842,72

Remarks

This appropriation is intended to cover the financing of the social partners' participation in the European employment strategy and of the social partners' contribution to addressing the overarching challenges facing European employment and social policy as laid down in the Lisbon Strategy and the Social Agenda and within the context of EU initiatives to address the consequences of the economic crisis. It is intended to cover grants for promoting social dialogue at cross-industry and sectoral level in accordance with Article 154 of the Treaty on the Functioning of the European Union. The appropriations will therefore be used to finance consultations, meetings, negotiations and other operations designed to achieve these objectives.

In addition, as the heading suggests, this appropriation can cover support for industrial relations measures, in particular, those designed to develop expertise and the exchange of EU-relevant information.

This appropriation may also cover the funding of measures involving representatives of the social partners in the candidate countries with the specific purpose of promoting social dialogue at Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of both trade unions and employers' organisations. These last two components are cross-cutting.

On the basis of these objectives, two subprogrammes have been established:

- support for European social dialogue,
- improvement of expertise in industrial relations.

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Item 04 03 03 02 — Information and training measures for workers' organisations

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
17 000 000	15 260 899	16 400 000	15 000 000	16 922 212,17	16 209 902,67

Remarks

This appropriation is intended to cover expenditure on information and training measures for workers' organisations, including representatives of workers' organisations in the candidate countries, deriving from the implementation of EU/Community action in the framework of the implementation of the Union social dimension. These measures should help workers' organisations to contribute to addressing the overarching challenges facing European employment and social policy as laid down in the Lisbon Strategy and the Social Agenda and within the context of EU initiatives to address the consequences of the economic crisis.

In addition, this appropriation covers support for the work programmes of the two specific trade union institutes, ETUI (European Trade Union Institute) and EZA (European Centre for Workers' Questions), which have been established to facilitate capacity building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance.

Part of this appropriation is intended to finance measures involving representatives of workers' organisations in the candidate countries with the specific purpose of promoting social dialogue at the Union level. It is also intended to promote equal participation of women and men in the decision-making bodies of workers' organisations.

Legal basis

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual Directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Item 04 03 03 03 — Information, consultation and participation of representatives of undertakings

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 500 000	5 722 837	7 300 000	5 500 000	6 873 440,16	5 271 298,31

Remarks

This appropriation is intended to cover funding operations in order to ensure the conditions for fostering the development of employee involvement in undertakings by promoting Directives 94/45/EC and 97/74/EC on European works councils, Directives 2001/86/EC and 2003/72/EC on employee involvement in the European Company and European Cooperative Society, respectively, Directive 2002/14/EC establishing a general framework for informing and consulting employees in the European Community and Article 16 of Directive 2005/56/EC on cross-border mergers of limited liability companies.

This appropriation covers, in particular, the funding of measures aimed at strengthening transnational cooperation between workers' and employers' representatives in respect of information, consultation and participation within undertakings operating in more than one Member State.

In this context part of this appropriation also covers the setting-up of information and observation points to inform and help the social partners and undertakings to set up transnational consultation, participation and information structures, and to foster relations with the Union institutions.

This appropriation may also be used to finance short training actions for negotiators and representatives working with the transnational information, consultation and participation bodies as well as actions involving representatives of social partners in candidate countries.

It may also be used to finance measures aiming to enable the social partners to exercise their rights and their duties as regards information, consultation and participation in Community-scale undertakings, especially within the framework of their European work councils and to familiarise the actors represented at company level with Transnational company agreements and strengthen their cooperation within the Community framework.

Moreover this appropriation may be used to promote innovative actions relating to the management of information, consultation and participation, with the view of supporting the anticipation of change and the prevention and resolution of disputes in the context of corporate restructuring, mergers, take-overs and relocation in Community-scale undertakings and Community-scale groups of undertakings.

Additionally, this appropriation may be used for cooperation between the social partners for the development of solutions addressing the consequences of the economic crisis, such as mass redundancies, or the need for a shift towards an inclusive, sustainable and low-carbon economy.

Legal basis

Council Directive 94/45/EC of 22 September 1994 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 254, 30.9.1994, p. 64), and in particular Article 15 thereof on a review by the Commission.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p. 22).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207 of 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p. 1).

Reference acts

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union under Articles 154 and 155.

Article 04 03 04 — EURES (European Employment Services)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 500 000	16 214 706	19 100 000	16 000 000	19 838 034,40	15 121 217,79

Remarks

This appropriation is intended, for completion of the internal market and implementation of the European employment strategy, to cover the implementation and operation of the EURES network.

This network is aimed at developing cooperation between the Commission and the Member States, and in particular their employment services, with a view to:

- the provision of placement, advice and information services for workers as regards employment in another Member State and for employers wishing to recruit in another Member State,
- the exchange of job vacancies and applications at Union level and across borders,
- the exchange of information between Member States concerning labour-market trends and living and working conditions.

The EURES network may include special cooperation and service structures at the initiative of border regions.

The EURES network has close operational links with the relevant activities in the Commission Directorates-General for Education and Culture and Justice, such as Europass and Ploteus.

The network will ensure that the principle of freedom of movement is respected and that it operates in a transparent and non-discriminatory fashion, with particular regard to access to employment for Union nationals in a country other than that of their origin.

This appropriation covers measures that are essential to the smooth running of the EURES network, in particular the following support measures:

- subsidies for national and cross-border support activities organised by EURES partners,
- initial and further training of EURES advisers in the Member States,
- contacts between EURES advisers and cooperation between government employment services, including those of candidate countries,
- promotion of EURES among firms and the general public,
- development of special structures for cooperation and services in border areas pursuant to Article 17(b) of Regulation (EEC) No 1612/68,
- measures to help remove mobility obstacles, particularly in the field of work-related social security,

- participation in the maintenance, enhancement and continuous development of computerised systems linking the EURES network and its stakeholders. This includes a one-stop web portal, also accessible for disabled people, providing multilingual access to information on job vacancies, CVs of potential candidates, living and working conditions, labour market trends, education and training opportunities as well as other job mobility related contents. This portal should also address the information needs of nationals of third countries, in particular from countries coming under the European Neighbourhood Policy.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement for workers within the Community (OJ L 257, 19.10.1968, p. 2).

Council Regulation (EEC) No 2434/92 of 27 July 1992 amending part II of Regulation (EEC) No 1612/68 on freedom of movement for workers within the Community (OJ L 245, 26.8.1992, p. 1).

Commission Decision 2003/8/EC of 23 December 2002 implementing Council Regulation (EEC) No 1612/68 as regards the clearance of vacancies and applications for employment (OJ L 5, 10.1.2003, p. 16).

Article 04 03 05 — Free movement of workers, coordination of social security systems and measures for migrants, including migrants from third countries

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 270 000	3 624 464	4 874 000	3 600 000	3 400 000,00	3 051 415,15

Remarks

This appropriation is intended to cover:

- analysis and evaluation of the major trends in legislation in the Member States with regard to the free movement of persons, and coordination of social security schemes. Financing of networks of experts in those fields,
- analysis and research on new policy developments in the domain of free movement of workers linked for example to the end of transitional periods and modernisation of social security coordination provisions,
- support to the work of the Administrative Commission and its sub-groups and follow-up of the decisions taken. Support to the work of the Technical and Advisory Committees on free movement of workers,
- support to actions preparing the entry into application of the new regulations on social security, including transnational exchanges of experience and information and training initiatives developed at national level,
- the financing of actions aimed at providing a better service and raising awareness to the public, including measures aimed at identifying migrant workers' social security problems and measures speeding up and simplifying administrative procedures, analysis of the barriers to free movement and lack of coordination of social security systems and their impact on disabled people, including adaptation of administrative procedures to new information processing techniques, in order to improve the system for acquiring rights and the calculation and payment of benefits pursuant to Regulations (EEC) No 1408/71 and (EEC) No 574/72, and Regulation (EC) No 859/2003 as well as Regulation (EC) No 883/2004 and its implementing Regulation (EC) No 987/2009 and the extension Regulation to certain third country nationals,
- the development of information and actions to make public opinion aware of their rights on free movement and coordination of social security schemes,
- the support of the administrative exchange of social security information among Member States, with a view to assisting them in their implementation of Regulation (EC) No 883/2004 and its implementing Regulation (EC) No 987/2009. This includes the maintenance of the central node of the EESSI (Electronic Exchange of Social Security Information) system.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Treaty on the Functioning of the European Union, and in particular Articles 45 and 48.

Council Regulation (EEC) No 1612/68 of 15 October 1968 on freedom of movement of workers within the Community (OJ L 257, 19.10.1968, p. 2).

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p. 2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p. 1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p. 1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p. 1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p. 1).

Article 04 03 06 — ENEA preparatory action on active ageing and mobility of elderly people

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	250 000	—	500 000	27 886,97	538 475,51

Remarks

This appropriation is intended to fund initiatives to promote active ageing, including access to the labour market in accordance with the goals of:

- the Lisbon European Council of 23 and 24 March 2000, which set up the strategic goal for the next decade of becoming the most competitive and dynamic knowledge-based economy in the world capable of sustainable economic growth with more and better jobs and greater social cohesion,
- the Barcelona European Council of 15 and 16 March 2002, which called for a progressive increase in the effective average age at which people stop working in the European Union,
- the Stockholm European Council of 23 and 24 March 2001, which called upon the Council and the Commission to report jointly on how to increase labour force participation and promote active ageing,
- Article 2 of the Treaty, which calls for a high level of employment, the raising of the standard of living and quality of life as well as economic and social cohesion and solidarity among Member States,
- Council Decision 2003/578/EC of 22 July 2003 on guidelines for the employment policies of the Member States (OJ L 197, 5.8.2003, p. 13), which recalls the Lisbon and Stockholm targets and the demographic challenge for the employment rate for older women and men. Guideline 5 specifically refers to increasing the labour supply and promoting active ageing,

- Council Recommendation 2003/579/EC of 22 July 2003 on the implementation of Member States' employment policies (OJ L 197, 5.8.2003, p. 22), which identifies certain actions to be taken with regard to the labour supply and active ageing.

It is also intended to finance measures to encourage the establishment of exchange programmes for the elderly through specialised organisations tasked with developing, inter alia, resources for mobility and adapting infrastructures, including within travel, in accordance with the contents of the:

- European Parliament resolution of 11 April 2002 on the second United Nations World Assembly on Ageing (Madrid, 8 to 12 April 2002) (OJ C 127 E, 29.5.2003, p. 675), which stressed the importance of promoting programmes encouraging mobility for the elderly, and in particular paragraphs 13 and 14 thereof,
- European Parliament resolution of 15 December 2000 on the Commission communication 'Towards a Europe for all ages — Promoting prosperity and intergenerational solidarity' (OJ C 232, 17.8.2001, p. 381).

High-quality health services and increased longevity in EU countries are shifting focus in economic policy from social protection issues to the involvement of elderly people in different activities. Preparatory actions are needed to find the most efficient policy tools for solving this problem.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 07 — Analysis of and studies on the social situation, demographics and the family

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 860 000	3 433 702	3 876 000	3 400 000	3 849 736,02	3 439 717,12

Remarks

Pursuant to Article 161 of the Treaty on the Functioning of the European Union, the European Parliament can ask the Commission to draw up reports on problems concerning the social situation. The Commission presents each year a report on the social situation and every two years a report on demographic change and its implications.

In particular, the following aims will be pursued with due consideration of the gender aspect:

- analysis of the impact of population ageing in the context of a society for all ages, in terms of trends in care and social protection needs, behaviour and flanking policies, including research on elderly minorities/migrants and the situation of informal carers,
- analysis of the impact of demographic change on EU and Member State policies, measures and programmes, and formulation of recommendations for adjustments to economic and other policies, measures and programmes at European and national levels in order to prevent the ageing of society from having a negative impact,
- analysis of the links between family units and demographic trends, identification of the existing relations between technological development (impact on communication technologies, geographical and occupational mobility) and the consequences for households and society in general,
- analysis of the links between disability and demographic trends, analysis of the social situation of people with disabilities and their families and the needs of children with disabilities within their families and communities,
- analysis of trends in social objectives (in terms of safeguarding acquired rights or extending them) regarding both goods and services, taking account of new social challenges as well as demographic trends and changing the relationships between generations,
- development of appropriate methodological tools (sets of social indicators, simulation techniques, etc.), so as to underpin the reports on the social situation, on social protection and social inclusion with a solid quantitative and scientific foundation,
- the taking account of family life and childhood in the implementation of relevant Union policies, such as the free movement of persons and equality between men and women.

Reference acts

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

Article 04 03 09 — Pilot Project — Working and living conditions of posted workers

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	700 000	1 000 000	1 700 000	939 897,80	142 759,57

Remarks

This appropriation is intended to fund initiatives which help exploring the real working and living conditions of posted workers and how the Member States, social partners and labour inspectorates work in practice. The pilot project focuses on few specific sectors with a high number of posted workers e.g. construction, agriculture and healthcare sectors.

The purpose of the pilot project is to:

- promote the exchange of relevant information, establish best practices and publish an overview of the state of play in the Member States,
- examine any questions and difficulties which might arise in the practical application of the posting of workers legislation, as well as in its enforcement in practice.

Measures covered will include the exchange of information on posted workers with a focus on:

- discrepancies between their wages and the remuneration of those workers who are in a similar occupation in the host country,
- discrepancies between their working time spent at work and the working time defined in the contract,
- their real remunerated leave,
- their living conditions, including occupational health and safety conditions,
- their contractual arrangements and the duration of their posting,
- their trade union representation in the host country,
- how inspectorates work and the frequency of their control.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 10 — Pilot project — Measures for employment maintenance

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
—	700 000	1 000 000	600 000	

Remarks

The appropriation is intended to finance initiatives to examine to what extent jobs which have been put at risk by the financial crisis can be preserved through short-time working and in-service training. The purpose of the pilot project is to:

- promote the exchange of relevant information and establish and publicise best practices,
- examine issues and problems potentially arising when such practices are applied.

Measures are to be financed to:

- examine to what extent the introduction of temporary short-time working, with government financial support, is a suitable means, in such a financial and economic situation, of preserving jobs without distorting competition,
- establish what prospects of success there are from sending workers at risk of being laid off to skills agencies,
- assess what tangible labour market measures ought to be taken, and at what level, in order to avoid rising youth unemployment in particular,
- examine how such labour policy measures change the living and working conditions of the individuals concerned.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 11 — Pilot project — Enhancing mobility and integration of workers within the EU

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
—	700 000	1 000 000	500 000	

Remarks

This appropriation is aimed at initiating an ‘EU mobility and integration scheme’ in order to assist migrant workers and thus foster the positive effects of labour mobility within the Union. Particular attention will be paid to facilitating the mobility of vulnerable sections of the population, as called for by Parliament in its resolution of 18 December 2008 on the European Job Mobility Action Plan (2007-2010). Such a scheme will help overcome the unfavourable conditions for mobile workers to integrate into the host country, including various social difficulties which they encounter, and help them, possibly, to return to their country of origin. Two lines of action will be tested to that end:

- initiating networks and partnerships of actors working across the main trajectories of intra-EU worker migration, and
- creating advice structures (one-stop shops) to meet the multiplicity of needs of the most vulnerable EU migrants.

The scheme will thus support the Member States in developing integration and social inclusion policies in order to address the social difficulties and reap the overall economic and labour market benefits of mobility. The pilot phase of the scheme will also enable stakeholders to scale up their activity and prepare for possible future financing from the European Social Fund.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 12 — Pilot project — All-inclusive cooperation between public authorities, commercial firms and not-for-profit enterprises on integrating people into society and employment

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
1 000 000	1 000 000	1 500 000	1 500 000	

Remarks

The purpose of the pilot project is to:

- increase the number of employment sectors through which vulnerable sections of the population can be integrated into society and employment (agricultural, industrial, commercial sectors, etc.),

- create networks linking public institutions, commercial firms and not-for-profit enterprises capable of taking an innovative approach to governance and the formulation of welfare indicators which can be used to quantify the impact on the target groups.

The project includes:

- making the business world aware of social responsibilities through support for firms involved in integrating people into the labour market,
- proposals for efficient, effective solutions to the socio-economic problems suffered by each specific area and target group, stemming from cooperation between public authorities, commercial firms and not-for-profit enterprises,
- production of qualitative and quantitative results measured in terms of higher employment rates and more stable jobs, employment of women and integration of vulnerable groups into employment.

Article 04 03 13 — Preparatory Action — Your first EURES Job

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 000 000	2 000 000				

Remarks

New article

This preparatory action is intended to provide young people with access to more employment opportunities and to encourage and facilitate their work placement throughout the EU Member States. With the support of EURES work matching, work placement services will be provided granting access to employment opportunities throughout the EU. Companies, particularly SMEs, will be encouraged to recruit more young people, including financial support.

Target groups:

- young people aged up to 30 years, regardless of their qualifications and work experience as the scheme is not exclusively tailored for labour market entrants.
- all legally established businesses, particularly SMEs, to assist with reducing the costs of international hiring that affect mostly smaller companies.

Eligible jobs:

"Your first EURES job" will offer young apprenticeships, a first work experience or specialised jobs. The scheme will not support situations of job substitution, precarious employment or, in any circumstances, contrary to national labour law.

To be eligible for funding, jobs have also to comply with the following criteria:

- be located in a EURES member country other than the country of origin of the young jobseeker (transnational vacancies).
- ensure a work placement for a minimum contractual period of 6 months.

The following costs will be covered:

- costs generated by the recruitment process and a recruitment allowance allocated by the EURES Member of the country of destination,
- a financial incentive for the employer to cover the mobile worker's integration costs (e.g. induction training, language course, administrative support, etc) after the recruitment process has been concluded.
- the jobseekers' travel and subsistence costs for the first interview and the costs of moving abroad.

Legal basis

Preparatory action within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 03 14 — Pilot Project — Social solidarity for social integration

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 000 000	500 000				

Remarks

New article

This pilot project aims to support and promote the strengthening of an active inclusion EU strategy, ensuring that all people have the resources necessary to lead a life that is compatible with human dignity, in all EU countries.

This pilot project should finance the creation of a network to facilitate the exchange of best practices between Member States, local and territorial administrations, Trade Unions and associations on national minimum income schemes. The information collected and exchanged should also facilitate the drawing up of a study on possible common measures for a minimum income.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

CHAPTER 04 04 — EMPLOYMENT, SOCIAL SOLIDARITY AND GENDER EQUALITY

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 04	Employment, social solidarity and gender equality							
04 04 01	Progress							
04 04 01 01	Employment	1.1	19 787 500	18 122 318	23 400 000	19 000 000	22 839 918,15	13 644 597,39
04 04 01 02	Social protection and inclusion	1.1	27 755 000	25 275 865	32 450 000	25 000 000	30 693 236,80	22 585 865,01
04 04 01 03	Working conditions	1.1	8 425 000	8 107 353	10 320 000	7 500 000	11 652 418,19	7 380 312,48
04 04 01 04	Anti-discrimination and diversity	1.1	20 137 500	17 168 512	24 050 000	19 000 000	22 720 684,48	18 843 183,73
04 04 01 05	Gender equality	1.1	11 790 000	9 538 062	13 470 000	10 000 000	12 966 401,61	10 698 941,00
04 04 01 06	Support for implementation	1.1	1 355 000	1 144 567	1 750 000	1 500 000	1 393 077,54	853 514,31
	<i>Article 04 04 01 — Subtotal</i>		89 250 000	79 356 677	105 440 000	82 000 000	102 265 736,77	74 006 413,92
04 04 02	Institute for Gender Equality							
04 04 02 01	Institute for Gender Equality — Contribution to Titles 1 and 2	1.1	3 390 000	3 390 000	3 440 000	3 440 000	3 159 555,24	313 339,76
04 04 02 02	Institute for Gender Equality — Contribution to Title 3	1.1	4 140 000	4 140 000	3 500 000	3 500 000	0,—	0,—
	<i>Article 04 04 02 — Subtotal</i>		7 530 000	7 530 000	6 940 000	6 940 000	3 159 555,24	313 339,76
04 04 03	European Foundation for the Improvement of Living and Working Conditions							
04 04 03 01	European Foundation for the Improvement of Living and Working Conditions — Contribution to Titles 1 and 2	1.1	13 040 000	13 040 000	12 900 000	12 900 000	12 650 000,00	12 650 000,00
04 04 03 02	European Foundation for the Improvement of Living and Working Conditions — Contribution to Title 3	1.1	7 170 000	7 170 000	6 167 159	6 167 159	6 800 000,00	6 800 000,00
	<i>Article 04 04 03 — Subtotal</i>		20 210 000	20 210 000	19 067 159	19 067 159	19 450 000,00	19 450 000,00
04 04 04	European Agency for Safety and Health at Work							
04 04 04 02	European Agency for Safety and Health at Work — Contribution to Titles 1 and 2	1.1	6 900 000	6 900 000	6 750 000	6 750 000	6 600 000,00	5 978 613,00
04 04 04 03	European Agency for Safety and Health at Work — Contribution to Title 3	1.1	7 416 000	7 416 000	6 993 434	6 993 434	7 200 000,00	6 538 556,00

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
	<i>Article 04 04 04 — Subtotal</i>		14 316 000	14 316 000	13 743 434	13 743 434	13 800 000,00	12 517 169,00
04 04 05	<i>Pilot project — Mainstreaming of disability actions: follow-up initiative to the European Year of People with Disabilities</i>	1.1	—	—	—	—	0,—	0,—
04 04 06	<i>European Year of Equal Opportunities for All in 2007</i>	1.1	—	—	—	p.m.	0,—	62 995,54
04 04 07	<i>Completion of previous programmes</i>	1.1	—	1 430 709	—	3 000 000	1 782,00	3 374 758,15
04 04 08	<i>Pilot project — Encourage conversion of precarious work into work with rights</i>	1.1	1 000 000	700 000	1 000 000	1 000 000		
04 04 09	<i>Support for the running costs of the Platform of European Social Non-Governmental Organisations</i>	3.2	p.m.	p.m.	p.m.	150 000	680 000,00	631 783,06
04 04 10	<i>Pilot project — Accompanying workers during industrial change</i>	1.1	—	p.m.	p.m.	500 000	0,—	199 673,60
04 04 11	<i>Pilot project — Preventing elder abuse</i>	1.1	—	700 000	1 000 000	1 500 000	869 499,65	203 864,95
04 04 12	<i>European Year for Combating Poverty and Social Exclusion 2010</i>	1.1	p.m.	3 338 322	10 500 000	7 000 000	6 501 409,20	2 046 539,00
04 04 13	<i>Pilot project — Employment of people on the autistic spectrum</i>	1.1	—	700 000	1 000 000	600 000		
04 04 15	<i>European Microfinance Facility</i>	1.1	24 750 000	23 606 704	p.m.	p.m.		
	40 02 41				25 000 000	25 000 000		
					25 000 000	25 000 000		
	Chapter 04 04 — Total		157 056 000	151 888 412	158 690 593	135 500 593	146 727 982,86	112 806 536,98
	40 02 41				25 000 000	25 000 000		
					183 690 593	160 500 593		

Article 04 04 01 — Progress

Item 04 04 01 01 — Employment

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
19 787 500	18 122 318	23 400 000	19 000 000	22 839 918,15	13 644 597,39

Remarks

This appropriation is intended to support the Lisbon Strategy for growth and jobs and the implementation of the European Employment Strategy (EES) and contributing to reaching the Europe 2020 objectives by:

- improving understanding of the employment situation and prospects, in particular through analysis and studies and the development of statistics and common indicators in the framework of the EES,
- monitoring and evaluating the implementation of the European Employment Guidelines and Recommendations and their impact, notably through the Joint Employment Report, and analysing the interaction between the EES and general economic and social policy and other policy areas,
- organising exchanges on policies, good practice and innovative approaches, mainstreaming of the needs of vulnerable groups, such as people with disabilities and informal carers, and promoting mutual learning in the context of the EES and Europe 2020,
- raising awareness, disseminating information and promoting the debate about employment challenges, policies, and the implementation of national reform programmes, including among regional and local actors, social partners, civil society and other stakeholders.
- setting up and organising of networks and regular exchange with international organisations active in the area of employment and social affairs, like OECD and ILO, to ensure coherence of internal and external policy of the EU in this field.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 02 — Social protection and inclusion

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
27 755 000	25 275 865	32 450 000	25 000 000	30 693 236,80	22 585 865,01

Remarks

This appropriation is intended to support the implementation of the open method of coordination (OMC) in the field of social protection and inclusion by:

- improving the understanding of issues and policies concerning poverty and social exclusion, pensions, healthcare and long-term care (formal as well as informal), in particular through analysis and studies and the development of statistics and common indicators, in the context of the OMC in the field of social protection and inclusion,
- monitoring and evaluating the implementation of the OMC in the field of social protection and inclusion and its impact at national and Union level and analysing the interaction between this OMC and other policy areas,
- organising exchanges on policies, good practice and innovative approaches, mainstreaming of the needs of vulnerable groups, such as people with disabilities and informal carers, and promoting mutual learning in the context of the social protection and inclusion strategy,
- raising awareness, disseminating information and promoting debate about the key challenges and policy issues raised in the context of the EU coordination process in the field of social protection and social inclusion, including among national, regional and local actors, social partners, civil society and other stakeholders, as well as the wider public, with a view to enhancing the visibility of the process, encourage ambition in objective setting and increase the focus on policy implementation,
- strengthening the capacity of key EU networks to support and further enhance the development, implementation and coherence of Union internal and external policy goals and strategies on social protection and inclusion, also in cooperation with international actors like OECD, ILO and WTO.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 03 — Working conditions

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
8 425 000	8 107 353	10 320 000	7 500 000	11 652 418,19	7 380 312,48

Remarks

This appropriation is intended to support the improvement of the working environment and conditions, including adaptability to change, health and safety at work, provision of reasonable accommodation for disabled workers and reconciling work and family life (e.g. for informal carers):

- improving the understanding of the situation in relation to working conditions, in particular through analysis and studies and, where appropriate, the development of statistics and indicators, as well as assessing the effectiveness and impact of existing legislation, policies and practices and the presentation of proposals for their improvement,
- supporting the implementation of Union labour law through effective monitoring, holding seminars for those working in the field, developing guides and networking among specialised bodies and legal experts, including social partners,
- initiating preventive actions and fostering health and safety at work, , in particular with regard to an ageing workforce,
- raising awareness, exchanging good practice, disseminating information and promoting the debate on the key challenges and policy issues in relation to working conditions, including among social partners.
- strengthening the cooperation between actors of the internal and external dimension of employment and social policy in and outside the EU institutions to ensure a better coherence of the internal and external policy of the EU in this field,
- establishing international cooperation networks for the dissemination of information on workers' rights to governments, workers' and employers' organisations and citizens to improve the implementation of the core ILO conventions and the decent work agenda.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 04 — Anti-discrimination and diversity

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
20 137 500	17 168 512	24 050 000	19 000 000	22 720 684,48	18 843 183,73

Remarks

This appropriation is intended to support the effective implementation of the principle of non-discrimination and promote its mainstreaming in all Union policies by:

- improving the understanding of the situation in relation to discrimination in relation to all vulnerable groups, in particular through analysis and studies and, where appropriate, the development of statistics and indicators as well as assessing the effectiveness and impact of existing legislation, policies and practices,
- supporting the implementation of EU anti-discrimination legislation through effective monitoring, holding seminars for those working in the field and networking amongst specialised bodies dealing with anti-discrimination as well as public information campaigns on the EU antidiscrimination directives 2000/43/EC, 2000/78 and 2004/113/EC,
- raising awareness, disseminating information and promoting the debate about the key challenges and policy issues in relation to discrimination and the mainstreaming of anti-discrimination in all EU policies, including among NGOs in the field of anti-discrimination, regional and local actors, social partners and other stakeholders,
- developing the capacity of key EU networks to promote and further develop EU policy goals and strategies.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 05 — Gender equality

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
11 790 000	9 538 062	13 470 000	10 000 000	12 966 401,61	10 698 941,00

Remarks

This appropriation is intended to support the effective implementation of the principle of gender equality and promote gender mainstreaming in all Union policies by:

- improving the understanding of the situation in relation to gender issues and gender mainstreaming, in particular through analysis and studies, exchanges of good practice and the development of statistics and, where appropriate, indicators, with a view inter alia to revalorising work to promote equality, the aim being to promote pay equality between men and women, as well as assessing the effectiveness and impact of existing legislation, policies and practices,
- supporting the implementation of Union gender equality legislation through effective monitoring, holding seminars for those working in the field and networking amongst specialised equality bodies,
- raising awareness, disseminating information, strengthening communication and promoting the debate on the key challenges and policy issues in relation to gender equality, including the importance of reconciling work and family life (e.g. for informal carers), and gender mainstreaming in policies,
- developing the capacity of key EU networks to support and further develop Union policy goals and strategies on gender equality.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Item 04 04 01 06 — Support for implementation

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
1 355 000	1 144 567	1 750 000	1 500 000	1 393 077,54	853 514,31

Remarks

This appropriation is intended to support the implementation of the programme to cover, in particular, the expenditure related to the annual monitoring evaluation and the annual Forum on the Social Agenda (Progress), which promotes dialogue between all stakeholders from all levels, publicises the programme's results and discusses future priorities, in particular in relation to the next programming cycle (2014-2020).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

In line with the Commission statement in addendum of the European Microfinance decision, a sufficient unallocated margin under the expenditure ceiling of Heading 1a has been made available whereby the Budgetary Authority, i.e. the Council and the Parliament, may decide to increase the amount of the Progress programme by a maximum of EUR 20 000 000 over the period 2011-2013 in conformity with point 37 of the Interinstitutional Agreement of 17 May 2006 on budgetary discipline and sound financial management.

Legal basis

Decision No 1672/2006/EC of the European Parliament and of the Council of 24 October 2006 establishing a Community Programme for Employment and Social Solidarity — Progress (OJ L 315, 15.11.2006, p. 1).

Article 04 04 02 — Institute for Gender Equality

Item 04 04 02 01 — Institute for Gender Equality — Contribution to Titles 1 and 2

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
3 390 000	3 390 000	3 440 000	3 440 000	3 159 555,24	313 339,76

Remarks

This appropriation is intended to cover staff costs and administrative costs.

The Institute must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Institute, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Institute's establishment plan is shown in Part 'Establishment plan staff' of the Section III — Commission (Volume 3).

In accordance with Decision 2006/996/EC taken by common agreement between the Representatives of the Governments of Member States of 11 December 2006 on the location of the seat of the European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 61), the Institute shall have its seat in Vilnius.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

Item 04 04 02 02 — Institute for Gender Equality — Contribution to Title 3

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
4 140 000	4 140 000	3 500 000	3 500 000	0,—	0,—

Remarks

This appropriation is intended to cover preparation and implementation of the Annual Work Programme in order to carry out the missions of the Institute.

The overall objectives of the Institute is to contribute to and strengthen the promotion of gender equality, including gender mainstreaming in all EU/Communities policies and the resulting national policies, and the fight against discrimination based on sex, and to raise EU citizens' awareness of gender equality by providing technical assistance to the EU institutions, in particular the Commission, and the authorities of the Member States.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Regulation (EC) No 1922/2006 of the European Parliament and of the Council of 20 December 2006 on establishing a European Institute for Gender Equality (OJ L 403, 30.12.2006, p. 9).

Article 04 04 03 — European Foundation for the Improvement of Living and Working Conditions

Item 04 04 03 01 — European Foundation for the Improvement of Living and Working Conditions — Contribution to Titles 1 and 2

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
13 040 000	13 040 000	12 900 000	12 900 000	12 650 000,00	12 650 000,00

Remarks

This appropriation is intended to cover the Foundation's staff and administrative expenditure (Titles 1 and 2).

EUR 1 000 000 is intended for the analytical work of the European Monitoring Centre on Change, decided upon by the Nice European Council of 7 to 9 December 2000 with a view to identifying, anticipating and managing technological, social and economic trends.

The Foundation must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Foundation, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Foundation's establishment plan is shown in Part 'Establishment plan staff' of the Section III — Commission (Volume 3).

Legal basis

Council Regulation (EEC) No 1365/75 of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Item 04 04 03 02 — European Foundation for the Improvement of Living and Working Conditions — Contribution to Title 3

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 170 000	7 170 000	6 167 159	6 167 159	6 800 000,00	6 800 000,00

Remarks

This appropriation is intended to cover only the Foundation's operational expenditure for the work programme (Title 3).

Part of this appropriation is intended for the work of the European Monitoring Centre for Change, decided upon at the Nice European Council of 7 to 9 December 2000 with a view to identifying, anticipating and managing technological, social and economic trends. To this end, high-quality information must be collected, processed and analysed.

For this purpose, EUR 1 000 000 has been earmarked to finance the activities of the European Monitoring Centre for Change.

This appropriation will also cover studies on the impact of new technologies in the workplace and on occupational illnesses, such as, for example, the impact of repetitive movements when performing a task.

The European Union subsidy for 2010 amounts to a total of EUR 19 830 000. An amount of EUR 762 841 coming from the recovery of surplus is added to the amount of EUR 19 067 159 entered in the budget.

Part of the appropriation is intended to cover work on three topics of importance in relation to families:

- family-friendly policies in the workplace (balance between work and family life, working conditions, etc.),
- factors influencing the situation of families with regard to community housing (access to decent housing for families),
- lifelong family support concerning, for example, childcare and other issues that fall within the Foundation's forms of reference.

Legal basis

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Article 04 04 04 — European Agency for Safety and Health at Work

Item 04 04 04 02 — European Agency for Safety and Health at Work — Contribution to Titles 1 and 2

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
6 900 000	6 900 000	6 750 000	6 750 000	6 600 000,00	5 978 613,00

Remarks

This appropriation is intended to cover the Agency's staff and administrative expenditure (Titles 1 and 2).

The Agency must inform the budgetary authority about transfers of appropriations between operational and administrative expenditure.

The Commission, if requested by the Agency, undertakes to notify the budgetary authority of transfers made between operational and administrative appropriations.

The Agency's establishment plan is shown in Part 'Establishment plan staff' of the Section III — Commission (Volume 3).

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to

implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Item 04 04 04 03 — European Agency for Safety and Health at Work — Contribution to Title 3

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
7 416 000	7 416 000	6 993 434	6 993 434	7 200 000,00	6 538 556,00

Remarks

This appropriation is only intended to cover the Agency's operational expenditure relating to the work programme (Title 3).

The Agency's objective is to provide the Union institutions, Member States and interested parties with technical, scientific and economic information of use in the area of health and safety at work.

EUR 1 000 000 is earmarked for an SME programme.

To the appropriations entered in this item must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The European Union subsidy for 2010 amounts to a total of EUR 14 250 000. An amount of EUR 506 566 coming from the recovery of surplus is added to the amount of EUR 13 743 434 entered in the budget.

This appropriation covers the measures necessary to accomplish the Agency's tasks as defined in Regulation (EC) No 2062/94, and in particular:

- awareness-building and risk anticipation measures, with special emphasis on SMEs,
- setting up a 'risk observatory' based on examples of good practice collected from firms or specific branches of activity,
- also in collaboration with the ILO, organising exchanges of experience, information and good practices,
- integrating the candidate countries into these information networks and devising working tools which are geared to their specific situation,
- organising the European week on health and safety, focusing on specific risks and needs of users and final beneficiaries.

Legal basis

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Article 04 04 05 — Pilot project — Mainstreaming of disability actions: follow-up initiative to the European Year of People with Disabilities

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	—	0,—	0,—

Remarks

This article is intended to fund initiatives for implementation of specific actions aimed at mainstreaming disability policies across all relevant Union policies as part of the follow-up action to the European Year of People with Disabilities:

- to promote greater cooperation with all bodies concerned with disability issues, including civil society,
- to support analysis of factors and policies relating to disability, including collection of statistics, disability impact assessment and development of indicators and benchmarks on development of disability policy mainstreaming across Europe,
- to support mainstreaming of disability issues in the drafting of national action plans relating to social exclusion and poverty,
- to promote the exchange of good practice on capacity building and training of disabled people in promoting positive action measures to bring about equality of opportunity for people with disabilities and their families.

The appropriations previously allocated were intended to cover expenditure relating to the European Year of People with Disabilities in 2003.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Treaty on the Functioning of the European Union, and in particular Article 19 thereof.

Council Decision 2001/903/EC of 3 December 2001 relating to the European Year of People with Disabilities 2003 (OJ L 335, 19.12.2001, p. 25).

Council resolution of 15 July 2003 on promoting the employment and social integration of people with disabilities (OJ C 175, 24.7.2003, p. 1).

Article 04 04 06 — European Year of Equal Opportunities for All in 2007

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	—	—	p.m.	0,—	62 995,54

Remarks

The European Year of Equal Opportunities for All supported measures which were aimed at raising awareness of the need to work towards a more cohesive society that celebrates differences and respects the substantial EU *acquis* in equality and non-discrimination and at stimulating debate and dialogue on questions which are central to achieving a just society.

In accordance with Decision No 771/2006/EC, this article was intended to support the national activities that were put in place by the Member States in line with their European Year's national strategy and priorities and to cover the costs related to the organisation of the closing EU conference by the incumbent Presidency. Part of this appropriation will also cover the costs related to the organisation of a Eurobarometer survey designed to measure trends and progress achieved by the European Year.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Legal basis

Decision No 771/2006/EC of the European Parliament and of the Council of 17 May 2006 establishing the European Year of Equal Opportunities for All (2007) — Towards a just society (OJ L 146, 31.5.2006, p. 1).

Article 04 04 07 — Completion of previous programmes

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	1 430 709	—	3 000 000	1 782,00	3 374 758,15

Remarks

This appropriation is intended to cover the funding of commitments remaining to be settled from past years in respect of the former articles and items.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

The candidate countries may make use of the Phare pre-accession instrument to cover the expenditure resulting from their participation in the programmes.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Legal basis

Council decision of 9 July 1957 concerning the terms of reference and Rules of Procedure of the Mines Safety and Health Commission (OJ 28, 31.8.1957, p. 487).

Council Decision 74/325/EEC of 27 June 1974 on the setting up of an Advisory Committee on safety, hygiene and health protection at work (OJ L 185, 9.7.1974, p. 15).

Council Decision 74/326/EEC of 27 June 1974 on the extension of the responsibilities of the Mines Safety and Health Commission to all mineral-extracting industries (OJ L 185, 9.7.1974, p. 18).

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

Council Decision 98/171/EC of 23 February 1998 on Community activities concerning analysis, research and cooperation in the field of employment and the labour market (OJ L 63, 4.3.1998, p. 26).

Council Decision 2000/750/EC of 27 November 2000 establishing a Community action programme to combat discrimination (2001 to 2006) (OJ L 303, 2.12.2000, p. 23).

Decision No 50/2002/EC of the European Parliament and of the Council of 7 December 2001 establishing a programme of Community action to encourage cooperation between Member States to combat social exclusion (OJ L 10, 12.1.2002, p. 1).

Decision No 1145/2002/EC of the European Parliament and of the Council of 10 June 2002 on Community incentive measures in the field of employment (OJ L 170, 29.6.2002, p. 1).

Council Decision of 22 July 2003 setting up an Advisory Committee on Safety and Health at Work (OJ C 218, 13.9.2003, p. 1).

Decision No 1554/2005/EC of the European Parliament and of the Council of 7 September 2005 amending Council Decision 2001/51/EC establishing a Programme relating to the Community framework strategy on gender equality and Decision No 848/2004/EC establishing a Community action programme to promote organisations active at European level in the field of equality between men and women (OJ L 255, 30.9.2005, p. 9).

Reference acts

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Task resulting from specific powers directly conferred on the Commission by the Treaty establishing the European Community under Articles 136, 137 and 140 (Treaty on the Functioning of the European Union under Articles 151, 152 and 156).

Article 04 04 08 — Pilot project — Encourage conversion of precarious work into work with rights

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
1 000 000	700 000	1 000 000	1 000 000	

Remarks

This appropriation is intended to support measures to encourage conversion of precarious work into work with rights.

Part of the appropriation is allocated for the closed partnership with the representatives of the poorest workers at any step of the pilot project.

Legal basis

Pilot project within the meaning of Article 49(2) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 09 — Support for the running costs of the Platform of European Social Non-Governmental Organisations

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	p.m.	p.m.	150 000	680 000,00	631 783,06

Remarks

This appropriation is intended to cover the running costs of the Platform of European Social Non-Governmental Organisations (NGOs).

The Social Platform shall facilitate participatory democracy in the European Union by promoting the consistent involvement of social NGOs within a structured civil dialogue with the Union institutions. It shall also provide added value to the Union social policy-making process and strengthen civil society within new Member States.

Legal basis

Decision No 1904/2006/EC of the European Parliament and of the Council of 12 December 2006 establishing for the period 2007 to 2013 'Europe for Citizens' to promote active European Citizenship (OJ L 378, 27.12.2006, p. 32).

Article 04 04 10 — Pilot project — Accompanying workers during industrial change

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	p.m.	p.m.	500 000	0,—	199 673,60

Remarks

This appropriation is intended to fund initiatives which help workers to adapt to industrial change. Measures covered will include:

- examining how workers can be better prepared for industrial change and which industrial sectors may be concerned in the near future,
- analysing and promoting exchanges on policies and best practices with a view to coping with industrial changes through a socially responsible approach.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 11 — Pilot project — Preventing elder abuse

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
—	700 000	1 000 000	1 500 000	869 499,65	203 864,95

Remarks

This appropriation is intended to fund initiatives which help uncover the extent of abuse of older people in the European Union as a follow-up to the European Parliament resolution of 21 February 2008 on the demographic future of Europe (OJ C 184 E, 6.8.2009, p. 75) and to the conference organised by the Commission on 17 March 2008 on the topic of elder abuse.

In particular, the pilot project will focus on:

- assessing the scale of elder abuse according to the WHO definition (physical, psychological and financial abuse),
- gaining a better understanding of the causes of elder abuse,
- measuring the effectiveness of policy responses in the Member States.

To achieve this aim, measures covered will include:

- collecting data on the incidence of elder abuse across the European Union, including risk and protective factors,
- mapping existing policy approaches and policy frameworks in the European Union in order to identify good practices and present a reference framework comprising measures and instruments needed for effective prevention of elder abuse.

Best practices in policy approaches and policy frameworks will be established through an assessment of which instruments work and which are less effective in the prevention of elder abuse.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 12 — European Year for Combating Poverty and Social Exclusion 2010

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	3 338 322	10 500 000	7 000 000	6 501 409,20	2 046 539,00

Remarks

The European Year for Combating Poverty and Social Exclusion is intended to reaffirm and strengthen the initial political commitment of the European Union at the start of the Lisbon Strategy to making a 'decisive impact on the eradication of poverty'.

In accordance with Decision No 1098/2008/EC of the European Parliament and of the Council, this appropriation is intended to support the national activities that will be put in place by the Member States in line with their European Year's national strategy and priorities. Part of the appropriation will also cover the costs related to the organisation of the closing EU Conference by the incumbent Presidency and reinforce the communication and information activities at European and national levels.

To the appropriations entered in this article must be added the contributions from the EFTA States pursuant to the Agreement on the European Economic Area, and in particular Article 82 thereof and Protocol 32 thereto. By way of information, these amounts derive from contributions from the EFTA States entered against Article 6 3 0 of the statement of revenue, which constitute assigned revenue in accordance with Article 18(1)(d) of the Financial Regulation; they give rise to the provision of corresponding appropriations and to implementation according to Annex 'European Economic Area' to this part of the statement of expenditure of this section, which forms an integral part of the general budget.

Any revenue from the contributions from candidate countries and, if applicable, the western Balkan potential candidate countries for participating in EU/Community programmes, entered in Item 6 0 3 1 of the statement of revenue, may give rise to the provision of additional appropriations pursuant to Article 18(1)(d) of the Financial Regulation.

Legal basis

Decision No 1098/2008/EC of the European Parliament and of the Council of 22 October 2008 on the European Year for Combating Poverty and Social Exclusion (2010) (OJ L 298, 7.11.2008, p. 20).

Article 04 04 13 — Pilot project — Employment of people on the autistic spectrum

Figures

Budget 2011		Appropriations 2010		Outturn 2009
Commitments	Payments	Commitments	Payments	
—	700 000	1 000 000	600 000	

Remarks

The objective of the pilot project is to fund initiatives which help develop policies for employment and social integration of people suffering from autism. The pilot project should therefore support innovative and integrated projects, addressing multiple disadvantages faced by people on the autistic spectrum, while taking account of the strengths they offer, and promoting their inclusion in the labour market and social and economic activities.

Measures to be financed will focus on:

- gaining better understanding of autism and of challenges and obstacles faced by people suffering from autism in entering the labour market,
- assessing what tangible labour market measures ought to be taken in order to prevent unemployment and raise the employment levels of people suffering from autism (according to some sources, 62 % of adults with autism do not have any work at all, while others show that only 6 % of adults on the autistic spectrum have full-time paid employment),
- identifying existing policy approaches in the Member States, and mapping the types of measures aimed at developing employment opportunities for people with autism and helping them access and sustain employment,
- assessing the effectiveness of the measures undertaken,
- promoting exchange of best practices.

Legal basis

Pilot project within the meaning of Article 49(6) of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p. 1).

Article 04 04 15 — European Microfinance Facility

Figures

	Budget 2011		Appropriations 2010		Outturn 2009
	Commitments	Payments	Commitments	Payments	
04 04 15	24 750 000	23 606 704	p.m.	p.m.	
40 02 41			25 000 000	25 000 000	
Total	24 750 000	23 606 704	25 000 000	25 000 000	

Remarks

This appropriation is intended to provide resources for a new EU microfinance facility for employment and social inclusion, in order to give the unemployed the chance of a new start and to open the way to entrepreneurship for some of Europe's most disadvantaged groups, including young people and Roma.

The appropriations entered in the reserve will be released as soon as the legal basis for the establishment of the Microfinance Facility is adopted.

Reference acts

Decision No 283/2010/EU of the European Parliament and of the Council of 25 March 2010 establishing a European Progress Microfinance Facility for employment and social inclusion (OJ L 87, 7.4.2010, p. 1).

CHAPTER 04 05 — EUROPEAN GLOBALISATION ADJUSTMENT FUND (EGF)

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 05	European Globalisation Adjustment Fund (EGF)							
04 05 01	European Globalisation Adjustment Fund (EGF)	1.1	p.m.	47 690 310	p.m.	p.m.	12 387 700,00	12 387 700,00
	Chapter 04 05 — Total		p.m.	47 690 310	p.m.	p.m.	12 387 700,00	12 387 700,00

Article 04 05 01 — European Globalisation Adjustment Fund (EGF)

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
p.m.	47 690 310	p.m.	p.m.	12 387 700,00	12 387 700,00

Remarks

This appropriation is intended to cover the European Globalisation Adjustment Fund (EGF) so as to enable the Union to provide temporary and targeted support for workers made redundant as a result of major structural changes in world trade patterns due to globalisation where these redundancies have a significant adverse impact on the regional or local economy. For applications submitted before 31 December 2011, it may also be used to provide support to workers made redundant as a direct result of the global financial and economic crisis.

The maximum amount of expenditure from the Fund shall be EUR 500 000 000 per year.

The aim of this reserve, in accordance with Point 28 of the Interinstitutional Agreement of 17 May 2006, is to provide additional temporary support for workers who suffer from the consequences of major structural changes in world trade patterns and to assist them with their reintegration into the labour market.

The actions undertaken by the European Globalisation Adjustment Fund should be complementary to those of the European Social Fund without creating double structures.

The methods for entering the appropriations in this reserve and for mobilising the Fund are laid down in Point 28 of the Interinstitutional Agreement of 17 May 2006 and in Article 12 of Regulation (EC) No 1927/2006.

Legal basis

Regulation (EC) No 1927/2006 of the European Parliament and of the Council of 20 December 2006 on establishing the European Globalisation Adjustment Fund (OJ L 406, 30.12.2006, p. 1).

Regulation (EC) No 546/2009 of the European Parliament and of the Council of 18 June 2009 amending Regulation (EC) No 1927/2006 on establishing the European Globalisation Adjustment Fund (OJ L 167, 29.6.2009, p. 26).

Reference acts

Interinstitutional Agreement of 17 May 2006 between the European Parliament, the Council and the Commission on budgetary discipline and sound financial management (OJ C 139, 14.6.2006, p. 1).

CHAPTER 04 06 — INSTRUMENT FOR PRE-ACCESSION ASSISTANCE (IPA) — HUMAN RESOURCES DEVELOPMENT

Figures

Title Chapter Article Item	Heading	FF	Budget 2011		Appropriations 2010		Outturn 2009	
			Commitments	Payments	Commitments	Payments	Commitments	Payments
04 06	Instrument for Pre-Accession Assistance (IPA) — Human resources development							
04 06 01	Instrument for Pre-Accession Assistance (IPA) — Human resources development	4	102 400 000	60 089 791	87 500 000	29 835 000	76 900 000,00	65 239 055,32
	Chapter 04 06 — Total		102 400 000	60 089 791	87 500 000	29 835 000	76 900 000,00	65 239 055,32

Article 04 06 01 — Instrument for Pre-Accession Assistance (IPA) — Human resources development

Figures

Budget 2011		Appropriations 2010		Outturn 2009	
Commitments	Payments	Commitments	Payments	Commitments	Payments
102 400 000	60 089 791	87 500 000	29 835 000	76 900 000,00	65 239 055,32

Remarks

This appropriation is intended to cover Union assistance to the candidate countries covered by IPA in progressive alignment with the standards and policies of the European Union, including where appropriate the *acquis communautaire*, with a view to membership. The Human Resources Development Component shall support countries in policy development as well as preparation for the implementation and management of the Union's cohesion policy, in particular in their preparation for the European Social Fund.

Legal basis

Council Regulation (EC) No 1085/2006 of 17 July 2006 establishing an Instrument for Pre-Accession Assistance (IPA) (OJ L 210, 31.7.2006, p. 82).